THE CRYSTALLOGRAPHIC COMPUTING SYSTEM

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Praha, December 2000

Last update: 06/12/00

Contents

About This Manual

The first part of this manual describes the installation of the program and shows how to use *JANA98* and its basic common tools. In the next part programs used for data processing and setting the basic crystal information are explained. The third part about structure solution and refinement can be understood a heart of the manual. It describes in details structure parameters, programs for their changing and refinement and Fourier program for calculation of electron density maps. An underlying theory is given for each structure parameter. The last part is dedicated to the structure interpretation based on distances and angles, electron density maps and modulation parameters.

This version of the manual is the definitive description of *JANA98*. The successor of *JANA98* is the program *JANA2000* and we recommend using it instead of *JANA98*. However, most information given here is still valid for *JANA2000*. New manual for *JANA2000* is expected during year 2001.

The manual is available in the ftp server ftp.fzu.cz in the PDF format. The authors welcome any remark concerning both this manual and the functionality of *JANA98/2000.*

1 **[Basic Features](#page-7-0)**

This part describes the installation of JANA98 and its basic concepts and gives a cursory overview of the system.

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Basic Features

JANA98 is a system for solving and refinement of regular, modulated and composite structures from single crystal diffraction data. It is compatible with previous version *JANA96* but its functionality is extended.

Basic features:

JANA98 covers the basic tasks of structure analysis from data reduction to the solution of the phase problem, refinement of the structure model and presentation of results. Two parts of the system - direct methods and plotting program - are not yet available. The three dimensional and higher dimensional crystals are treated uniquely in one system. In addition to regular structure parameters (occupancy, atomic position and anisotropic temperature parameters) the system allows description of atomic displacements by ADP (anharmonic displacement parameters).

Advanced features:

Both regular and modulation parameters can be refined within the rigid body approximation. Molecules can have a local symmetry different of the site symmetry. Special modulation functions (c*renel functions* for occupancy and *sawtooth functions* for position) can be used for refinement of non-harmonic modulations. They can also be used together with harmonic functions using the orthogonalized modulation waves. *JANA98* can plot higher dimensional electron density maps and joined probability density functions. Commensurate structures can be solved in the superspace. *JANA98* supports work with complicated twins and partially overlapped structures.

Platforms:

JANA98 runs with SunOS (Solaris), Irix, HPUX, AIX, LINUX, DEC UNIX and Windows9x MSDOS emulation. For UNIX platforms it is distributed as a source code and needs FORTRAN and C compiler. The package is freely available from anonymous ftp server [ftp://ftp.fzu.cz/pub/cryst.](ftp://ftp.fzu.cz/pub/cryst)

The authors welcome any remark, suggestion or question about this program.

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1.1 Installation and user support

JANA98 is portable to all basic UNIX platforms: SunOS, Irix, HPUX, AIX, LINUX and DEC UNIX. For UNIX platforms it is distributed as a source code and requires FORTRAN77 or FORTRAN90, C compiler and X11 library. The PC version is a compiled program for MSDOS or Windows9x MSDOS emulation. It does not work¹ with WindowsNT MSDOS emulation. VMS, OS2 and Macintosh are not supported. The 32 bit version for Windows95/98 is under development.

JANA98 is freely available from anonymous $\frac{ftp://ftp.fzu.cz/pub/cryst.}$ $\frac{ftp://ftp.fzu.cz/pub/cryst.}$ $\frac{ftp://ftp.fzu.cz/pub/cryst.}$ The jana98 directory contains the following files:

Any file can also be copied through the *JANA98* homepage

[http://www-xray.fzu.cz/jana/jana.html.](http://www-xray.fzu.cz/jana/jana96.html) The following commands are for people using command line ftp:

An example of ftp commands:

¹ *JANA98* under WindowsNT has an unpredictable graphical behaviour. The calculation (for instance refinement) usually runs well. Some users of WindowsNT reported they have been using *JANA98* without any difficulty.

1.1.2 Installation of *JANA98* **on UNIX workstations**

Automatic installation from jana98Pack.exe

The automatic installation can be executed by the command source jana98Pack.exe

from csh or tcsh shell. If you are running other shell (sh,bash, ksh etc.) you should use the following commands:

 csh *starts csh* source jana98Pack.exe *starts automatic installation* exit *exits csh*

The installation procedure creates the directory jana98 and extracts all files to this directory. This is a difference from installation of the DOS version where the user must create a directory and execute here the installation file.

Note for multiple installations:

The file jana98Pack.exe is changed during installation so that it contains the full path to the csh interpreter. It cannot be easily edited as a part of the file is binary. Please make a backup copy of jana98Pack.exe before making multiple installations of *JANA98* on computers with different path to csh.

Manual installation from jana98.tar.gz or jana98.tar.Z

Here are *step by step instructions* for manual installation. It is necessary when the compilation requires special settings in the Makefile that are not included in the automatic installation file.

 The environmental variable JANADIR

The installed program can be used without setting of $JANADIR¹$ assuming that this variable is undefined and that the compiled program stays in the directory where it has been created. by make. JANADIR is necessary when the compiled program is moved after the compilation.

Copying installed JANA98 to a different directory

Compiled *JANA98* cannot be simply moved to other destination as it needs access to several files from the installation directory. This is the way how to move the compiled program:

- 1. Copy the whole directory jana98 to a new destination
- 2. Add the following line to your .cshrc file: setenv JANADIR new destination of compiled jana98 file

Troubleshooting

• The installation was not successful

If you used the automatic installation try the manual one instead. If it also fails here is a list of several possible reasons:

- 1. The cc, f77 or f90 compiler is not installed on your workstation or is not accessible. This can be confirmed by the command like: which f77 or whereis f77
- 2. You are using f90 compiler. Try f77 instead (i.e. disable the section for f90 in your Makefile and enable that one for f77)
- 3. Your compiler cannot find X11 include files or X11 library. The information about the path to X11 include files is in the Makefile after -I option (look for lines starting "INCLUDE = $-I$...". The information about the path to X11 library is written in Makefile in the LINKLIBS macro (look for lines starting $"LINKLIBS = ...".$
- 4. If it does not help please send a message to d usek ω fzu.cz.
- The installation was successful but the program did not work correctly

Common source of difficulties **after** installation is wrong definition of JANADIR variable. It often contains a path to a different version of Jana (JANA96 etc.).

¹ This is difference of the DOS version where setting of JANADIR is mandatory.

The initialisation file jana98.ini

 The initialisation file jana98.ini contains user settings and can be created interactively by Tools->Preferences. This is the hierarchy how *JANA98* searches for jana98.ini:

- 1. The directory written to JANAPATH (if this variable exists)
- 2. The user home directory
- 3. The starting directory¹

 The last initialisation file has the highest priority. This means that the same option included in all processed initialisation files is taken from the last one. This feature is useful if a multi-user environment. The initialisation file in JANAPATH can contain options set by the administrator and each user can redefine these options in own configuration files.

 The initialisation file for UNIX version of *JANA98* can be configured separately for various display addresses. For instance there can be setting in the initialisation file making the program running in the full screen mode on a small display and in the reduced window mode on a large display. This may cause difficulties when using the secure shell - see page [22.](#page-21-0)

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¹ In *JANA98* the directory can be changed by File manager. Thus the starting directory is not necessarily the current directory.

1.1.3 Installation of *JANA98* **on a PC with Windows9x/NT or DOS**

The PC version is distributed as self-extracting installation file janainst.exe containing the compiled program jana98.exe and all other necessary files. It does not contain the source code. The program is compiled by LF90 (LAHEY FORTRAN 90) version 4.00. The graphic interface is based on INTERACTER 4.10 graphic library. The JANA98 source code for LF90 can be obtained on the request to petrice α fzu.cz. The installation procedure creates also the initialisation file jana98.ini with basic setting of display, colours etc. The file can be interactively changed by user with Tools->Preferences. In rare cases the program does not work properly with mouse and needs another configuration. In such cases please contact petrice α fzu.cz.

Step by step instructions

According to our experience 16 MB of operating memory is minimal, 32 MB sufficient and 64 MB optimal for running *JANA98*.

Program properties for Windows95/98

In many cases *JANA98* runs well immediately after installation and restarting the computer. Sometimes it is necessary to set properties for *JANA98* DOS environment. The window with "Jana98 properties" can be opened from a menu appearing after clicking the right mouse button on the file jana98.exe in the windows explorer or in the "My computer" window.

The following pictures show two tabs important for running of *JANA98*.

Figure 1 The protected memory setting for Windows95

1.1.4 Adjustable parameters

The number of atoms, molecules etc. in *JANA98* is limited. Users of UNIX version can control some of these limits by the corresponding parameter statement in the source code. After changing the limits the program must be recompiled. For DOS version an adapted compiled program can be supplied by request.

The following table lists the adjustable parameters of *JANA98*. All parameters are defined in the file params.cmn.

Table 1 The adjustable parameters of JANA98

name	initial value	purpose
MXA	200	maximal number of atoms
MXM	5	maximal number of molecules
MXP	15	maximal number of the positions of single molecule
MXW	16.	maximal number of modulation waves
MXREF	150000	maximal number of the reflections for the refinement
MXE	500	maximal number of equations in the m50
MXEP	10	maximal number of the parameters in single equation
MXPARRF	1000	maximal number of the refined parameters

1.1.5 Location of files

The directory structure

The following figures show the directory structure of *JANA98* for PC and UNIX version. **The tree is relative to** *JANADIR* (see page [11\)](#page-10-0). The filenames shown in the figures are necessary for running of *JANA98*.

Figure 3 The Directory Structure of PC Installation

Temporary files

JANA98 uses two types of temporary files.

- The first type has names like name.1?? and \star .pcx, where name is name of the structure, * and ? are wildcards. They are saved in the directory containing the used structure. Their names are not unique so that two sessions of *JANA98* cannot run in the same directory.
- The second type has names like $cnd*$.tmp. In the case of DOS version they are saved in the tmp directory, which is read from jana98.ini. The default tmp directory is set to C:\ and it can be modified by tools \rightarrow properties. The UNIX version searches for directories /scratch, /var/tmp ,/tmp and \$(HOME) (in this order) and saves the temporary files to the first accessible one.

1.1.6 User Support

WWW Page of JANA98

The home page of *JANA98* is accessible in the address [http://www-xray.fzu.cz/jana/jana.html.](http://www-xray.fzu.cz/jana/jana96.html)

The page contains the latest information about the changes of *JANA98*, list of known bugs and available patches, link for download and a registration form.

Figure 5 The JANA98 WWW Home Page

Registered Users

The WWW home page contains also the form for registering of users. Although *JANA98* is a free software, which is fully functional without registering, the registered users take advantage of detailed e-mail messages about upgrades. For the authors this is valuable feedback information.

Figure 6 The registration form for JANA98

1.1.7 Results of testing refinements

After the system has been successfully installed the user should run refinements of the testing examples to see if it is working properly. The resulting R values should be close to the ones listed here.

Figure 7 Refinement results for the testing example testa

Figure 8 Refinement results for the testing example testb

Overall R factors : [1491=1324+167/129]	
$R(obs) = 3.75 Rw(obs) = 4.57 R(all) = 4.03 Rw(all) = 4.60$	
R factors for main reflection : [858=822+36]	
$R(obs) = 3.32$ $Rw(obs) = 4.09$ $R(all) = 3.44$ $Rw(all) = 4.10$	
R factors for satellite of order 1 : [633=502+131]	
$R(obs) = 6.45 Rw(obs) = 7.84 R(all) = 7.30 Rw(all) = 7.95$	
Maximum change/e.s.d. : 0.0223 for P Beta11	
Regular end of REFINE program	
0k	

Figure 10 Refinement results for the testing example testm

1.2 Executing *JANA98*

The PC version of *JANA98* runs as a MSDOS application in the full screen mode. It is started from the DOS command line or by clicking the *JANA98* executable or an appropriate shortcut.

The UNIX version is started from the command line.

Simultaneous run of several *JANA98* sessions is possible, but *it is strongly recommended to start each session in a special directory*. Running two sessions of *JANA98* in one directory could cause a conflict when using the temporary files.

1.2.1 The command line syntax

The command line for the PC version

The syntax for starting *JANA98* from the MSDOS command line is

```
jana98 [name]
```
The command line for the UNIX version

The syntax for starting *JANA98* from the UNIX command line is

```
jana98 [name] [options] [&]
```
The parameter name is a reference title of the structure, which is used in all the filenames of the job. The "&" at the end of the command is used to start the program on the background.

The options start by a dash followed by a keyword and a value (if required).

Table 2 The command line options available in UNIX version of JANA98

option	description
-iconic	JANA98 starts as an icon instead of normal window
-scale number	the height of JANA98 window will be number percents of the height of the display

1.2.2 The window size in UNIX version

If the option -scale is not used, the program chooses the window size based on the display size. With a small display the window is set to full screen, with the diagonal greater then 15 inches the window uses 65% of the display height. Some installations of the X11 library return bad information about the display size. The returned size is usually less than the real one. This problem can be solved by the -scale option. Another possibility is Preferences (see page [47\)](#page-46-0).

The window of *JANA98* can be also resized by the mouse but only if the program stays in the basic window. Otherwise it is automatically backed to the original size.

1.2.3 Using UNIX version of *JANA98* **with ssh**

In some laboratories connection to an X-server is preferably done through the secure shell (ssh). The X11 graphics coming from the X-server to a user's display is encrypted and this may considerably slow down all graphic operations (like selection from a program menu, moving of a process indicator etc.)¹.

 Whether the graphics is encrypted can be recognised from the DISPLAY environmental variable. For a non encrypted connection DISPLAY takes a simple form like bigstar.ulima.com:0. With encrypted connection the display address is changed by ssh to a form like bigstar.ulima.com:11.0 (the number is not necessarily 11). If we set DISPLAY back to the original address (i.e. bigstar.ulima.com:0) the X11 graphics stops to be encrypted. An elegant way is to create an alias like²

alias jana98 (setenv DISPLAY jana98 bigstar.ulima.com:0; jana98 \!*)&

which starts *JANA98* without encryption but leaves the parent window in the encrypted mode.

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¹ We found this problem with PC connected to an X-server through teraterm with ssh and using eXceed or Xwin32 like an X11 emulator.

² This example is written in csh shell.

1.2.4 File name conventions

Each filename used by *JANA98* consists of the name of the structure and two or three character extension**. In this manual the files are referenced only by their extensions.**

Table 3 Basic file extensions in JANA98

group	extension
input/output files	$m+number$ ($m40, m50$ etc.)
listing	first three characters of the program name (ref , fou etc.)
configuration	ini
CIF	cif, smr
scratch files	I+number (181,182 etc.)
	tmp
	pcx
graphic output	ps, hg1, pcx

1.2.5 Elements of *JANA98* **graphics interface**

JANA98 provides the following basic communication tools:

- Pull down menu
- Form
- Dialogue box
- Message box
- Special tools

All of them can be controlled by the mouse and from the keyboard. The special tools (for instance the File manager, the Select Atoms form etc.) will be described elsewhere.

Pull down menu

The pull down menu is used to select and start the desired action from the list.

Figure 11 An Example of the Pull Down Menu

Form

Form is used for gathering information necessary for some action. It contains the following objects: text boxes check boxes, selection boxes and buttons.

Figure 12 An Example of the Form

Text box

The text boxes are used for entering a text from the keyboard. Unlike standard windows programs the text cannot be cut or pasted.

Figure 13 Example of the Text Box

Dialogue box

The Yes/No buttons can be selected by mouse, TAB key or by arrows.

Figure 14 Example of a dialogue box

1.2.6 Atom names in *JANA98*

Each atom of the structure model has a name listed in the refinement parameter file m40. The length of the name is limited to 8 characters, but *the recommended length is 5 characters* as in some cases *JANA98* appends another characters to the end of the atom name. The names are *case insensitive*.

Wildcards

In the text boxes of some forms and also in the restric, equation and fixed commands of *REFINE* (see page [245\)](#page-244-0) and the center command of *FOURIER* (see page [273\)](#page-272-0) groups of atoms can be defined using the wild cards. The wildcards have usual meaning:

Sn* denotes all names starting with string "sn".

S? denotes all names starting with "s" and containing two characters .

?a* denotes names having the letter "a" in the second position.

Molecular positions

If some atom is a part of a molecule, a character denoting the molecular position is appended to the name. For instance, atom As of a model molecule has name Asa in the 1st position, Asb in the $2nd$ one etc. See page [140](#page-139-0) and [171](#page-170-0) for more information about molecules).

These extended names can be used for definition of a general plane (page [299\)](#page-298-0), in the *DIST* select control command (page [312\)](#page-311-0) etc They cannot be used in the refinement restriction commands (see page [245\)](#page-244-0) because they are not present in the m40 file.

The internal symmetry codes

Some tools of *JANA98* accept the internal symmetry codes denoting symmetry position of the atom. The internal symmetry code is separated from the basic name by "#" character.

Syntax: sn cm ti1i2i3 (typed without spaces)

- sn specifies the $|n|$ th symmetry operator from the m50 file. If n is negative, the operation is combined with the center of symmetry¹.
- ϵ cm specifies that the mth centring vector will be added to the result of the symmetry transformation s_n (The centring vectors are listed in the basic crystal information part of any *JANA98* listing)
- ti1i2i3 specifies the additional cell translation defined by three integers i1, i2 and i3.

¹ if it exists in the structure - otherwise an error message occurs

Examples :

Si3#s-3c2t1,-1,0 Na1#s2 Cr4#t1,0,-1

An atom name together with an internal symmetry code can exceed the length of 8 characters because it is never present in the m40 file. The internal symmetry codes can be used for the definition of the general section plane and are also present in the *DIST* listing m61.

1.3 Basic parts of *JANA98*

1.3.1 List of programs

The following table shows basic programs of *JANA98*.

[Table 4](#page-28-0) (continued)

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1.3.2 List of files

JANA98 uses basic, derived and temporary files. The basic files (m95, m94, m50, m40 and m91¹) contain all necessary information about the crystal data and structure model. The derived files contain information, which can be reconstructed from the basic files. The temporary files are used internally by the program and are deleted before exit.

name	purpose
reference	
m95	Name: Basic reflection file
(Page	Created by: DATRED or PRELIM
58)	Input for: DATRED or PRELIM
	The basic reflection file m95 contains diffractometer data in a common format. In
	an ideal case it contains all measured reflections corrected only for LP factor.
	Every time the user changes symmetry of the structure model, transforms cell
	parameters or needs to recalculate the absorption correction JANA98 uses data
	from this file. Then the new refinement reflection file m91 can be created. M95 is
	usually created by DATRED from the diffractometer output file. It can also be
	created by PRELIM by importing the reflection files of various formats (SHELX,
	JANA94, M94/M95 etc.) but in this case its usage may be limited (for instance,
	because the imported file may contain only symmetrically independent
	reflections).
	M95 is used together with m94.
m94	Name: Header file of m95
	Created by: DATRED or PRELIM
	Input for: DATRED or PRELIM
	The Header file of m95, m94 contains information about orientation matrix, cell
	parameters, possible user transformations etc.
m ₅₀	Name: Basic crystal information file
(Page	Created by: PRELIM
77)	Input for: all programs of JANA98 except DATRED
	The first part of the basic crystal information file m50 contains cell parameters,
	symmetry information, atomic form factors etc. The basic information is
	common for all programs of JANA98 and is separated from another part by the
	keyword end. The second part of m50 contains keywords specific for basic
	programs of JANA98. A section for a particular program starts with the name of
	the program and ends with the keyword end.
	Note: changing the basic crystal information part of m50 "by hand" is a bad
	practice. The recommended tool is program PRELIM. The second section can
	be edited in a text editor or - more conveniently - by the Set Commands tool of
	JANA98.

Table 5 The basic files of JANA98

¹ m91 can be created from m95 and m50 but it is nevertheless called basic for its close relation to the structure model. With m40, m50 and m91 the user can immediately start refinement.

with the positional modulation amplitudes calculated from the maps. *EDITM40*

The Fourier minima file m47 contains an ordered list of Fourier minima. The file

can be used for adding the maxima as new atoms to the m40 file.

has the same format as m48 except modulation parameters.

m47 (Page [282\)](#page-281-0)

Name: Fourier minima file **Created by:** *FOURIER* **Input for:** *EDITM40*

m45	Name: Model molecule file
(Page	Created by: user
177)	Input for: EDITM40
	The <i>model molecule file</i> m45 contains coordinates of a model molecule. It can
	be used for creation of a new molecule by EDITM40.
m81	Name: Fourier map file
	Created by: FOURIER
	Input for: CONTOUR
	The Fourier map file contains a set of Fourier maps represented by two-
	dimensional nets of numerical values of electron density. The CONTOUR
	program interprets m81.
	Note: m81 is a binary file
m61	Name: Long listing of <i>DIST</i>
(Page 330)	Created by: DIST
	The m61 contains the results of <i>DIST</i> in a one column form together with
	symmetry codes used for the calculation of a distance or an angle.
pre	Listing of PRELIM
(Page	
88)	
ref	Listing of REFINE
(Page	
260)	
fou	Listing of FOURIER
(Page	
283) dis	Listing of <i>DIST</i>
(Page	
318)	

Table 7 The derived files of JANA98

1.3.3 Basic steps with *JANA98*

This part describes the basic common steps with *JANA98*. More information about the programs mentioned here is available in [Table 4,](#page-28-0) the input and output files are explained in [Table 5.](#page-30-0)

• *Preparing the basic reflection file m95 and m94*

There are two ways how to create m95 and m94. If the output file from the data collection is available m95 and m94 are created by *DATRED* by processing this file. In this case m95 and m94 contains complete information about the data set. Another way is the import of a reflection file created by other system by *PRELIM*. The usage of m95 and m94 created by *PRELIM* is limited as they may not contain full information.

• *Preparing the basic crystal information file m50 and the refinement reflection file m91*

Both m50 and m91 are created by *PRELIM*. M50 is prepared interactively by supplying information requested by *PRELIM*. Then the user can create m91 from m95 through "Creation of refinement reflection file".

• *Preparing the refinement parameter file m40*

The initial m40 can be prepared by two ways:

- 1. By solving the phase problem by the heavy atom method. *FOURIER* calculates the Patterson synthesis based on m80 prepared by running zero refinement cycles with m40 without atoms. If m40 already contains atoms *FOURIER* uses ordinary m80 and the type of map is chosen through the SetCommands tool The Patterson map can be interpreted using *CONTOUR* and the listing of *FOURIER*. The initial structure model can be added to m40 by *EDITM40*.
- 2. By starting from the structure model solved by other systems. *JANA98* contains tools for reading SHELX and CIF files. Both are accessible in the Tools menu in the basic window of *JANA98*.

• *Refining the structure*

Once m91, m50 and m40 are prepared the user can refine the structure by *REFINE*. The SetCommands tool can set the parameters of the refinement. The refined model is saved in m40 and new input file for Fourier calculation is available in m80.

• *Calculating Fourier and Patterson maps*

The Fourier and Patterson maps are calculated by *FOURIER*. The SetCommands tool controls the type of the map and other characteristics. The input file for *FOURIER* is the file m80 created by *REFINE*. The Fourier maxima and (for modulated structures) modulation parameters found in the map are saved in m48, which can be directly used as an input for *EDITM40*.

• *Interpretation of the Fourier maps by the* **CONTOUR** *program*

CONTOUR interprets the maps stored by *FOURIER* in m81.

• *Calculation of the distances and angles*

DIST calculates distances and angles from the parameters written by *REFINE* to m40. It also works with the Fourier maxima stored by *FOURIER* in m48.

The following three examples illustrate relation between *DATRED* and *PRELIM*.

♦ *Changing the symmetry*

The user can change the symmetry information in m50 through the Symmetry form of *PRELIM*. This change has no influence to m40 and m91, which must be updated by the user. New m91 is created from m95 by Creation of the refinement reflection file. The necessary change of m40 depends on the new symmetry.

♦ *Changing the absorption correction*

The absorption correction can be changed by *DATRED.* The necessary condition is that m95 contains desired information, i.e. that it has been created directly from the diffractometer file. *DATRED* calculates the new correction and creates the new *basic reflection file* m95. Then the user can create new m91 by *PRELIM*.

♦ *Merging reflections of several measurements*

JANA98 can work simultaneously with data from several measurements of the same crystal. The necessary condition is that the data are of the same type - X-ray and neutron data cannot be mixed. First the user processes each data set by *DATRED* using different job names for each run. *DATRED* creates the basic reflection files like name1.m95, name2.m95 … and corresponding files m94. Then the user starts *JANA98* again with the definitive job name and joins all m95's by the "Import of reflections" tool of *PRELIM* into one single m95. The last step is creation of new m91 by *PRELIM*. Each data set can have its own scale in m91. If there are some common reflections in all sets the sets can be rescaled to a common scale.

¹ The diffractometer output is not used if m95 already exists.

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² See p. 72 for more information about supported formats.

³*PRELIM* creates or changes m94 and creates or extends m95 if it imports data from other system or already existing file.

⁴ If the m40 is missing or if it doesn't contain atoms, *REFINE* prepares the m80 file for the Patterson synthesis.

⁵ The m81 is not necessary if *CONTOUR* is used for plotting of probability density functions.
1.4 The basic window and common tools

Figure 16 The basic window of JANA98

Starting of *JANA98* is explained in page [21.](#page-20-0) After loading of *JANA98* the user see the basic window with a menu bar, a status bar, icons and buttons. The *icons* are used for starting the basic programs of *JANA98* or for the modification of their control commands. The *menu bar* is used for the actions, which are not included in the basic programs. The *buttons* on the right are all disabled and reserved for basic programs. The **status line** shows the name of the current structure.

1.4.1 The SetCommands Tool

This tool is used for editing user commands of basic programs of *JANA98.* The user commands are saved in m50, their syntax is explained page [77](#page-76-0) and also in the chapters describing the basic programs.

SetCommands can be started by clicking the corresponding icon in the basic window of *JANA98*. In this case it offers a list of programs for which the tool is available. It can be also started directly for the relevant program by clicking the right mouse button on the program icon.

The SetCommands works for *REFINE*, *FOURIER* and *DIST*. First it sets the default options of the program, then it reads possible user commands from m50 and finally it opens the graphics interface for editing of the commands. After quitting and user confirmation it writes the commands back to m50, but only the ones different of the default values.

1.4.2 The File Menu

The Unix shell item of the File menu is used for executing of a Unix shell command. Typically it starts the xterm window but the action is configurable via user preferences (see page [46\)](#page-45-0). In the DOS version the item is called Skip to DOS and starts a DOS window or a DOS command line.

The Change directory/structure item starts the File manager of *JANA98*, which is described in the next page.

Figure 17 The File Menu

The file manager

The file manager is used for selecting or changing the current job name. The left window is used for selecting the directory, the right one lists the files. If some files are recognised as belonging to one job name they are grouped together and given a flag STR or DAT. This feature occurs when the file manager is started in the group mode.

The user can choose an existing job name or type a name of a new job in the textbox on the right. In both cases after quitting the File manager *JANA98* skips to the selected directory and uses it as the new working directory.

Figure 18 The file manager working in the group mode

Note: A structure name selected in the right panel by a single click but not sent to the textbox by a double-click is ignored.

The Edit Menu

Editing of file starts the file manager without the group mode, i.e. showing all files in a selected directory.

The item Editing of m40/m50/m91 file opens a file directly in the text editor. The default text editor is edit.com for the case of DOS and vi for Unix. In both cases the editor command can be set by the user in preferences.

The item View of Refine/Fourier/Dist activates the listing viewer, which is described in the next paragraph.

Figure 19 The Edit Menu

	Edit/View Run Tools
Editing of file	
Editing of m40 file	
Editing of m50 file	
Editing of m91 file	
View of Refine	
View of Fourier	
View of Dist	

The Listing Viewer

The listing viewer shows the listing of *REFINE*, *FOURIER* or *DIST*. The advantage over using an ordinary text editor is that the listing is properly formatted showing 1 page in one screen. This is especially useful for *DIST* that prints the distances in the newspaper column format.

In the user preferences the listing viewer can be replaced by a text editor defined by the user.

Backward	Forward	First	Last	Go to	Find	NextFin	Print	Esc
Refinement program structure :								1 $page =$ $06:36:20$ 22-Nov-0
The following lines were read as a control data :								
=>cycles 6 iext 1 itypex 2 idistr 2 repeat 10 badref 10 unstab 3 snlmn 0.08<=								
$=$ >radius 0.025<=								
$=$ >! fixed xyz *<=								
$=$ >!fixed u *<= $=$ >!fixed rhoiso<=								
$=$ >!fixed x[f1]<=								
$=$ >! fixed $y[$ f1] <=								
$=$ >!fixed x[f2]<=								
$=$ >! fixed $y[f2]<$								
$=$ >! fixed y[f3] <=								
$=$ >!fixed z[f3]<=								
$=$ >! fixed $y[$ f4] <=								
$=$ >!fixed z[f4]<= $=$ >! fixed x[f5] <=								
$=$ >! fixed $z[$ f5] <=								
$=$ >!fixed x[f6]<=								
$=$ >! fixed $z[$ f6] <=								
$=$ >! fixed all k^* <=								
$=$ >!fixed z[as] <=								
$=$ >! fixed x[f1] <=								
$=$ >!fixed x[f2] <=								
$=$ >!fixed z[f3] <= $=$ >! fixed $z[$ f4] <=								
$=$ >! fixed z[f5] <=								
$=$ >!fixed z[f6]<=								
$=$ >! fixed y[f1] <=								
$=$ >!fixed y[f2] <=								
$=$ >! fixed xyz f1<=								
$=$ >skipflaq 2<=								
\Rightarrow end<=								
Centrosymmetric space group : Pnab								
wave length :	0.56090							
Cell parameters	$\overline{}$	9.3910 11.3360 28.1730		90.00 90.00	90.00	Volume :	2999.2	
List of centring vectors :								
0.000000 0.000000 0.000000								
Symmetry operators :								
у x $1/2-x$ $1/2+y$ $1/2+z$ $x 1/2+y 1/2-z$ $1/2-x$ v	z $-\mathbf{z}$							
\mathbf{f}'	£"			Atomic scattering tables - in steps 0.05				
pg $1/2$ ln 1/55	$c \ln 1/127$							

Figure 20 The listing viewer

1.4.3 The Run Menu

The Run menu is used for starting the basic programs of *JANA98*.

Figure 21 The Run Menu

1.4.4 The Tools Menu

The Tools menu contains various jobs not made by basic programs.

Figure 22 The Tools Menu

Tools
Recover m40 file
Generate subgroup structure
Transfer files from SHELX
Make CIF file
Read CIF file
Preferences
About JANA98

Recovering **m40** *file*

At the beginning of each refinement program *REFINE* makes m40 backup file named s40. This tool copies the s40 back to the m40.

In the current version of *JANA98* there is only one level of backup. If the refinement runs with the refinement repeat greater that 1 the s40 is overwritten after each refinement repeat (see page [231\)](#page-230-0).

Transferring files from SHELX

This tool reads the name.hkl and name.ins SHELX files and creates files m40, m50, m91, m94 and m95. The job name of the created files is the one *JANA98* has been started with.

Figure 23 Importing SHELX files

Creating CIF Files

JANA98 supports the core CIF dictionary version 2.1beta3 (the last update 8/12/1997) and the CIF for modulated structures (G.Madariaga, 1994) version 0.4 (the last update 27/9/1998).

This tool creates CIF file name.cif by combining the files m40, m50 and smr. The file name.smr is used for collecting necessary information during the run of the basic programs of *JANA98*. Each program has its own header in name.smr..

In order to have as complete CIF file as possible the user should

- check the basic crystallographic information like the cell contents in *PRELIM* .
- run several cycles of the refinement with the option for creation of the FoFc file
- calculate difference Fourier map for the independent cell volume
- run *DIST* with carefully selected bond limits and other options

If some information needed for the CIF file is missing *JANA98* fills the missing fields with "?". Some fields, like cell measurement temperature are always filled with "?" and the user is expected to complete them in a text editor. The information from *DIST* usually contains superfluous items and needs some editing, too.

The CIF concept, syntax and tools are described in [http://www.iucr.ac.uk.](http://www.iucr.ac.uk/) The CIF files can be checked or printed with help of the following automatic services¹:

- The services for checking of the syntax and completeness of the CIF files are checkcif@iucr.ac.uk and <http://www.iucr.org/iucr-top/journals/acta/c/services/checkcif.html>**.** If the mail serves is used the CIF file should be sent as the body of the message.
- The services for printing the CIF are $\frac{\text{printci}\left(\frac{\partial}{\partial u}\right)u}{\text{eric}}$ and <http://www.iucr.org/iucr-top/journals/acta/c/services/printcif.html>**.** In the case of the mail server the printed CIF is returned as a PostScript file. The WWW service returns a PDF file.

Reading CIF files

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This tool reads CIF files and converts them to m40, m50 , m91, m94 and m95. The initial job name is arbitrary as the tool gives the possibility to select new name for the converted structure. The files m91, m94 and m95 are created from the FoFc table by the standard procedure described in page [83.](#page-82-0)

¹ Unfortunately CIF for modulated structures is not supported. However, this version of CIF dictionary is accepted in the database of modulated structures in http://www.cryst.ehu.es/icsdb.

 Generating a subgroup structure

One of the typical tasks of the structure analysis is changing of a structure model with higher symmetry to a lower symmetrical one. This tool enables to do such transformation easily and consistently. The necessary condition is that the new space group is a subgroup of the original one.

- In the first step the user selects from the list of symmetry operators which ones should be present in the new structure model. After pressing the Complete subgroup button the program completes the subgroup (this means that only necessary generators can be selected) and tries to derive the new space group symbol from the selected operators.
- When the subgroup is successfully completed and the form is closed the procedure continues by giving the user possibility of choosing operators which will be used for expanding the structure model or generation of twinning operations. This selection cannot influence results but can be convenient for instance in cases when several structure models are to be compared.
- In the last step the user decides if the removed symmetry operators are to be replaced by twinning operators and also selects the job name for the new structure model. Then the procedure creates the basic files of the lower symmetrical model.

	Define subgroup	
Operator		$Smb.$ $Dir.$
x y z		$1 \mid (0,0,0)$
$-x -y -z$		-1 (0,0,0)
$1/2+x -y -z$		21 (1,0,0)
$1/2-x$ y z		(1, 0, 0) m
$-x$ 1/2+y -z		21 (0,1,0)
$x \frac{1}{2-y} z$		(0,1,0) m
$1/2-x$ $1/2-y$ z		$\mathbf{2}$ (0, 0, 1)
$1/2+x$ $1/2+y$ -z		(0, 0, 1) n
Inversion center	Complete subgroup	
Space group : Pmmn Subgroup : Pn	Origin : $0 0 0$ Origin : $0 0 0$	Index : 4
	0k Esc.	

Figure 24 Generation of the subgroup structure

 User preferences

Both PC and UNIX version of *JANA98* uses the configuration file jana96.ini (see page [12](#page-11-0) for details about UNIX initialisation file with the user preferences. This tool is the interface to this file.

DOS preferences

- The Editor line contains the text editor command edit is default as it is always available.
- The DOS command line contains the command executed by File- $>$ Skip to DOS. Implicitly it is the DOS window.
- Tmp directory is used for temporary files see page [16](#page-15-0) for details.
- The Use built-in viewer option is used for choosing between the built-in viewer or a text editor for viewing the listings of the basic programs.
- X-Shape is a program of $STOE + CIE$ GmbH for optimisation of the crystal shape for absorption correction. Its usage in connection with *JANA98* is explained in page [60.](#page-59-0)

Figure 25 The User Preferences for the PC Version

 UNIX preferences

Unix preferences are much more complicated than in the case of DOS due to the existence of wide variety of workstations and flexibility of the environment. The most important features are

- hierarchy of initialisation files (see page [12](#page-11-0) for details)
- possibility to define display specific settings, i.e. settings valid for a specific internet display address.

UNIX general preferences

The following figure shows the General preferences, which are executed regardless of the display address.

- Start as icon means that *JANA98* will be automatically iconised after the start up.
- Set icon position defines the coordinates (in pixels) of the upper left corner of the icon window. If the x coordinate is negative it is understood as the distance between the right side of the icon window and the right side of the display. If the y coordinate is negative it is understood as the distance between the bottom of the icon window and the bottom of the display.
- The Window position enables to define the coordinates (in pixels) of the upper left corner of the *JANA98* window. For negative coordinates the same rules are valid like in the case of Set icon position. If the check box is activated and the user moves the window of *JANA98* by mouse (with open Preferences form) the current coordinates of the upper left corner appear automatically in the text boxes.
- The double click speed is given in tenth of the second.
- The editor and printer commands contain special characters %d and %f. The %d stands for the name of the X11 monospaced font used like *JANA98* system font. The %f stands for the name of the file, which will be printed or edited. The %f must not be omitted.
- The Unix shell command is used by File->Unix shell
- The viewer command is used for editing of listings of the basic programs in case the user does not prefer the built-in viewer.

Examples of printer commands

rsh commands on the local workstation - please contact the system administrator for more details.

xterm -e prjana %f The last command can help in the special case that a user dialogue precedes the printing. Typical situation is if the print server asks for login and password. The command opens the xterm window and immediately starts the procedure prjana in the window. Prjana should contain commands for connecting the print server and printing. The xterm window is closed automatically when prjana is finished.

Examples of editor commands

textedit -fn %d %f	Typical command for SUN workstation
emacs -font %d %f	The command for emacs editor.
	xterm - fn %d - e vi %f The command for vi editor. This editor does not open its own window and in this command it uses the xterm
nedit %f	window. The command for nedit editor. This is one of the most user friendly editors in Unix world.

Figure 26 The General User Preferences for UNIX Version

UNIX display-specific preferences

JANA98 automatically recognises an internet address of the display. If the address matches the one written to the initialisation file the corresponding preferences are used. The initialisation file can contain options for several displays. Problems with display-specific settings may arise when using ssh for connection to the Unix workstation because the secure shell changes the display address. See page [22](#page-21-0) for more information.

- The options in the left part of the form are the same like the ones for General preferences. The Display specific preferences take priority over the General preferences.
- The Basic font is the one used for *JANA98* menus, forms etc. It can be selected by L button but the user rarely needs to change it. Proportional fonts are not allowed.
- The editor font is used for editing of files. It can be selected by L button and must not be proportional.
- The Viewer size and the Viewer font are used for the built in listing viewer. In the case the user does not use the built in viewer the listings are opened in a text editor and displayed with editor font. The Viewer size and the Viewer font cannot be set independently. They should be only used if the default viewer settings do not give satisfactory results, for instance if the viewer font is too small. In this case the most effective way is changing the Viewer size. The size units are given in pixels.
- Line spacing correction is used for the listing viewer. It is given in pixels and a positive value enlarges the line spacing.
- The Display dimensions setting is used for the definition of a virtual display. The user does not need this option under normal circumstances. Some X servers, however, returns invalid information about display dimensions. The virtual display defines the visible area of the screen and the window position and size are defined with respect to this rectangle. If the display dimensions are changed the position and window size are immediately recalculated in order to have the same window at the same place.

Figure 27 The Display-Specific User Preferences for UNIX version

Resizing JANA98 basic window

The PC version of *JANA98* runs in the full screen mode and the user can only select the reduced or full window size.

UNIX users can define the size of the *JANA98* basic window by three ways:

- By the command line option -scale (see page [21\)](#page-20-0).
- Through the "Preferences" form.
- By dragging the corner of the window by mouse

The "Normal window" in the Preferences is the default one or the one defined by the -scale option. The "Minimal window" is the smallest window *JANA98* can properly work in.

The resizing of the window by mouse works only in the basic window mode. The size of the window is changed and the X11 fonts are reloaded. The window manager is instructed to keep the aspect ratio unchanged. If *JANA98* is not in the basic window mode, the window size is automatically backed to the original value.

 Printer settings

Some basic programs of *JANA98* and also the listing viewer can send an output directly to a printer through a Print button. Printing works only the printer settings in Preferences is correct.

The printer settings for UNIX discussed in page [47.](#page-46-0) The output format of the print files is always PostScript.

The PC printer settings can be defined via the Printer item of the Tools menu, which is available only for DOS version of *JANA98*. The PC version uses PostScript or raster output. Both of them can be directed to the ports Lpt1 or Lpt2. In the Printer form there is a list of printer drivers, which is important only for the raster output. In the case of the PostScript output there is only one PostScript driver available which is expected to work properly with every PostScript printer.

[Preliminary Work](#page-53-0)

This part describes how to prepare the basic data files for JANA98.

IN THIS CHAPTER:

Next Chapter: [Structure Solution and Refinement,](#page-91-0) page [91.](#page-287-0) Previous chapter: [Basic Features,](#page-7-0) page [7.](#page-50-0)

2 Preliminary Work

This part describes programs *DATRED* and *PRELIM* for preparation of the reflection file and the basic crystal information. This work precedes the structure determination.

- *DATRED* is used for reading of a diffractometer output, making the data reduction, testing the symmetry of the diffraction pattern and transformation of the cell and modulation vector.
- *PRELIM* is used for preparation or changes of the crystal information file m50 and for creation of the refinement reflection file m91.

The cursory overview of the basic programs and their communication is given in page [29.](#page-28-0)

2.1 Program *DATRED*

Input: diffractometer file or [m94 and m95] **Output:** m95,m94, SHELX diffractometer file

DATRED (the Data Reduction Program) is used for three basic tasks:

- reading of a diffractometer output
- making the data reduction
- testing the symmetry of the diffraction pattern and making transformation of the cell and modulation vector

DATRED transforms each supported diffractometer output to the common diffractometer format and saves it in files m95 and m94. These files are used for another tools of *DATRED* and also for creating of the reflection file m91 by *PRELIM*. If reflections are imported by *PRELIM* (because a diffractometer file is not available) they are converted to m95 and m94 to enable *DATRED* tools.

 The common diffractometer file can be used for restoring original data. This is for instance used when changing symmetry - the data are restored from m95 and then a new symmetry is applied. The changes made by *DATRED* do not affect the original data in m95 as they only change additional coefficients.

2.1.1 Reading of diffractometer files

Figure 29 shows a screen appearing when starting *DATRED* for a job without m94 and m95. The user should select a diffractometer¹, supply a necessary information and start the import.

Figure 29 The basic window in the case that m95 does not exist

¹ The list of the diffractometer types can be extended by request of the users.

The next form is used to define the centring vectors which are necessary to recover (3+d) dimensional indices of modulated crystals from setting diffractometer angles and the orientation matrix. The form is activated only if the structure is modulated and the diffractometer cannot handle $(3+d)$ dimensional indices directly $(CAD4¹$ and Siemens P4). As the vectors need not to be independent there is the Complete the set button for adding vectors derived from the already existing ones. In the example in Figure 30 the $4th$ line can be added by Complete the set.

Figure 30 The Centring Vectors Form

After quitting the Centring vectors form *DATRED* reads the diffractometer output file, makes the LP corrections and (for some diffractometer types) the correction for the standard reflections decay. When the transformation of the diffractometer file to m94 and m95 formal is successfully finished *DATRED* opens the main menu (see Figure 31).

Figure 31 The main menu of DATRED.

```
Import data collection file
Import psi-scan file
Absorption correction
Show crystal shape
Simulation of precession photo
Point group test
Cell transformation
Change of modulation vector
Export to SHELX
```
 \overline{a}

¹ CAD4 data file collected in the file mode can be used without using any additional information.

Appending another diffractometer file

With the first item of the main menu of *DATRED* the existing m95 and m94 can be overwritten or appended by another data collection file. This tool can be used only for appending files of the same measurement. A procedure for joining data sets with different scales or twin domain numbers is described in chapters page [34](#page-33-0) and [72.](#page-71-0)

2.1.2 The basic reflection file m95

The *basic reflection file* m95 contains all reflections from the measurement and their LP and absorption correction coefficients. Depending on the way it has been created m95 can also contain diffractometer angles and direction cosines. M95 can be created by *DATRED* from the diffractometer output file or by *PRELIM* by importing the reflection file of a different system (SHELX etc.).

M95 can include joined reflections from several measurements or from several twin domains. The scale factor and the serial number of the twin domain depends on a data set/twin domain the reflection belongs to. The joining of data set from several measurements or twin domains is described in chapters page [34](#page-33-0) and [72.](#page-71-0)

```
Figure 32 The Basic reflection File m95
```

```
 -1 3 0 0 7.95 7.54 7.59 7.46 8253.2 32.2 0.028 1 1 
 0.100000E+01 0.100000E+01 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 
 -2 0 3 0 68.07 2.47 7.53 7.46 7538.2 30.8 0.041 1 1 
 0.100000E+01 0.100000E+01 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 
  -3 3 -3 -2 -45.24 -48.54 12.77 12.74 1221.2 12.7 0.055 1 1 
 0.100000E+01 0.100000E+01 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 
  4 1 -5 -6 -80.47 -69.33 34.79 34.79 3.7 1.8 0.089 1 1 
 0.100000E+01 0.100000E+01 0.0018 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000
```


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¹ Let us denote the direction cosines in m95 like D11, D12, D13, D21, D22, D23. Then the relationship to the direction cosines S11, S21, S12, S22, S13, S23 from SHELX diffraction files is the following: $S11 = -D11$; $S21 = D22$; $S12 = -D12$; $S22 = D22$; $S13 = -D13$; $S23 = D23$.

The vector of the incident beam in the JANA system is supposed to be directed from the source to the crystal

2.1.3 Absorption correction

Absorption correction is calculated for a spherical or cylindrical sample or for a general shape defined by the bounding crystal faces. The absorption coefficients are saved in m95 but the rest of the file remains unchanged so that the absorption correction can be arbitrarily repeated. The program needs the direction cosines to make the correction; in the case of four circle diffractometer they are calculated from the setting angles, for other diffractometer types they must be present in the diffractometer file.

Before calculating the absorption correction the program asks for a chemical formula and number of the formula units in the unit cell. The chemical formula can be entered in an arbitrary case but **a number must separate each chemical element**.

Figure 33 The Cell Contents Form

Absorption correction for a general shape

The absorption correction for a general shape is calculated by the Gaussian integration method. The accuracy of the absorption correction calculated with a default Gaussian grid "10 10 10" is usually sufficient.

The crystal shape is defined in the Crystal shape form in terms of the face indices and their perpendicular distances from an arbitrary common point in the crystal.

Figure 34 The Crystal Shape Form

Optimisation of the crystal shape by X-Shape

X-shape is a program of $STOE + CIE$ GmbH for optimisation of the crystal shape. It needs as the input the basic crystallographic information, the list of bounding planes and the file with intensities, direction cosines of equivalent reflections or file with a set of reflections measured by the Ψ-scan.

The DOS version of *JANA98* has an additional item in the main menu of *DATRED* called Run X-Shape, which prepares the input files for X-Shape and then swaps *JANA98* in order to allow X-Shape running under windows95/98. When X-Shape is finished *JANA98* restores and reads a new optimised crystal shape so that the user can immediately run the absorption correction.

X-Shape is available in [http://stoe.com/.](http://stoe.com/)

Figure 35 Running X-Shape

The necessary steps for running X-Shape from **JANA98***:*

- First the Point group test has to be executed from the main menu of *DATRED* with the desired point group and its results has to be saved. See page [64](#page-63-0) for details about the Point group test.
- The cell contents and optionally also the input crystal faces should be defined in Absorption correction in the main menu of DATRED
- X-Shape is executed by Run X-Shape item in the main menu of *DATRED*.
- DOS exit command restores *JANA98.* The optimised faces can be either accepted or rejected for a subsequent work.

The Crystal shape viewer

The Crystal shape viewer can examine the crystal shape used for the absorption correction. Before the viewer is started the form showed in page [60](#page-59-0) is opened so that the crystal shape can be edited.

2.1.4 Simulation of a precession photo

DATRED calculates a simulated precession photo from reflections from m95.

Figure 37 The View Definition for the Precession Photo

Figure 38 The Simulation of the Precession Photo

2.1.5 The Point group test

This tool is used for testing the symmetry of a diffraction pattern. The reflections are averaged according to an interactively chosen point group and then the information showed in the following figure is reported:

Figure 39 The Point Group Test Results

			Point group test			
New	4.771	4.771	4.497	90.00	90.00	120.00
Min.	4.756	4.756	4.497	89.87	89.87	119.37
Max.	4.801	4.801	4.497	90.13	90.13	120.32
	Rint $(obs/all) = 10.18/10.18$					
			0k			

The R_{int} value is calculated for all reflections and for observed reflections with I > 3 σ (I). The cell parameters are calculated as an average from their values resulting from the transformation by symmetry operations of the tested point group.

After pressing OK the user can continue with another point group or can quit the test and (optionally) save the results.

2.1.6 Cell transformations

This tool offers various types of cell transformations. The transformation matrix is defined by the following equation:

$$
\begin{bmatrix} \mathbf{a}'_1 & \mathbf{a}'_2 & \mathbf{a}'_3 \end{bmatrix} = \begin{bmatrix} \mathbf{a}_1 & \mathbf{a}_2 & \mathbf{a}_3 \end{bmatrix} \begin{bmatrix} T_{11} & T_{12} & T_{13} \\ T_{21} & T_{22} & T_{23} \\ T_{13} & T_{23} & T_{33} \end{bmatrix}
$$

	Original cell paramaters				
4.818 16.001 6.374 90.00			99.36	90.00	
	Transformed cell paramaters				
6.374 9.636 16.711 73.24 87.31				80.64	
	Exit from cell transformation routine				
Transformation by matrix Transformation to doubled cell					
	Transformation to reduced cell				
	Return to the cell from data collection				
One step back					

Figure 40 The Cell Transformation Menu

The transformed cell parameters are shown in a small window in the top of the Cell transformation menu. The last transformation can be cancelled by One step back. The point Return to the cell from data collection cancels all transformations.

If the transformation is accepted it is saved in m94 and all subsequent reading of m95 is combined with this operation. The cell parameters in m50 are also changed. If m91 already exists its indices are automatically transformed together with coordinates of existing structure model in m40.

 It should be noted that the point Return to the cell from data collection recovers **the last saved** transformation.

The transformation to a doubled unit cell offers all possible doubled cells in order to easily recognise proper centring if it was omitted during the data collection.

4.818	16.001	Original cell paramaters 6.374	90.00	99.36	90.00
4.818	16.001	Transformed cell paramaters 6.374	90.00	99.36	90.00
	return without any doubling of cell parameters				
6.3740 4.8180	9.6360	16.0010 6.3740 32.0020	90.00 90.00	90.00 90.00	99.36 99.36
4.8180	6.3740 9.6360 12.7480	16.7106 16.0010	73.24 90.00	87.31 90.00	80.64 99.36
7.3384 4.8180	8.5924 12.7480	16.0010 17.2238	90.00 68.28	90.00 86.55	73.97 80.64
	7.3384 8.5924 16.7106		101.33	98.54	106.03

Figure 41 Transformation to Doubled Cell

2.2 Program *PRELIM*

Input: m94,m95 or foreign reflection file (SHELX etc.), [m50] **Output:** m50,m91,[m95],pre **M50 control keywords:** none

2.2.1 Description of *PRELIM*

Figure 42 The Main Menu

PRELIM prepares or changes the *crystal information file* m50 and the *refinement reflection file* m91. In the case when m50 does not exist the crystal information prepared by *DATRED* and stored in m94 is used to initialise *PRELIM.* M91 is created from the *basic reflection file* m95 by applying of correction factors calculated in *DATRED* and stored in m95 to measured intensities (Lp and absorption). During this process all systematically absent reflections are deleted. Optionally the program makes averaging of the reflections by the used symmetry. If m95 doesn't exist, it should be created by Import of reflections.

The status line of *PRELIM* contains flags indicating if the corresponding information is known. For instance the flag "Cell-" indicates that information listed in the Cell form of *PRELIM* is incomplete or unknown. *PRELIM* checks the completeness of the crystal information before quitting.

The flags in the status line are not independent. For instance if the Cell information is edited and the new cell parameters are not consistent with the symmetry the flag "Symmetry" is set to "-". The flag "Change m50- m91-" indicates whether the basic crystal information and/or reflection file m91 have been modified.

2.2.2 The Cell form

This form is used for definition of the cell parameters, q-vectors and number of twinning and composite parts.

2.2.3 The Symmetry form

This form is used for entering the symmetry information. This can be done by two ways:

- By entering the (super)space group symbol in the upper part of the form. After finishing the text box by pressing the TAB button or by selecting other text box or by pressing a button the lines in the lower part of the form are automatically filled in with the generated symmetry operators and the following text appears in the form: "The operators derived from the group symbol".
- By entering symmetry operators in the lower part of the form. After pressing the Complete the set button the operators are completed to form a (super)space symmetry group. In the case that operators correspond to a standard setting of some (super)space group the text box in the upper part of the form is filled in with that symbol and the following text appears in the form: "The group symbol derived from the operators".

The flexibility of this tool can be appreciated with the tasks like adding/removing of the symmetry center or changing the centring of the cell. In both cases the user makes changes in the lower part of the form and new symmetry operators and a (super)space group symbol are derived simply by pressing Complete the set. It is also possible to remove some symmetry operators by pressing Ctrl-Y in the corresponding text box or to edit them. Pressing Complete the set again finishes the work.

It should be noted that for changing the (super)space group of an existing structure model to a subgroup there is more complex tool available in Tools-> Generating of subgroup structure, see page [45.](#page-44-0)

Figure 44 The Symmetry Form

The (super)space group symbols

The (super)space group symbols can be entered either in the short form or as Hall's symbols (Hall(1981)) by the following rules:

- The coding is case insensitive (P1 is the same as p1)
- The bar over a character is coded as minus ($P \mid$ is coded as P-1)
- Superscripts and subscripts are not distinguished $(P2₁/c)$ is coded as P21/c)
- The generators of the Hall's symbols are separated by semicolons (P $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$ is coded as P-6c;-2c)

Four-dimensional superspace groups

The symbol of a four-dimensional superspace group can be expressed in both Hall's notation and the notation from the International Tables vol. C 9.8. The α,β and γ are coded as alpha, beta, gamma and can be arbitrarily abbreviated to the shortest form a, b, g. Both the older and newer notation of the superspace groups are allowed.

Example: $W: Pban: qq-1$ or $Pban(1/21/2qamma)qq$

The same superspace group can be expressed using Hall's notation.

Example: $W: -p-2xb; -2ya:qq \text{ or } -p-2xb; -2ya(1/21/2qamma)qq$

Permutation of basic vectors

For the monoclinic and orthorhombic crystal systems *PRELIM* enables all possible permutations of the basic vectors **a**, **b**, **c**. The sequence of the irrational components of the modulation vector is therefore not necessarily $(\alpha \beta 0)$ or (00γ) as used by De Wolff, Janssen & Janner(1981).

Non-standard centring

PRELIM enables a non-standard centring of the unit cell, which is useful for example if the form of the modulation vector leads to a centring in the superspace. If the centring symbol of the cell in the Cell form is chosen as "X" another form is opened for definition of the centring vectors:

Transformation of qr

PRELIM checks the consistency between the rational part of the q vector entered in the Cell form and the one following from the superspace group symbol. If the q_r in the Cell form is zero and the derived q_r from the superspace group symbol is a nonzero the superspace group is transformed in order to get $\mathbf{q_r} = (0,0,0)$.

3d+2 and 3d+3 symmetry

In this case the user enters to the symmetry form only 3d space group symbol. Then *PRELIM* opens a form for submitting remaining information.

2.2.4 Wave length and chemical formula

This form is used to enter the chemical formula, wavelength and atomic form factors.

- The chemical formula is case insensitive; the numbers are used as delimiters of chemical elements. The information about chemical elements is saved in m50 in the same order they appears in the chemical formula. The sequence number of chemical elements is then assigned to the atoms of the structure model in m40. From this the important rule follows that *if there is already some structure model in m40, the order of the chemical elements in the chemical formula must not be changed*.
- For case of X-ray data both the parallel and perpendicular setting of the monochromator are available. Data collected with a source having the polarised beam can be handled as well. The atomic form factors can be prepared from a built-in table or from analytical expression. The atomic form factors for ions are not available and the user should type them directly to m50 (see the formtab keyword in [Figure 55,](#page-77-0) page [78\)](#page-77-0).

Figure 46 The Atoms Form

2.2.5 Import of reflections

Import of reflections by *PRELIM* is used in cases that data cannot be imported from a diffractometer file. Typical case is a structure in the SHELX format. Like in *DATRED* (page [55\)](#page-54-0) the reflection file is also transformed to the common format and saved as m94 and m95. The information in m94 and m95 prepared by *PRELIM* is necessarily reduced but they can still be used for most tools in *DATRED* except for corrections. The difference between the two approaches is shown in the following two figures

Figure 47 The data reduction scheme starting from the diffractometer file

Figure 48 The data reduction scheme starting from other reflection file

Importing a single data set

If m95 does not exist *PRELIM* opens immediately a form shown in the next figure. In this example the user is going to read a reflection file laco.hkl with four integer indices followed by the intensity and its e.s.d written in the FORTRAN format (4i4,2f12.4). The format can be changed, a free format (*) is allowed as well. The scale factor in the case of single data set should be one. If the Transform indices checkbox is activated the user has possibility do define a matrix which will be applied to the indices before saving to m95. After quitting the form the reflection file is read in and m94 and m95 are created.

Figure 49 The Import form

In this first example the inserted reflections have 4 indices and the structure is $3+1$ dimensional. In the case the input file has only 3 indices but the structure is still 3+1 dimensional the dialogue takes form shown in Figure 50 with textboxes for definition of the supercell, maximal satellite index and desired accuracy. The reflections that cannot be indexed with four indices within the limit of the desired accuracy are listed after the import.

Figure 50 The Import form if the 4th Index has to be generated

Another possibility is starting the import tool when m95 already exists. In this case *PRELIM* opens a form in Figure 51 enabling to repeat the import of the same file, delete reflections previously imported from the highlighted file or import a new file. The new file is appended to m95. Re-import opens the Import form already filled in with the information entered in the previous session.

Figure 51 The Import list for single data set.

Importing multiple data sets

With New file (Figure 51) several import files can be read and appended to m95. The Import list with several files already imported is in Figure 52.

Figure 52 The Import list for multiple data sets.

M95 prepared by *DATRED* from a diffractometer file and data imported by *PRELIM* **cannot** be appended. When joining data sets from several measurements the user should

- 1. Process each data set by *DATRED*, each of them with a unique job name. The result is the set of m94 and m95 named name1, name2, ….
- 2. Start *PRELIM* with a definitive job name and import files name1, name2, ... with the Import tool. An individual scale factor can be assigned to each data set.

Figure 53 Joining multiple data sets

Importing data sets of several twin domains

Before the import the twin matrices must be defined in m50 through the Twin option of *PRELIM*. The importing of data sets collected from several twin domains of the same crystal is then an analogy of the procedure described in the previous paragraph. The data sets can be imported with the same scale number. All reflections which can be indexed in the first domain are automatically labelled as belonging to this domain; with the rest of reflections the same procedure is applied with respect to the second, third etc. domain.

In the case when the twinning leads to a diffraction pattern composed from several not fully overlapped (3+d) dimensional lattices the domain to which a particular data set belongs must be specified explicitly.

2.2.6 The Twin option

For a twin the user should first define number of twin domains in the Cell form (see [Figure 43\)](#page-67-0). Then the twinning matrices can be defined via the Twin option of the main menu. The maximal number of twin domains is 18¹.

The twinning matrices are applied to the hkl indices defined as row vectors.

The refinement techniques used for various types of twins are discussed in [255.](#page-254-0)

2.2.7 The Commensurate option

The following form is used for setting of options for commensurate structures.

Figure 54 The Commensurate Options

¹ See page 108 for explanation about scale parameters.

2.2.8 The Basic crystal information file m50

The first part of m50 contains information about cell parameters, symmetry operators, form factor tables etc. **The second part** contains control commands for basic programs of *JANA98*.

The user usually doesn't need editing of m50 in a text editor. The first part of m50 is prepared interactively by *PRELIM* and the user interface for the second part is the SetCommands Tool (see page [39\)](#page-38-0).

Both parts of m50 contain keywords followed by one or more values. The keywords are case insensitive. **The second part** of m50 is created by the following rules:

- The command section for a program starts with the program name and ends with the keyword end.
- The command consists of a keyword followed by one ore more numerical values written in a free format.
- Several commands in one line are permitted.
- The length of the command line is limited to 80 characters.
- The commands are case insensitive and their order inside the section is arbitrary.
- The keywords can be shortened until they are unique.
- The line starting with "*" or the part of the line starting with "!" is regarded as a comment.
- The commands between the end keyword and beginning of the next command section are not interpreted

The syntax of the **first part** is summarised in [Table 9.](#page-79-0) The command of the basic programs of *JANA98* will be explained in the corresponding chapters.

title Testa cell 4.818 16.001 6.374 90 99.36 90 lambda 0.5609 radtype 1 lpfactor 1 monangle 0 esdcell 0 0 0 0 0 0 ndim 4 ncomp 1								
qi 0.8607 0 0.5585								
qr000								
spgroup $C2/c$ (a0g) 15 22								
lattice C								
centro								
symmetry symmetry unitsmumb 4	x1 -x1	x2 x3 $x2 \frac{1}{2} - x3$	x4 -x4					
atom As								
atweight 74.922 dmax 3 formula 1 f' 0.276 f" 1.331 fneutron 6.58								
formtab 56								
33.000	32.278	30.491	28.301	26.218	24.386	22.739	21.196	
19.722	18.313	16.976	15.717	14.540	13.451	12.454	11.552	
10.744	10.030	9.403	8.858	8.386	7.978	7.626	7.320	
7.053	6.817		6.606 6.415 6.239 6.076 5.922				5.774	
			5.633 5.497 5.364 5.235 5.109 4.986 4.867 4.750					
		4.637 4.527 4.420	4.317	4.217	4.120	4.027	3.938	
3.852	3.770	3.692	3.617	3.545	3.477	3.412	3.351	
atom K								
					.			
atom O								
atweight 15.999 dmax 3 formula 2								
f' 0.006 f" 0.004								
fneutron 5.803								
formtab 56								
8.000	7.798 2.628	7.246 2.337	6.472 2.115	5.623	4.808	4.089	3.489	
3.006				1.946	1.816	1.715	1.634	
1.568 1.221	1.512 1.183	1.463 1.145	1.419 1.108	1.377 1.070	1.337 1.033	1.298 0.997	1.260 0.961	
0.926	0.891	0.857	0.824	0.792	0.761	0.731	0.702	
0.674	0.647	0.621	0.597	0.573	0.551	0.530	0.509	
0.490	0.472	0.455	0.439	0.424	0.410	0.397	0.384	
atom H								
atweight 1.008 dmax 3 formula 2								
f' 0 f" 0								
fneutron -3.739								
formtab 56								
1.000	0.960	0.854	0.713	0.568 0.060	0.438 0.046	0.331	0.248	
0.184	0.138	0.103	0.078			0.036	0.028	
0.022	0.018	0.014	0.011	0.009	0.007	0.006	0.005	
0.005	0.004	0.004	0.003	0.003	0.003	0.003	0.003	
0.003	0.003	0.003	0.003	0.003	0.003	0.003	0.003	
0.003	0.003	0.003	0.003	0.003	0.003	0.003	0.003	
0.003	0.003	0.003	0.003	0.003	0.003	0.003	0.003	
noofref 4351								
slimits 0.362376 0.453337 0.520215 0.574811 0.622404 0.665116 0.712413 0.91344 flimits 43.2 70 119.9 188 311 544.6 1136.6 56184.4								
end								

Figure 55 The basic crystal information file m50 - Part I The commands are explained in [Table 9.](#page-79-0)

refine	The beginning of the section for program REFINE
first sample	title of the section
cycles 3	control commands
iext 1 itypex 1 idistr 2	control commands
restric ol* 1	control commands
end	The end of the section for program REFINE
XXXXXXXXXXXXXXX	not interpreted
	not interpreted
XXXXXXXXXXXXXXX	not interpreted
fourier	The begin of the section for program FOURIER
	title (missing, but the line cannot be used for commands)
mapt 2	control commands
* center na2	comment
scope $0 1 0$! This is a comment	control commands + comment
or 2413	control commands
x4lim 0 1 0.05	control commands
end	The end of the section for program FOURER
refine	This second occurrence of the section for REFINE will be ignored
cycles 18 damp 0.25 end	

Figure 56 The basic crystal information file m50 - Part II The commands are explained together with description of the basic programs.

keyword	Syntax + explanation
atom	atom chemical type Introduces a section for a chemical element. The keywords between this
	header and the keyword formtab concern this chemical type. The order of chemical types in m50 is defined by order of atoms in the chemical formula
	given in PRELIM (see page 71). Chemical types of atoms in m40 are
	defined by the sequence number the chemical type has in m50.
atweight	atweight atomic weight
cell	cell a b c alpha beta gamma Cell parameters in angstroms and degrees
centro	centro
	If present, the structure is centrosymmetric.
commen	commen na nb nc Definition of the supercell for the commensurate refinement.
dmax	dmax distances limit
	Sets the maximal limit (A) for calculation of distances for this chemical type.
esdcell	esdcell esda esdb esdc esdalpha esdgamma esdbeta
	The e.s.d's of cell parameters. This information is not used in the current
f	version of JANA98. f fprime
	The anomalous dispersion term f.
f''	f" fdoubleprime
	The anomalous dispersion term f".
flimits	flimits flim1 flim2 flim8
	Limits for reflection groups sorted by F . Thy are used for the calculation of
	the R statistics by <i>REFINE</i> (see page 261).
fneutron	fneutron scatlength The scattering length for neutrons. It is always present in $m50$ but it is only
	used if the neutron radiation is selected by the user (see page 71).
formtab	formtab number of values
	val1 $val2$ val8
	val9 val10 val16
	valn
	Atomic form factors for X-ray. They are always present in m50 but they are
	only used if the X radiation is selected by the user (see page 71).
formula	formula number
	The number of atoms of this chemical type in the formula unit.

Table 9 The Keywords in the First Part of m50

	$\frac{1}{2}$
lambda	lambda wawe length
	The wave length. If the number is negative its sign is changed, so that the
	older type of m50 where the negative wavelengths were used for the
	neutron radiation can be directly used.
lattice	lattice symbol
	The cell centring symbol. In the case of the non-standard centring X the the list of the centring vectors has to be defined by the lattvec command.
lattvect	lattvect vt1 vt2 vtndim
	Non-primitive centring vectors for the case of the non-standard centring.
	Each vector is introduced by the lattvec command.
Ipfactor	Ipfactor number
	1 for perpendicular setting of a monochomator
	2 for parallel setting of a monochromator
	3 for polarised beam (synchrotron, neutron)
	This option is prepared for a future use in Rietveld's refinement.
ncomp	ncomp number
ndim	The number of the composite parts (1 for non-composite structure).
	ndim number
noofref	The number of dimensions (3 for standard structure). noofref number
	The number of reflections in m91.
qi	qi alpha beta gamma The irrational part of the q vector.
qr	qi alpha abeta qamma
	The rational part of the q vector.
radtype	radtype number
	The radiaton type.
	1 for X-rays, parallel setting; 2 for neutrons.
sgshift	sgshift sh1 sh2 shndim
	Origin shift of the structure according to the conventions in the International
	tables.

Table 9 [The Keywords in the First Part of](#page-79-0) m50 (Continued)

2.2.9 Creation of the Refinement reflection file

This tool creates the refinement reflection file m91 by combining the basic crystal information and the data from m94 and m95. The most important (optional) action here is the averaging of symmetrically equivalent reflections. This procedure does not influence the data in m94 and m95.

Here are the basic steps leading to creation of m91:

- *PRELIM* asks for the observability limit, which is used for calculation of R values for observed and unobserved reflections. This limit is used only locally - *REFINE* has its own observability limit that can be edited with the SetCommands tool.
- *PRELIM* reads reflections from m95.
- *PRELIM* checks if the strongest intensity fits with the format of m91. Then it offers rescaling of intensities. If some intensities has been found to be out of format the user must rescale them in order to avoid the read error. Otherwise the rescaling is optional. By rescaling the precision of data cannot be enlarged because the intensities and e.s.d's in m95 are stored with one decimal place.
- *PRELIM* prints summary of reflection read from m95 and summary of systematically extinct reflections.
- *PRELIM* opens the Averaging form. When it is completed, it starts the averaging.
- *PRELIM* prints the final reflection summary. The internal factor R_{int} that is part of this information can be used as a measure if the symmetry used for the averaging is correct. However, the reliability of its value depends on the number of averaged reflections.
- After pressing Quit *PRELIM* asks if the changes of m50 and m91 are to be saved.

Figure 57 Creation of m91

R values

R factor calculated from e.s.d's is defined by the expression

$$
R_{e.s.d} = \frac{\sum \sigma(F_i)}{\sum F_i}.
$$

The internal R factor is defined as

$$
R_{\rm int} = \sum_i \sum_j \frac{\left(I_j - \bar{I}_i\right)}{\bar{I}_i},
$$

where i runs over all independent reflections and j over all equivalent reflections corresponding to the i-th independent reflection. Note that j need not to be the same for all independent reflections.

Calculation of estimated standard deviations PRELIM

The averaging procedure assigns new e.s.d's to the resulting intensities. In the case a reflection is unique, i.e. its intensity has not been calculated like an average value of a group of symmetrically equivalent reflections, the e.s.d. is taken from m95 and it is only multiplied by the rescaling factor. In the case a reflection belongs to a group of symmetrically equivalent reflections there are three optional ways how to calculate its e s.d.

- using Poisson statistics
- using equivalents
- using maximum

The Poisson method is based on the Poisson distribution and takes into account the e.s.d's of the individual intensities known from the measurement.

$$
\sigma(\bar{I}_i) = \frac{1}{n} \sqrt{\sum_j \sigma^2(I_j)},
$$

where n is the number of reflections in the symmetrically equivalent group.

The method using equivalents calculates new e.s.d's independently on the measured ones.

$$
\sigma(\bar{I}_i) = \sqrt{\frac{\sum_j (I_j - \bar{I}_i)^2}{n(n-1)}}
$$

The third method calculates both types of the e.s.d. and takes the larger one.

The culling procedure

The culling procedure is used in cases when some reflections in a symmetrically equivalent group are considerably different from the average value This option can eliminate some strong random errors during the data collection. If the "Apply culling" check box is selected and the limit for culling is set *PRELIM* uses the following procedure:

- 1. It finds the reflection differing most of the average value.
- 2. If the difference is less than or equal to the limit the culling is not applied.
- 3. If the difference is greater than the limit the reflection is discarded and the procedure is repeated with the new average value.

The culling procedure should be used with care and only in well-founded cases.

Listing from averaging

The detailed information about averaging is printed to the listing of *PRELIM* (see page [88\)](#page-87-0). If the Full print check box is selected, each reflection from m95 can be found in the listing. The restricted output contains only the symmetrically equivalent groups where the difference of one or more reflections from the average value is greater that e.s.d. of the average reflection multiplied by the value of the limit. The limit number is optional and can be set *via* the Average form.

Groups of the symmetrically equivalent reflections are printed in the listing together with a flag consisting of asterisks and indicating the extent of the deviation of a single reflections from the average value.

2.2.10 The refinement reflection file m91

The file m91 contains reflections used for the refinement. It is created by *PRELIM* from the basic reflection file m95 by deleting systematically absent reflections and (optionally) by averaging of reflections according to the used symmetry.

¹ The key is used by the refinement control command skipflag (see page 240)

2.2.11 Listing of *PRELIM*

PRELIM creates listing jobname.pre containing information about creation of m50 and m91. It can be viewed and printed by the listing viewer (see page [41\)](#page-40-0).

Report from averaging reflections

Only reflections |I-I(ave)|> 5.0*sig(I) will be printed

Symbol * means that for this reflection 3*sig<|i(k)-i|< 5*sig Symbol ** means that for this reflection 5*sig<|i(k)-i|<10*sig Symbol *** means that for this reflection $10*$ sig< |i(k)-i|<20*sig **Symbol **** means that for this reflection 20*sig<|i(k)-i**

Report from the PRELIM program page = 4 $\frac{12:51:51}{20}/26/9$

.

Report from the PRELIM program page = 6 $\frac{12:51:51 \text{ } 02/26/97}{12:51:51 \text{ } 02/26/97}$

Summary from the exporting to JANA98 - after averaging

Rint (obs/all) = 9.43/ 10.60 h(min) = -7,h(max) = 7 $k(\text{min}) = 0, k(\text{max}) = 24$ $1(\min) = 0,1(\max) = 11$ $m(\min) = -2, m(\max) = 2$

Exported 4351 reflections, 2725 observed ones R-factor from e.s.d. of I(obs) : 3.87 for all reflections 3.17 for observed reflections

The final information about m91

Report from the averaging of reflections.

The list of equivalent groups is written in a newspaper column form. See Figure 60 for details.

structure : Testa 12:51:51 02/26/97

structure : Testa 12:51:51 02/26/97

	h	k	1	m	I	$sigma(I) + Flag$	
\gt	-1	$\overline{3}$	-6	$\mathbf{2}$	11.	2.	The values written to m91
	-1	-2	-6	$\overline{2}$	26.	$8.***$	
	$\mathbf{1}$	-3	-6	$\overline{2}$	3.	$7.*$	A group of symmetrically
	-2	3	-6	$\overline{2}$	5.	7.	equivalent reflections. The flag
	-2	-1	-6	\overline{a}	4.	$7.*$	"*" means that the deviation of
	-2	-1	-6	\overline{a}	15.	7.	the intensity from the average
	-1	-2	-6	$\overline{2}$	9.	10.	value is between 3*sigma and
	-3	$\overline{2}$	-6	$\overline{2}$	$-2.$	$4.***$	5*sigma. The flag "**" means
	-3	$\overline{2}$	-6	\overline{a}	3.	$4.*$	the same for the interval
	-2	3 ⁷	-6	$\overline{2}$	9.	6.	<5*sigma, 10*sigma> etc. The
	-3	$\mathbf{1}$	-6	\overline{a}	43.	$12.***$	lowest deviation under which the
	$\mathbf{1}$	-3	-6	\overline{a}	5.	5.	printing is suppressed can be set
	-1	-2	-6	\overline{a}	6.	7.	via the Average form.
	-3	$\mathbf{1}$	-6	$\overline{2}$	16.	9.	
	-2	-1	-6	\overline{a}	14.	7.	
	$\overline{2}$	-3	-6	$\mathbf{2}$	6.	14.	

Figure 60 The details about the listing from averaging

[Structure Solution and Refinement](#page-91-0)

This chapter describes basic programs of JANA98, their input and output files and the control keys.

IN THIS CHAPTER:

Next Chapter: [Structure Interpretation,](#page-289-0) page [289.](#page-338-0) Previous chapter: [Preliminary Work,](#page-53-0) page 53.

3 Structure Solution and Refinement

This part describes the programs and files necessary for solution of the phase problem, setting up the structure model and its refinement provided that the preliminary work like preparation of the reflection file and setting of the basic crystal information has been already done.

The structure solution and refinement means that a proper set of structure parameters is found and refined. The first part of this chapter describes the structure of m40 containing the structural parameters and gives a brief theoretical background for each refinable parameter.

After having the basic knowledge about m40 program *EDITM40* for setting and changing parameters of a structure model is introduced. This is a set of tools for tasks like changing isotropic temperature parameters to the anisotropic ones, adding or deleting modulation waves etc. The next part describes program *REFINE* for refinement of the structure model. *REFINE* is a hart of the system enabling large variety of refinement strategies. The last program in this chapter is *FOURIER* used for calculation of 3+d electron density maps.

3.1 Structure parameters

Structure parameters in *JANA98* are written in the Refinement parameter file m40. Usually the user prepares m40 with *EDITM40* interface or imports a starting model from SHELX or CIF format (see description of Tools, [§1.4.4,](#page-42-0) page [43\)](#page-42-0). There is also possibility to prepare the starting model *ab initio* using the Heavy atom method. In every case the model is further refined with *REFINE*, which overwrites the initial model in m40 with the refined one. See § [3.3](#page-218-0) page [219](#page-218-0) for more information about *REFINE* and § [3.2](#page-152-0) page [153](#page-152-0) for details about *EDITM40*.

In the next section m40 will be presented both in the symbolic form and in examples. The parameter names shown in the symbolic form are the same as used by *REFINE* in equations, constraints, fixed commands and in the listing. The refinable parameters are followed by the refinement keys, which equal 1 for refined and 0 for fixed parameters. The setting of the refinement keys is fully automatic in *JANA98* except rare cases. See more information about the refinement keys in [§3.3.3](#page-222-0) page [223.](#page-222-0)

3.1.1 The refinement parameter file m40

The basic parts of m40

 \overline{a}

Commands Header numbers Scale parameters Extinction parameters Atomic part of the $1st$ composite part Molecular¹ part of the $1st$ composite part Atomic part of the 2nd composite part Molecular part of the 2nd composite part Atomic part of the 3rd composite part Molecular part of the 3rd composite part E.s.d's in Atomic part of the $1st$ composite part E.s.d's in Molecular part of the 1st composite part E.s.d's in Atomic part of the 2^{nd} composite part E.s.d's in Molecular part of the 2^{nd} composite part E.s.d's in Atomic part of the 3^{rd} composite part E.s.d's in Molecular part of the $3rd$ composite part

¹ Molecule in *JANA98* is a group of atoms having some common parameters. It is not necessarily molecule in the chemical meaning. The rigidity of the molecule is determined by the type of parameters being common for all atoms of the molecule and for all its positions.

Typical examples of m40

commands end		Commands
5 $\mathbf 0$ 0 0.000000 $\mathbf{1}$ $\overline{2}$ As	0 0.500000 0.000000 0.631039 0.250000 0.016070 0.019152 0.018667 0.000000 0.003317 0.000000 -0.011058 0.000000-0.026379 0.000000 0.013897 0.000000 -0.001426 0.000000 0.003925 0.000000 0.001400 0.000000	Header numbers 100000 Scale parameters Extinction 000000 parameters 000000 000 0 0010111010 101010 101010
0.000000 \overline{a} O ₃ 4	0.000000 0.000000 0.000000-0.001399 0.000000 0.001612 0.001689-0.000003 0.000386 0.000000-0.000072 0.000000 0.000000 0.000000 0.000000 0.000264 0.000000 0.001977 0.000283-0.000805-0.000181 0.000000 0.000856 0.000000 1.000000 0.259540 0.704262 0.334582	Parameters of 000101 111010 atom As 000101 111010 0 000 $\mathbf 0$ $\overline{2}$ $\overline{2}$
0.000000	0.033601 0.045856 0.050422-0.018620 0.018743-0.023155 0.001588 0.012132 0.014724 0.049204-0.017804 0.060715 0.007225-0.002704 0.000271-0.004372-0.002479 0.004139 0.001844 0.003826 0.005253-0.001272 0.001209-0.002663 -0.008377 0.003772 0.004731 0.005381-0.003017-0.006556 -0.002579 0.015193 0.003039-0.005828-0.001797-0.012093 $-0.003972 - 0.014366 - 0.017415$ 0.013566-0.014126 0.018702	Atomic part of the first composite part 0111111111 111111 111111 Parameters of 111111 atom O3 111111 111111 111111 0
As 0.000000	0.000000 0.000000 0.000023 0.000000 0.000194 0.000194 0.000193 0.000000 0.000120 0.000000 0.000110 0.000000 0.000089 0.000000 0.000034 0.000000 0.000156 0.000000 0.000110 0.000000 0.000042 0.000000 0.000000 0.000000 0.000000 0.000172 0.000000 0.000175 0.000206 0.000238 0.000215 0.000000 0.000164 0.000000 0.000000 0.000000 0.000000 0.000245 0.000000 0.000217 0.000343 0.000272 0.000294 0.000000 0.000236 0.000000	The e.s.d's concerning atom As
O3 0.000000	0.000000 0.000581 0.000180 0.000472 0.001374 0.001606 0.001792 0.001219 0.001288 0.001398 0.000776 0.000273 0.000719 0.000752 0.000225 0.000618 0.000999 0.000306 0.000761 0.001031 0.000310 0.000811 0.002027 0.002303 0.002737 0.001787 0.001852 0.001985 0.001743 0.001858 0.001986 0.001432 0.001420 0.001470 0.002445 0.002647 0.002694 0.001990 0.002036 0.002197 0.002550 0.002628 0.002897 0.002092 0.002125 0.002218	Esd's in atomic part of the first composite part The e.s.d's concerning atom O3

Figure 61 The example of m40 containing one composite part without molecules.

 1 1 0 0 Header numbers 4 1 1.263756 0.000000 0.000000 0.000000 0.000000 0.000000 100000 Scale 0.000000 parameters 0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 000000 Extinction 0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 000000 tK 2 2 0.500000 0.500000 0.590898 0.750000 000 0 2 2 0.033045 0.034587 0.026954 0.000000 0.006397 0.000000 0010111010 0.008144 0.000000-0.013341 0.000000 0.017261 0.000000 101010 -0.012132 0.000000-0.006995 0.000000-0.003242 0.000000 101010 Atomic part of 0.000000 0.000000 0.000000-0.003714 0.000000 0.001670 000101 the 1st composite -0.000454-0.006430 0.004671 0.000000 0.000310 0.000000 111010 0.000000 0.000000 0.000000-0.000712 0.000000 0.000816 000101 part 0.000880-0.006496 0.002793 0.000000 0.001728 0.000000 111010 0.000000 0 Oct1 0.000000 0.631037 0.250000 Molecular part of the first composite part **As 1 2 0.500000 0.000000 0.631037 0.250000 000 -1 2 2 0.016070 0.019152 0.018667 0.000000 0.003317 0.000000 0000111010 -0.011058 0.000000-0.026379 0.000000 0.013897 0.000000 101010 -0.001426 0.000000 0.003925 0.000000 0.001400 0.000000 101010 0.000000 0.000000 0.000000-0.001399 0.000000 0.001612 000101 Atomic 0.001689-0.000003 0.000386 0.000000-0.000072 0.000000 111010 0.000000 0.000000 0.000000 0.000264 0.000000 0.001977 000101 parameters of 0.000283-0.000805-0.000181 0.000000 0.000856 0.000000 111010 the model 0.000000 0 molecule O3 4 2 1.000000 0.259540 0.704260 0.334582 000 -1 2 2 0.033601 0.045856 0.050422-0.018620 0.018743-0.023155 0111111111 -0.048996 0.016087-0.062108-0.004784 0.014331 0.006754 111111 -0.008104 0.001979 0.000799 0.002374 0.003089-0.004070 111111 0.008068-0.004234-0.005370-0.005172 0.002836 0.006845 111111 0.002911 0.003307 0.004598-0.001957 0.001588-0.001793 111111 0.001474-0.018367-0.007401 0.009110-0.001884 0.016483 111111 0.004500 0.009993 0.016054-0.011620 0.014114-0.014978 111111 0.000000 0 pos#1 1 1.000000 000 000 000 000 0 0 0.00 0.00 0.00 0.000000 0.000002 0.000000 0000010 0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 Basic Molecular parameters**

Figure 62 The example of m40 containing one composite part with a molecule1.

¹ Work with molecules is explained in page 171.

Figure 63 The example of m40 with two composite parts.

```
Number of atoms and number of molecules in the 
                   1st composite part Number of atoms and number of 
                 molecules in the 2nd composite part 
 2 0 4 0 0 1 
  2.018373 0.000000 0.000000 0.000000 0.000000 0.000000 100000 
                                                                   The first composite part
  0.000000 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 000000 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 000000 
Cu3 2 2 0.250000 0.250000 0.500000 0.411729 000 0 2 0 
  0.003633 0.014991 0.038429 0.000000 0.000000 0.000000 0001111000 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 000000 
  0.000000 0.000000-0.004381 0.000000 0.000000-0.000478 001001 
 0.000000 0 
O31 3 2 0.500000 0.138088 0.500000 0.911579 000 0 2 0 
  0.005802 0.025042 0.040320 0.000000-0.000217 0.000000 0101111010 
  0.000000-0.018516 0.000000 0.000000 0.000886 0.000000 010010 
  0.000165 0.000000-0.010134 0.001666 0.000000 0.008425 101101 
  0.000000 0 
Sr1 1 2 0.500000 0.500000 0.379118 0.250000 000 0 2 2 
  0.003084 0.003777 0.004647 0.000000 0.000332 0.000000 0010111010 
-0.001380 0.000000 0.027145 0.000000-0.002329 0.000000 101010 
-0.001204 0.000000 0.005127 0.000000-0.000367 0.000000 101010 
  0.000000 0.000000 0.000000 0.000568 0.000000 0.000545 000101 
  0.000537-0.000204 0.004526 0.000000-0.001025 0.000000 111010 
                                                                   The second composite part
  0.000000 0.000000 0.000000 0.000016 0.000000 0.000428 000101 
  0.000382 0.000083 0.002215 0.000000-0.000342 0.000000 111010 
  0.000000 0 
Cu11 2 2 0.500000 0.165562 0.250000 0.251157 000 0 2 0 
  0.001754 0.010143 0.003285 0.000000-0.002600 0.000000 0101111010 
  0.000000-0.001747 0.000000 0.000000-0.004030 0.000000 010010 
-0.000849 0.000000-0.000686-0.000550 0.000000-0.000285 101101 
 0.000000 0 
O11 3 2 0.500000 0.170184 0.250000 0.744511 000 0 2 0 
  0.003553 0.022622-0.002428 0.000000-0.005947 0.000000 0101111010 
  0.000000-0.002642 0.000000 0.000000 0.002326 0.000000 010010 
  0.000581 0.000000 0.000015 0.000551 0.000000-0.000152 101101 
 0.000000 0 
O2 3 2 0.250000 0.500000 0.250000 0.750000 000 0 2 0 
  0.007134 0.024049 0.008364 0.000000-0.002584 0.000000 0000111010 
  0.000000 0.000000 0.000000 0.000000-0.013718 0.000000 000010 
  0.000783 0.000000-0.001805 0.000000 0.000000 0.000000 101000 
  0.000000 0
```
The symbolic form of m40

[Table 10](#page-97-0) shows m40 in symbolic form. Both constants and refinable parameters are explained in the section following the table.

Notation used in the table:

Name of a section of m40 **Keywords** Non-refinable constants Refinable parameters kkkkkk

"kkkkkk" denotes the refinement keys. Each "k" stands for a refinement key 0 or 1. The order of refinement keys is the same like the order of parameters in the same line, i.e. the first "k" in some line is the refinement key of the first parameter in the same line. A few exceptions from this rule which will be mentioned later. The setting of the refinement keys is fully automatic in *JANA98* except rare cases. See more information about the refinement keys in §[3.3.3](#page-222-0) page [223.](#page-222-0)

Figure 64 The location of refinement keys in m40

 The numbers inside the box are the refinement keys except the line "000 0 2 2" which has different meaning (see [Table 10\)](#page-97-0).

Commands section (see page 105)											
Commands											
maxsc (page 105)		nsc									
ortho ¹ (page 106)		name		delta		X ₄₀			n		
n1sin		n1cos		n _{2sin}			n _{2cos}				epsilon
wave ² (page 105)		nwave		nv_1		nv ₂			1.1.1.1		
end											
Header numbers (see page 107)											
Nat ₁	Nmol ₁	Nat_{2}^{3}		Nmol ₂	Nat ₃ ⁴		Nmol ₃	Itemp		Irot	
Natm ₁	Npos ₁										
Natm ₂	Npos ₂			$Nmol1$ lines for the $1st$ composite subsystem							
Natm ₁	Npos ₁										
Natm ₂	Npos ₂			$Nmol2$ lines for the $2nd$ composite subsystem (if exists)							
Natm ₁	Npos ₁										
Natm ₂	Npos ₂			Nmol ₃ lines for the 3^{rd} composite subsystem (if exists)							
Scale parameters ⁵ (see page 108)											
scale1	scale2			scale3	scale4		scale5			scale6	kkkkkk
scale7	scale8			scale9	scale10		scale11			scale12	kkkkkk
scale13	scale14			scale15	scale16		scale17			scale18	kkkkkk
	Overall isotropic temperature parameter (see page 110)										
Otemp											
<i>Extinction parameters</i> (see page 110)											
rho11/ rhoiso	rho22		rho33		rho12		rho13			rho23	kkkkkk
g11/giso	g22		g33		g12		g13		g23		kkkkkk

Table 10 File m40 in the symbolic form

¹ This command is repeted for each atom needing the orthogonalisation method.

² This command is repeated for every wave needing a user definition of the wave vector.

³ The parameters Nat₂, Nmol₂ are present only when the number of composite parts is \geq 2.

⁴ The parameters Nat₃, Nmol₃ are present only when the number of composite parts equals 2.

 5 The $2nd$ and $3rd$ line of scale parameters is only present when the default number of scale parameters is changed by **maxsc** command (see page 105)

¹ This cell is only present for modulated structures.

² This line is omitted for non-modulated atoms. With modulated atoms it is omitted if Ttype is less or equal to 2, i.e.for atoms without anharmonic displacement parameters, (see page 116).

³ These lines are present if Ttype > 2 , (see page 116).

⁴ These lines are present in the case of occupation modulation described by harmonic functions, i.e. for $w_0 > 0$ and $s_0 = 0$, (see page 116).

⁵ These lines are present in the case of occupation modulation described by crenel function, i.e. for $w_0 > 0$ and $s_0 = 1$, (see page 116).

¹ These lines are present in the case of position modulation described by harmonic functions, i.e. for $w_p > 0$ and $s_p = 0$, (see page 116).

² This line is present in the case of position modulation described by crenel function, i.e. for $w_p > 0$ and $s_p = 1$, (see page 116). It is always the last line of position modulation parameters.

³ \$ symbolises the sawtooth function parameters are always in the place of the last wave - see page 123 for details.

⁴ These lines are present in the case of temperature modulation, i.e. for $w_t > 0$, (see page 116).

⁵ The name of the modulation temperature parameters can be referenced to as U or Beta regardless of the current setting in m40.

⁶ This line is present in the case of ADP modulation, i.e. for Ttype > 2 and (w_{13} > 0 or w_{14} > 0 or w_{15} > 0), (see page 116).

Tuble To (Communeu)									
		.		.					
D1111sin16	D1112sin16	D1113sin16	D1122sin16	D1123sin16	D1133sin16	kkkkkk			
E11111sin1	E11112sin1	E11113sin1	E11122sin1	E11123sin1	E11133sin1	kkkkkk			
E11222sin1	E11223sin1	E11233sin1	E11333sin1	E12222sin1	E12223sin1	kkkkkk			
E12233sin1	E12333sin1	E13333sin1	E22222sin1	E22223sin1	E22233sin1	kkkkkk			
E22333sin1	E23333sin1	E33333sin1				kkk			
E11111cos1	E11112cos1	E11113cos1	E11122cos1	E11123cos1	E11133cos1	kkkkkk			
E11222cos1	E11223cos1	E11233cos1	E11333aos1	E12222cos1	E12223cos1	kkkkkk			
E12233cos1	E12333cos1	E13333cos1	E22222cos1	E22223cos1	E22233cos1	kkkkkk			
E22333cos1	E23333aos1	E33333cos1				kkk			
				.					
E11111sin16	E11112sin16	E11113sin16	E11122sin16	E11123sin16	E11133sin16	kkkkkk			
.	.	.		.					
F111111sin1	F111112sin1	F111113sin1	F111122sin1	F111123sin1	F111133sin1	kkkkkk			
F111222sin1	F111223sin1	F111233sin1	F111333sin1	F112222sin1	F112223sin1	kkkkkk			
F112233sin1	F112333sin1	F113333sin1	F122222sin1	F122223sin1	F122233sin1	kkkkkk			
F122333sin1	F123333sin1	F133333sin1	F222222sin1	F222223sin1	F222233sin1	kkkkkk			
F222333sin1	F223333sin1	F233333sin1	F333333sin1			kkkk			
$F111111\alpha$ cosl	F111112cos1	F111113cos1	F111122cos1	F111123cos1	F111133cos1	kkkkkk			
F111222cos1	F111223cos1	F111233cos1	F111333cos1	F112222cos1	F112223cos1	kkkkkk			
F112233aos1	F112333cos1	F113333cos1	F122222cos1	F122223cos1	F122233cos1	kkkkkk			
F122333cos1	F123333cos1	F133333cos1	F222222cos1	F222223cos1	F222233cos1	kkkkkk			
F222333cos1	F223333cos1	F233333cos1	F333333cos1			kkkk			
	F1111111sin16 F111112sin16		F111113sin16 F111122sin16	F111123sin16	F111133sin16	kkkkkk			
		.		.		.			
Phason (see page 139)									
phason						k			
The atomic part of the $1st$ composite part - end									

[Table 10](#page-97-0) (Continued)

[Table 10](#page-97-0) (Continued)

¹ The concept of molecules in *JANA98* is described in page 140.

² This cell is only present for modulated structures.

³ These lines are present in the case of molecular occupation modulation, i.e. for wm₀ > 0 (see page 142).

⁴ These lines are present in the case of occupation modulation described by crenel function, i.e. for $wm_0 > 0$ and $sm_0 = 1$, (see page 142).

[Table 10](#page-97-0) (Continued)

¹ These lines are present in the case of molecular position modulation, i.e. for $w_{\text{mp}} > 0$ (see page 142).

² These lines are present in the case of molecular temperature modulation, i.e. for w_m > 0 (see page 142). Note that only the atoms from the model molecule having $w_t < 0$ (see page 116) take part in the molecular temperature modulation refinement.

[Table 10](#page-97-0) (Continued)

¹ The concept of molecules in *JANA98* is described in page 140.

3.1.2 Commands section

This part of m40 is optional and it is used only in special cases. The section starts with the keyword *commands* and ends with *end*. Inside the section there are keywords of commands followed by constants.

Maximal number of scale parameters

Usually m40 contains six scale parameters in one line of the file. They are reserved for refinement of scales of various data sets or twin domains. If the number of scale parameters is insufficient it can be enlarged by command

maxsc nsc

, where nsc is typically 12 or 18, resulting in 2 or 3 lines of scale parameters. Note that if you change nsc you should also change correspondingly the number of scale parameters lines and placement of the scale refinement keys. These changes should be done by a text editor.

Definition of wave vectors

The harmonic modulation functions (see for instance equation [E 20\)](#page-122-0) are defined with respect to selected linear combinations of the modulation vectors, $=\sum_{j=1}\alpha_{ij}\mathbf{q}_{j},$ *d j* $\overline{\mathbf{q}}_i = \sum \alpha_{ij} \mathbf{q}_j$, where α_{ij} are integers. The combination can be set by the user with

command

wave nwave nv₁ nv₂ ...

The wave vector with a wave number nwave is defined by $nv_1 * q1 + nv_2 * q2 + ...$ where q1, q2 ... are **q**-vectors. In the case of four-dimensional structures the default setting

wave 1 1 **wave** 2 2 **wave** 3 3 etc.

is usually the optimal one. For 5 or 6 dimensional structures the wave vectors often need to be redefined. The user interface of *EDITM40* for definition of wave vectors is shown in page [168.](#page-167-0)

 Definition of orthogonalization parameters

The orthogonalization procedure is necessary in cases where the strong occupational modulation having step-like character is combined with harmonic modulations of other parameters (position, temperature parameters, …). The calculation of structure factors for the atom having this type of modulation requires proper selection and orthogonalization of the basic set of harmonic functions.

Theoretical

The selection and orthogonalization procedure is explained in page [133.](#page-132-0)

Setting or deleting orthogonalization parameters

The basic parameters (Δ, x_4^0) together with selection keys defining which sin and cos components of modulation waves are allowed in the calculation are defined in the header of m40 by command

ortho name delta x40 n [n1sin n1cos n2sin n2cos nisin nicos [epsilon]],

where name is name of the atom, delta is width of the crenel or sawtooth function, n is a parameter reserved for future use, n_i sin and n_i cos are the selection keys for wave components and epsilon is defined in equation [E 38.](#page-134-0)

In case that the second line is omitted all waves will be used in the orthogonalization procedure. With the second line present the functions having nisin or nicos equal to 0 will be excluded and only the remaining set of functions will be orthogonalized and used in the refinement.

 The second line can be edited in a text editor or *via EDITM40* user interface. The parameter epsilon is saved for a successive use of *EDITM40*. However, epsilon is not used by the refinement and can be therefore omitted if the second line is edited with a text editor, i.e. without the *EDITM40* interface.

The following example shows the ortho command for atom As:

ortho As 0.500000 0.000000 1.000000 11100111100110011001111001100110 0.950

The numbers $111001111...$ mean the sin component of the $1st$ wave will be used, cos of the 1st wave will be used, sin of the $2nd$ wave will be used, cos of the $2nd$ wave will not be used, sin of the $3rd$ wave will not be used etc. up to $2*$ mxw wave components (see § [1.1.4](#page-14-0) page [15](#page-14-0) for details about mxw parameter).

3.1.3 Header numbers

The header of m40 contains number of atoms in atomic and molecular parts, number of molecules and molecular positions. In the case of a composite these numbers are listed repeatedly for each composite part.

The number of composite parts is given in m50 (see the key **ncomp,** [Table 9,](#page-79-0) page [80\)](#page-79-0) and can be defined with *PRELIM* user interface (see § [2.2.2,](#page-67-0) page [68\)](#page-67-0). The numbers for non-existing composite parts are omitted.

Meaning of parameters

The total number of symmetrically independent atoms in m40 is a sum of atoms in all atomic parts + sum of atoms in all molecular parts:

 $Nall = Nat_1 + Nat_2 + Nat_3 + (Natm_1 + Natm_2 +)_{1.$ composite part + $(Natm_1 + Natm_2 +)_{2.}$ composite part + $(Natm_1 + Natm_2 + ...)$ 3. composite part

¹ The parameters Nat₂, Nmol₂ are present only when the number of composite parts is \geq 2.

² The parameters Nat₃, Nmol₃ are present only when the number of composite parts equals 3.

³ For the concept of molecules in *JANA98* see page 140.

Figure 65 Example of the header of m40 with 1 molecule and 1 composite part

3.1.4 Scale parameters

Usually m40 contains six scale parameters in single line of the file. They are reserved for refinement of scales of various data sets or twin domains. If the number of scale parameters is insufficient it can be enlarged by command **mxsc** in the Commands section of m40 (see page [105\)](#page-104-0). The scale parameters are of two types: *Data scales* and *Fractional volumes*.

Meaning of parameters

Data scales

 \overline{a}

The scale parameters for data sets or groups of reflections are allocated from the left of the m40 line, i.e. the scale factor of the first data set is scale1. If there is no another scale factor scale1 is the overall scale.

Typically the data scales are assigned when joining diffractometer or reflection files from various sources *via* the Import procedure of *PRELIM* (see page [72\)](#page-71-0). In the Import (see

¹ The $2nd$ and $3rd$ line of scale parameters is only present when the default number of scale parameters is changed by **maxsc** command (see page 105)
[Figure 49,](#page-72-0) page [73\)](#page-72-0) there is the Scale factor number textbox. The number typed here is valid for the imported data set and is saved in m95 (see page [58\)](#page-57-0) and m91 (see page [87\)](#page-86-0). It must correspond to the serial number of the Data scale in m40, i.e. the scale factor number 3 is refined as scale3 etc.

Another way how to assign a Data scale is the **scale** command (see page [239\)](#page-238-0) of *REFINE*. It is assigned via the SetCommands tool for *REFINE* (see page [230\)](#page-229-0) and sets a special scale factor number for user-defined group of reflections. The number must again correspond to the serial number of the Data scale in m40 but it is not connected with the scale numbers in m91 or m95.

Fractional volumes

The scales of twin domains (fractional volumes) are allocated from the right of the m40 scale line(s). The first fractional volume is a complementary quantity and is not present in m40. Therefore (for default number of 6 scales) the second fractional volume is refined as scale6. If the number of the scale parameters is enlarged by **mxsc** command the second fractional volume is scalen, where n is the maximal number of scale parameters.

The number of fractional volumes is given by number of twin domains - see $\S 2.2.6$, page [76.](#page-75-0) The assignment of the fractional volume numbers to the twin domains is done *via* the Import procedure of *PRELIM* (see page [72](#page-71-0) and [75\)](#page-74-0) during reading of the diffractometer or reflection file. The numbers are saved in m95 (see page [58\)](#page-57-0) and m91 (see page [87\)](#page-86-0) and must correspond with the serial numbers of the fractional volumes in m40, i.e. the fractional volume number 1 is not refined, the fractional volume number 2 is refined as scale6 (assuming that maximal number of the scale parameters is 6), the fractional volume number 3 is refined as scale5 etc.

The different fractional volume numbers in m91 and m95 are only necessary in the case of non-meroedric twinning. If all reflections can be indexed in all used domains, i.e. each index is transformed by every twinning matrix to another but integer index, then all reflections in m91 and m95 have the same fractional volume number. The Fractional volumes in m40 are then independent of the fractional volume number in m91 and the only condition the user should keep is that their number must be by 1 less than the number of twin domains (for instance for a 6-fold twin there is 5 Fractional volumes in m40).

Refinement keys of scale factors

The initial values of scale parameters and corresponding refinement keys (see page [97\)](#page-96-0) are always set manually by the user. The only exception is scale1, which is always refined in the automatic mode. The details about refinements keys and [a](#page-72-0)utomatic mode are explained in § [3.3.3,](#page-222-0) page [223.](#page-222-0) With default setting for refinement keys the user can follow a simple rule that scale1 is automatic but for another scales it is necessary to set manually both the initial values and the refinement keys.

Figure 66 Example of m40 with scale parameters for a 6-fold twin.

3.1.5 Overall isotropic thermal parameter

This is constant, which is not refined and is added to all isotropic thermal parameters.

3.1.6 Extinction parameters

Meaning of parameters

JANA98 works with several types of the secondary extinction correction:

- Isotropic Type I, Gaussian distribution.
- Isotropic Type I, Lorentzian distribution.
- Isotropic Type II
- Isotropic mixed types, Gaussian distribution.
- Isotropic mixed types, Lorentzian distribution.
- Anisotropic Type I, Gaussian distribution.
- Anisotropic Type I, Lorentzian distribution.
- Anisotropic Type II

The anisotropic extinction has not been completely finished and its use should be first consulted with the authors.

The refined anisotropic extinction parameters are g11, g22, g33, g12, g13, g23 for the case of Gaussian distribution and rho11, rho22, rho33, rho12, rho13, rho23 for Lorentzian distribution. The isotropic parameters are giso and rhoiso for the Gaussian and Lorentzian distribution, respectively. In m40 the parameter g11 shares place with giso, while rho11 is at the same place like rhoiso.

The extinction parameters are interconnected with the extinction keys in the section for *REFINE* in m50. They can be edited *via* the Extinction form (page [243\)](#page-242-0) of the SetCommands tool of *REFINE*.

Figure 67 Example of m40 with isotropic extinction of type I with Lorentzian distribution.

5 Ω 0 Ω	
100000	
0.000000	
100000	
000000	
The refinement key of rhoiso	

Theoretical

JANA98 allows to extinction correction according to the theoretical models and formulas derived by P.J.Becker and P. Coppens, (1974) in Acta Cryst, **A30,** 129-147. The notation used here is taken from that paper and it also well corresponds to that defined by W.H.Zachariasen, (1967) in Acta Cryst, **23**, 558-564.

The integrated intensity P_k of the Bragg reflection according to the kinematical approximation can be calculated from the intensity of the incident beam I_0 and the volume of the irradiated volume *v* :

$$
P_k = I_0 v Q \tag{E1}
$$

The value *Q* is the average scattering cross section per unit volume:

$$
Q = \left| \frac{aFK}{V} \right|^2 \lambda^3 / \sin 2\theta
$$
 (E2)

F is the structure factor, *K* is polarisation factor (1 for neutron or synchrotron radiation and for the parallel component of X-ray electric field and $\cos\theta$ for the perpendicular component of X-ray electric field), *V* is the unit cell volume, θ is the Bragg angle, λ is the wavelength of the used radiation. The constant factor a is 10⁻¹² cm for neutrons and $\frac{e}{m e^2}$ ≈ 2.81776.10⁻¹³ $\frac{e^2}{mc^2} \approx 2.81776.10^{-13}$ cm for X-rays.

JANA98 can only refine the secondary extinction correction. Its influence can be expressed by the factor *y*:

$$
P = P_k \cdot y \tag{E3}
$$

The secondary correction is dependent on the used assumption concerning angular distribution *W* of the crystalline domains in the crystal. There are two most generally used models with Gaussian and Lorentzian distribution:

$$
W_G(\varepsilon) = \sqrt{2}g \exp(-2\pi g^2 \varepsilon^2) \qquad W_L(\varepsilon) = 2g/(\mathbf{1} + 4\pi^2 \varepsilon^2 g^2) \tag{E4}
$$

, where g is the width of the distribution.

The correction factor y can be according to P.Becker and P.Coppens approximated by the formula:

$$
y(x,\theta) = \left\{1 + \xi_{G,L}x + \frac{A(\theta)x^2}{1 + B(\theta)x}\right\}^{-1/2} x = \frac{2}{3}Q\alpha_{G,L}\bar{t}
$$
 (E5)

$$
\alpha_G = \overline{\alpha} \left/ \left(1 + \frac{\overline{\alpha}^2}{2g^2} \right)^{1/2} \qquad \xi_G = 2.12 \tag{E6}
$$

$$
\alpha_L = \overline{\alpha} \left/ \left(1 + \frac{2\overline{\alpha}}{3g} \right) \qquad \xi_L = 2 \tag{E7}
$$

The parameter $\bar{\alpha} = \frac{3}{2} \frac{7}{\lambda} \sin 2\theta = \frac{3}{2} \rho \sin 2\theta$ $\sin 2\theta = \frac{3}{2}$ 2 $=\frac{3}{2}\frac{r}{\rho}\sin 2\theta = \frac{3}{2}\rho\sin 2\theta$, where *r* is the particle size.

The program allows to correct for three different types of isotropic secondary extinction for which there are some differences in used functions $A(\theta)$, $B(\theta)$.

Mixed type

The mixed type makes no other assumptions and uses the expressions for *x* as defined above. The functions $A(\theta)$, $B(\theta)$ have the following form:

Gaussian distribution:

 $A(\theta) = 0.58 + 0.48 \cos 2\theta + 0.24 \cos^2 2\theta$ (*E8*) $B(\theta) = 0.02 - 0.025 \cos 2\theta$

Lorentzian distribution:

$$
A(\theta) = 0.025 + 0.285 \cos 2\theta
$$

\n
$$
B(\theta) = 0.15 - 0.2(0.75 - \cos 2\theta)^{2} \quad \cos 2\theta > 0
$$

\n
$$
B(\theta) = -0.45 \cos 2\theta \qquad \cos 2\theta < 0
$$
 (E9)

The extinction depends both of the particle size (parameter ρ) and the width of the distribution *g* and both can be refined.

Type I – extinction being dominated by distribution

In the case when $\bar{\alpha} \gg g$ the expressions for $\alpha_{g,L}$ are reduced to:

$$
\alpha_G = \sqrt{2} g \qquad \alpha_L = \frac{3}{2} g \tag{E10}
$$

The extinction is then independent of the particle size and only parameter to be refined is the width of the distribution *g*.

The functions $A(\theta)$, $B(\theta)$ have the same form as for the mixed type (see above).

Type II – extinction being dominated by particle size

In the case when $\bar{\alpha} \ll g$ the expressions for α_{GL} are reduced to:

$$
\alpha_{G,L} = \overline{\alpha} \tag{E11}
$$

The extinction is then independent of the width of the distribution particle size and only parameter which to be refined is the particle size $\bar{\alpha}$.

The functions $A(\theta)$, $B(\theta)$ have the same form as for the primary extinction, see Becker&Coppens (28):

$$
A(\theta) = 0.20 + 0.45 \cos 2\theta
$$

\n
$$
B(\theta) = 0.22 - 0.12(0.5 - \cos 2\theta)^{2}
$$
 (E12)

The program *JANA98* allows using all mentioned types. The mean path \bar{t} [cm] for each reflection is recorded in the file m95 during the numerical absorption correction. Then it is copied to m91 and used in the refinement. In case that \bar{t} is not at disposal the program uses the radius defined in the form for the extinction and the following equation for a spherical crystal of radius *R*:

$$
\bar{t} = \frac{3}{2}R
$$
 (E13)

The parameters ρ and g can be refined by the least square program REFINE. The recorded values are multiplied by 10^4 . This means that the parameter ρ gives the ratio of the particle size in micrometers to the wavelength in Angstroms.

The anisotropic extinction has not been completely finished and its use should be first consulted with the authors.

Type of the correction	Refined parameters and their meaning
Isotropic Type I, Gaussian	giso the width of the Gaussian distribution
Isotropic Type I, Lorentzian	giso the width of the Lorentzian distribution
Isotropic type II	rhoiso the ratio of the particle size in micrometers to the
	wavelength in Angstroms.
Isotropic mixed	giso and rhoiso
Anisotropic Type I Gaussian	q11q23
Anisotropic Type II, Lorentzian	q11q23
Anisotropic Type II	$rho11$. $rho23$

Table 11 The meaning of the extinction parameters in JANA98

3.1.7 Atomic header parameters

The atomic header lines contain the basic information about the atom whose parameters are refined, the number and type of parameters which follow in the next part of m40, occupation and positional parameters. The four refinement keys belonging to refinable parameters a_i , x, y and z are placed together with the refinement keys of temperature parameters (see page [120](#page-119-0) and [Figure 68\)](#page-117-0).

Parameters meaning

Name Chtype	the name of atom. It is repeated in the second header line for clarity. the reference number of chemical type. This is the serial number of the atom in the atomic form factors list in m50, i.e. the first atom in m50 has Chtype=1 etc. See \S 0, page 77 for more information about m ₅₀ .
Ttype	the type of temperature parameters. 1=isotropic, 2=anisotropic, $3,4,5$ and 6 = anharmonic atomic displacement tensors of the 3^{rd} , 4^{th} , 5^{th} and $6th$ order, respectively. If the atom is part of a model molecule (see page 142) Type can also be equal to 0 which means that the temperature parameter is refined as molecular TLS parameters (see page 145).
ai	site occupation. In convention of JANA98 at of a symmetric position is reciprocal value of its multiplicity.
x, y, z	positional parameters. For a modulated structure refined with modulation waves x, y, z is a position in the basic structure. For a modulated structure refined <i>without</i> modulation waves on main reflections x, y, z is a position in the average structure.
S_0	key of special function for occupancy modulation. 0 means ordinary function, 1 means special function. The special function for occupancy modulation is the crenel function (page 128).
S_p	key of special function for position modulation. 0 means ordinary function, 1 means special function. The special function for position modulation is the sawtooth function (page 124).
S_t	key of special function for temperature modulation. 0 means ordinary function, 1 means special function. Currently no special function for temperature modulation is available.
W_0^4	number of occupation modulation waves
W_p	number of position modulation waves

¹ This cell is only present for modulated structures.

 \overline{a}

² This line is omitted for Ttype less or equal to 2, i.e.for atoms without anharmonic displacement parameters.

³ This cell is only present for modulated structures.

⁴ The maximal number of modulation waves is 16. In Unix version it can be changed during the compilation of the program - see § 1.1.4 page 15.

The atomic header parameters are also used for atoms of a model molecule - see page [142.](#page-141-0)

Setting the atomic header line parameters

The name, the reference number of chemical type, occupation and position parameters are entered *via* the *Replacing/Inserting atoms* interface of *EDITM40* (see page [159\)](#page-158-0). For setting of the type of temperature parameters (Ttype) there are three tools in *EDITM40*: *Temperature parameters* (page [164\)](#page-163-0) converts between anisotropic and isotropic parameters. *Beta<->U* (page [164\)](#page-163-0) converts between U and beta type and *Adding or deleting anharmonic tensors* (page [165\)](#page-164-0) sets the anharmonic displacement parameters (ADP). The parameters defining the number of modulation waves are set together with the initial values of modulation parameters with *Setting or deleting of modulation waves* (page [168\)](#page-167-0).

Figure 68 An atom in m40 with anisotropic temperature parameters.

Figure 69 An atom in m40 with ADP of the 3rd order.

The next two lines have the same meaning like in **Figure 68**. **La2 2 3 0.500000 0.000000 0.000000 0.326373 0.054580 0.022898 0.023297 0.000000 0.000000 0.002195 0001111001** The next two lines contain components of the 3rd order anharmonic tensor followed by their refinement keys **0.000000-0.031857 0.000651 0.000000 0.000000 0.000000 011000 -0.027452-0.000329-0.000498-0.000050 1111**

Figure 71 An atom in m40 with modulation of the 3rd order ADP.

3.1.8 Temperature parameters

This part contains the parameters of the isotropic or anisotropic temperature factor. By default the temperature factor in *JANA98* takes so called "U-form", i.e. it is defined by expression E14 and E15 for the anisotropic and isotropic case, respectively. The alternative definition is so called "beta-form" - see expressions E16 and E17. The key Itemp in the header of m40 (see page [107\)](#page-106-0) defines whether the temperature parameters are in the U-form or Beta-form. The conversion of m40 between these two forms can be made *via* the *Beta<->U* command of *EDITM40* (see page [164\)](#page-163-0).

In the isotropic case the refined parameter is U11 for both the U-form and Beta-form of the temperature factor. The corresponding refinement key is the $5th$ key from 10 refinement keys in the line containing the temperature parameters because the first four refinement keys belong to the occupation and position(see page [116](#page-115-0) and [Figure](#page-117-0) 6[8,](#page-117-0) page [118\)](#page-117-0).

In the anisotropic case the refined parameters are U11 - U23 for both the U-form and Beta-form and the corresponding refinement keys are the $5th$ - $10th$ ones.

The expressions defining various forms of the temperature factor

$$
Anisotropic case, U-form:
$$

\n
$$
\exp\left[-2\pi^2\left(U_{11}h^2a^{*^2} + U_{22}h^2b^{*^2} + U_{33}h^2c^{*^2} + U_{12}hka^*b^* + U_{13}hla^*c^* + U_{23}klb^*c^*\right)\right]
$$
\n
$$
(E14)
$$

Isotropic case, U-form:

$$
\exp\left(-8\pi^2 U \frac{\sin^2 \theta}{\lambda^2}\right) \tag{E15}
$$

Anisotropic case, Beta-form:

$$
\exp(\beta_{11}h^2 + \beta_{22}h^2 + \beta_{33}h^2 + \beta_{12}hk + \beta_{13}hl + \beta_{23}kl)
$$
 (E16)

Isotropic case, Beta-form:

$$
\exp\left(-\beta \frac{\sin^2 \theta}{\lambda^2}\right) \tag{E17}
$$

3.1.9 Anharmonic displacement parameters (ADP)

The parameter Itemp (see page [116\)](#page-115-0) determines whether the atomic displacement will be described by isotropic, anisotropic or anharmonic tensor. The order of the ADP and their initial values are set *via* the tool *Setting or deleting anharmonic tensors* of *EDITM40* (see page [165\)](#page-164-0). A simple example of $\overline{m40}$ with the $3rd$ order ADP is shown in [Figure 69\)](#page-117-0).

Meaning of parameters

 Theoretical

Non-harmonic ADP (atomic displacement parameters) used in the program are based on the Gram-Charlier expansion of the structure factor:

$$
F(\mathbf{H}) = \sum_{v=1}^{n} f_v(\mathbf{H}) \exp(2\pi i \mathbf{r}_v \cdot \mathbf{H}) \exp(-\beta^{ij} h_i h_j).
$$

\n
$$
(1 - iC^{ijk} h_i h_j h_k + D^{ijkl} h_i h_j h_k h_l + iE^{ijklm} h_i h_j h_k h_l h_m - iF^{ijklmn} h_i h_j h_k h_l h_m h_n)
$$
 (E 18)

The tensors **C, D, E** and **F** are the symmetrical tensors of order 3,4,5 and 6, respectively.

More details concerning theory of ADP can be found in Kuhs (1992) and the references herein.

Setting or deleting ADP

The anharmonic displacement parameters can be set in *EDITM40* - see page [165.](#page-164-0)

3.1.10 Position modulation parameters

The type of position modulation parameters is defined by s_p and w_p (see the atomic header parameters, page [116\)](#page-115-0). With $s_p = 0$ and $w_p > 0$ the modulation is described as a linear combination of w_p harmonic modulation waves. With $s_p = 1$ and $w_p = 1$ the position modulation is expressed by a simple sawtooth function. For $s_p = 1$ and $w_p > 1$ the modulation is linear combination of harmonic functions (w_p -1 waves) and the sawtooth function.

Theoretical

In the case of a *d*-dimensional modulated crystal the *ν*th atom is located at

$$
\mathbf{r}_{\mathbf{n}\nu} = \mathbf{r}_{\nu}^0 + \mathbf{n} + \mathbf{u}_{\nu} \{ \mathbf{q}_1 (\mathbf{g}_{\nu} + \mathbf{n}) \dots, \mathbf{q}_d (\mathbf{g}_{\nu} + \mathbf{n}) \},
$$
 (E 19)

where \mathbf{r}_v^0 is the average position of atom *ν*, **n** represents the lattice translations, \mathbf{u}_v is the *d*-dimensional periodic vector field $\mathbf{u}_{\nu}(x_1, \dots, x_d) = \mathbf{u}_{\nu}(x_1 + n_1, \dots, x_d + n_d)$, n_1, \dots, n_d being integers, \mathbf{q}_1 ,...., \mathbf{q}_d are incommensurate modulation vectors, which are rationally independent in the basis $\mathbf{a}_1^*, \mathbf{a}_2^*, \mathbf{a}_3^*$ * 2 $\mathbf{a}_1^*, \mathbf{a}_2^*, \mathbf{a}_3^*$; \mathbf{g}_v is the phase reference point, which is equal to the atomic position for an atom not part of a rigidly displaced entity.

Harmonic position modulation

The vector field \mathbf{u}_v is the general modulation function of the atomic position. It can be expanded into a truncated Fourier series:

$$
\mathbf{u}_{v} = \sum_{i=1}^{l} \mathbf{U}_{v}^{s}(i) \sin[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{v})] + \sum_{i=1}^{l} \mathbf{U}_{v}^{c}(i) \cos[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{v})],
$$
 (E 20)

where

 \overline{a}

$$
\overline{\mathbf{q}}_i = \sum_{j=1}^d \alpha_{ij} \mathbf{q}_j, \qquad (E\ 21)
$$

¹ \$ symbolises the sawtooth function parameters are always in the place of the last wave - see page 123 for details.

 α _{ij} being integers, are selected linear combinations of the modulation vectors and \mathbf{U}_{v}^{s} , \mathbf{U}_{v}^{c} are the amplitudes of the sin and cos displacement waves, respectively.

Sawtooth position modulation

Sometimes the displacement \mathbf{u}_v can be more effectively described as a linear function rather than by harmonic function shown in equation [E 20.](#page-122-0) In *JANA98* the linear position modulation function is called sawtooth function. Its usage is limited to 4 dimensions. The sawtooth function can be expressed like

$$
\mathbf{u}_{v} = 2\mathbf{u}_{0,v} \left[(x_4 - x_4^0)/\Delta \right] \quad \text{for } \left(x_4^0 - \Delta/2 < x_4^0 < x_4^0 + \Delta/2 \right) \tag{E.22}
$$

where \mathbf{u}_v is the displacement of the *v*th atom, \mathbf{u}_0 is the maximal displacement and Δ is the definition interval of the sawtooth function.

An example is given in [Figure 72,](#page-124-0) where the sawtooth function defined by the center x_4^0 , by the width Δ and the maximal displacement **u**₀ is plotted in the x_i-x₄ projection. For $x_4 = x_4^0$ the displacement from the basic atomic position is 0. With increasing x_4 , the displacement increases linearly until the maximal value \mathbf{u}_0 . When x_4 reaches the point A, the atom disappears and does not exist until point B appears again in the next cell with the opposite maximal displacement \mathbf{u}_0 .

 It should be noted that sawtooth function describes simultaneously the position modulation and occupation modulation.

Figure 72 An example of a sawtooth modulation function (abscissa A-B) in the x4 xi projection.

 Δ , x_4^0 and $u_{0,i}$ define the width of the function, the center of the function and the maximal *displacement from the basic position, respectively. The occupation of the atom described by this function is equal to ∆.*

Selection of wave vectors

The vector components $\overline{\mathbf{q}}_i$ (see equation [E 21\)](#page-122-0) are linear combinations of q-vectors defined by user. The combination can be defined by the **wave** command (see page [105\)](#page-104-0). In the case of one dimensional modulation there is usually no reason to change the default definition of \overline{q} *j* = *j***q** .

Setting or deleting position modulation

Provided that the dimensions and modulation vector(s) have been properly set in *PRELIM* (see page [68\)](#page-67-0) the number of harmonic waves can be changed in *EDITM40* (page [168\)](#page-167-0). With the automatic setting for refinement keys (see [§3.3.3](#page-222-0) page [223](#page-222-0) for details) the symmetry restrictions of the wave components are made automatically. The setting of a sawtooth function is described in page [286.](#page-285-0) It can be applied together with harmonic modulation functions - see page [132](#page-132-0) for details.

Figure 73 Example of an atom in the atomic part of m40 with harmonic position modulation.

$\frac{1}{1}$ S_p W_D	
$1\quad 2$ La1 0.500000 0.168380 0.500000 0.253771 0 1 0 000 0.000950 0.003198 0.004465 0.000000-0.000475 0.000000 0101111010	
The next line contains xsin1, ysin1, zsin1, xcos1, ycos1, zcos1, kkkkk	
0.006008 0.000000-0.023387-0.000706 0.000000 0.000909 101101	
0.000000 0	

Figure 74 Example of an atom in the atomic part of m40 with sawtooth position modulation.

 \overline{a}

¹ \$ symbolises the sawtooth function parameters are always in the place of the last wave - see page 123 for details.

3.1.11 The occupation modulation parameters

The type of occupation modulation parameters is defined by s_0 and w_0 (see the atomic header parameters, page [116\)](#page-115-0). With $s_0 = 0$ and $w_0 > 0$ the modulation is described by w_0 harmonic modulation waves. With $s_0 = 1$ and $w_0 = 1$ the occupation modulation is expressed by a crenel function. The combinations $s_0 \neq 0$ and $w_0 \neq 1$ are illegal leading to an unpredictable behaviour of the refinement.

Theoretical

Similarly as for position (see equation [E 19\)](#page-122-0) we can write

$$
p_{nv} = p_v^0 + p_v \left[\mathbf{q}_1 \cdot (\mathbf{g}_v + \mathbf{n}) \dots, \mathbf{q}_d \cdot (\mathbf{g}_v + \mathbf{n}) \right] \tag{E.23}
$$

where p_{nv} and p_v^0 are occupation in the *n*th cell and the average occupation of atom *ν*, respectively. **g**_ν and **n** are explained in [E 19.](#page-122-0) p_v is the *d*-dimensional periodic scalar function

$$
p_{v}(x_{1},...,x_{d}) = p_{v}(x_{1} + n_{1},...,x_{d} + n_{d}), \qquad (E 24)
$$

 n_1, \ldots, n_d being integers.

Harmonic occupation modulation

Similarly, as for \mathbf{u}_{ν} (see equation [E 20\)](#page-122-0), p_{ν} can be expanded into a Fourier series:

$$
p_{\mathbf{v}} = \sum_{i=1}^{l} p_{\mathbf{v}}^{s}(i) \sin[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{\mathbf{v}})] + \sum_{i=1}^{l} p_{\mathbf{v}}^{c}(i) \cos[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{\mathbf{v}})]
$$
(E 25)

where the summation is over all occupation waves¹. \overline{q}_i are selected linear combinations of the modulation vectors (see equation [E 21\)](#page-122-0) and p_v^s , p_v^c are the amplitudes of the sin and cos occupation waves, respectively. The choice of the upper limit *l* define the actual truncation of the infinite formula.

Crenel occupation modulation

Very often modelling the proper shape of the occupation modulation function requires a large number of harmonics. In these cases more efficient way is to use crenel function defined as

$$
p_{\nu}(x_4) = 1 \qquad x_4 \in \left\langle x_4^0 - \Delta/2, x_4^0 + \Delta/2 \right\rangle
$$

\n
$$
p_{\nu}(x_4) = 0 \qquad x_4 \notin \left\langle x_4^0 - \Delta/2, x_4^0 + \Delta/2 \right\rangle
$$
 (E 26)

Usage of crenel function in *JANA98* is limited to 4 dimensions. Normally it is applied together with harmonic modulation functions - see page [132](#page-132-0) for details.

Meaning of parameters

For harmonic functions

For crenel function

 \overline{a}

¹ Note that in the case of pure occupation modulation the harmonic wave the *m*th order gives raise to only *m*th order satellites.

Figure 76 Example of an atom in the atomic part of m40 with harmonic occupation modulation.

	Wo S_0
0.500000 0.118274 0.126328 0.500000 Na3 4 2 0.002500 0.004732 0.022182-0.000051 0.000000 0.000000	000 - 1 \blacksquare Ъ. 0110111100
The next line contains \circ , k	
0.865520	$\mathbf{1}$
The next line contains osin1, ocos1, kk	
0.000000-0.018457	01
0.000000 0.000000-0.002118 0.000349-0.009846 0.000000	001110
0.000000 0.000000 0.000000 0.000000 0.000500 0.000311	000011
-0.000051 0.000796 0.005622 0.000241 0.000000 0.000000 0.000000	111100

Figure 77 Example of an atom in the atomic part of m40 with Crenel occupation modulation

	S ₀ W _o
3 ₂ 0.500000 0.155298 0.155298 0.500000 O	100 3 -3
0.003439 0.003439 0.058492-0.000174-0.002425 0.002425	0100101110
The next line contains \circ , k	
0.500000	$\mathbf{0}$
The next line contains \circ sin1, $\circ \circ$ cos1, k0	
0.250000 1.000000	00
0.002561 0.002561 0.000000-0.002344 0.002344 0.055930	100101
-0.001675 0.001675-0.010607 0.003021 0.003021 0.000000	101100
0.002421-0.002421 0.003984 0.002846 0.002846 0.000000	101100
$-0.001929 - 0.001929 - 0.023379$ 0.000274 0.001268-0.001268	101110
-0.000725 0.000725 0.000000 0.000000 0.004529 0.004529	100010
-0.000574 0.000574 0.000000 0.000000-0.000567-0.000567	100010
0.001699 0.001699 0.030008 0.002512-0.002588 0.002588	101110
-0.001006 0.001006 0.000000 0.000000 0.000179 0.000179	100010
0.002198 0.002198 0.031639 0.001819-0.005934 0.005934	101110
0.000000	

Setting or deleting occupation modulation

Provided that the dimensions and modulation vector(s) have been properly set in *PRELIM* (see page [68\)](#page-67-0) the number of harmonic waves can be changed in *EDITM40* (see [168\)](#page-167-0). With the automatic setting for refinement keys (see \S 3.3.3 page [223](#page-222-0) for details) the symmetry restrictions of the wave components are made automatically. The setting of the crenel function is described in page [286.](#page-285-0) For its application together with harmonic modulation functions see page [132.](#page-132-0)

The relationship between occupation factor ai and occupation modulation.

The value of the occupancy factor ai (see page [116\)](#page-115-0) follows from the local site symmetry (for instance, it is 0.5 for atom located in a mirror or two-fold axis). In case that the position is not fully occupied the maximal value must be reduced. For regular structures or for atoms without occupational modulation it is usually done by refinement of ai. In cases where occupational modulation is used the ai parameters should not be refined. Instead the zero term o of the occupation wave is refined and the program automatically uses the theoretical value of ai following from the site symmetry and reduced by \circ . This value is also assigned to ai when converting an atom with occupation modulation to a non-modulated one (by setting 0 occupation modulation waves in *EDITM40*).

3.1.12 The temperature modulation parameters

The temperature modulation in *JANA98* can only be described with harmonic functions. The type of harmonic waves is defined by w_t (see the atomic header parameters, page [116\)](#page-115-0).

Theoretical

Let us consider that the positional modulation described in §[3.1.10,](#page-122-0) page [123,](#page-122-0) is accompanied by the modulation of the mean-square displacement tensors. Similarly as for position (see equation [E 19\)](#page-122-0) we can write

$$
\beta_{n\mathbf{v}} = \beta_{\mathbf{v}}^0 + \beta_{\mathbf{v}} [\mathbf{q}_1 \cdot (\mathbf{g}_{\mathbf{v}} + \mathbf{n}), ..., \mathbf{q}_d \cdot (\mathbf{g}_{\mathbf{v}} + \mathbf{n})] \tag{E.27}
$$

where β_{nv} and β_{v}^{0} are the tensor of the temperature parameters in the *n*th cell, and the average temperature parameter tensor of atom v , respectively. **g**_{*v*} and **n** are explained in [E 19.](#page-122-0) β_{v} is the *d*-dimensional periodic tensor function

$$
\beta_{\nu}(x_1, \dots, x_d) = \beta_{\nu}(x_1 + n_1, \dots, x_d + n_d), \tag{E.28}
$$

n1, ...,nd being integers.

 \overline{a}

Similarly, as for \mathbf{u}_{ν} (see equation [E 20\)](#page-122-0), β_{ν} can be expanded into a truncated Fourier series:

$$
\beta_{\nu} = \sum_{i=1}^{l} \beta_{\nu}^{s}(i) \sin[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{\nu})] + \sum_{i=1}^{l} \beta_{\nu}^{c}(i) \cos[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{\nu})],
$$
\n(E 29)

where the summation is over all distorsion waves, including higher harmonic terms.

¹ The name of the modulation temperature parameters can be referenced to as U or Beta regardless of the current setting in m40.

 $\overline{\mathbf{q}}_i$ are selected linear combinations of the modulation vectors (see equation [E 21\)](#page-122-0) and β_{ν}^{s} , β_{ν}^{c} are the amplitudes of the sin and cos displacement waves, respectively.

Parameters meaning

Setting or deleting temperature modulation

Provided that the dimensions and modulation vector(s) have been properly set in *PRELIM* (see page [68\)](#page-67-0) the number of harmonic temperature waves can be changed in *EDITM40* (see page [168\)](#page-167-0). With the automatic setting for refinement keys (see §3.3.3) page [223](#page-222-0) for details) the symmetry restrictions of the wave components are made automatically.

3.1.13 Special and harmonic functions applied together

This chapter is based on Petricek, Lee & Evain (1995)

Introduction

The functions describing the displacive, occupation and temperature modulation waves are expressed as periodic functions of the actual position in the crystal:

$$
f_{\mathbf{n}\nu} = f_{\nu} \left[\mathbf{q}_1 (\mathbf{g}_{\nu} + \mathbf{n}) \dots, \mathbf{q}_d (\mathbf{g}_{\nu} + \mathbf{n}) \right]. \tag{E 30}
$$

The quantities g_y and n are described after equation [E 19.](#page-122-0) For $d=1$ we can write

$$
f_{\mathbf{n}\nu} = f_{\nu} \left[\mathbf{q} (\mathbf{g}_{\nu} + \mathbf{n}) + t \right] = f_{\nu} (x_4).
$$
 (E 31)

The periodic function $f_v(x_4) = f_v(x_4 + 1)$ is usually expanded in a Fourier series

$$
f_{\nu} = A_{0,\nu} + \sum_{n=1}^{\infty} A_{n,\nu}^{s} \sin(2\pi nx_{4}) + \sum_{n=1}^{\infty} A_{n,\nu}^{c} \cos(2\pi nx_{4}).
$$
 (E 32)

The Fourier coefficients $A_{0,y}$, $A_{n,y}^s$ and $A_{n,y}^c$ define the set of parameters of the (3+1) dimensional structure.

Combination of harmonic functions and crenel functions

The important advantage of using Fourier series is that the harmonic functions are mutually orthogonal in the sense that the scalar product defined by the integral

$$
(g_i \cdot g_j) = \int_0^1 g_i(x) g_j(x) dx = \delta_{ij}, \qquad (E\ 33)
$$

where δ_{ij} is the Kronecker delta. The orthogonality condition is necessary to prevent correlations between the Fourier coefficients in the refinement process.

Usually, it suffices to take only a very limited number of harmonics to model the proper shape of the periodic functions defined in E 30. However, in cases that require a large number of harmonics, special functions like sawtooth function for position modulation (see page [124\)](#page-123-0) or crenel functions for an occupation modulation wave (see page [128\)](#page-127-0) can be used with less parameters than would be necessary with a Fourier expansion in E 31.

 The discontinuous functions (or functions with discontinuous derivatives) certainly describe an ideal situation that never occurs in a real modulated crystal. We usually use these functions together with harmonic modulation functions (see

equation [E 31\)](#page-132-0) in order to describe various effects smearing the pure discontinuous character of the modulation function. However, combination of these special functions¹ with harmonic functions may create problem in the refinement. For instance, with a crenel function taking the value 1 for ∆ less than 1 (see page [124](#page-123-0) for details) the displacive modulation functions are no longer defined for all x4 and the orthogonality condition (see equation [E 33\)](#page-132-0) is no longer warranted, causing severe correlations between the position or temperature Fourier coefficients.

 In *JANA98* the problem is solved by *orthogonalization of the basic functions* (see the next paragraph). If the set of harmonic functions is nearly linearly dependent the orthogonalization of the complete set of functions is not recommended. In this case the orthogonalization procedure should be preceded by *selection of basic functions* (see page 134).

Orthogonalization of basic functions

The orthogonalization procedure in *JANA98* is based on Schmidt orthogonalization procedure. By this method the *n*th orthogonalized function $\gamma_n(x)$ is built from a combination of the first *n* basic functions $g_1(x),..., g_n(x)$:

$$
\gamma_n(x) = \sum_{i=1}^n T_{ni} g_i(x), \qquad (E\ 34)
$$

where *i* runs over the non-selected functions. The procedure is unique if the condition of orthogonality is combined with the normalization of the new functions. It transforms the complete set of the basic functions to a new complete set.

 The major problem of the orthogonalization method is that the calculation of structure factors might be troublesome when the initial subset of functions is almost linearly dependent. This is because even small displacements are described as combinations of large partial displacements. In this cases the orthogonalization procedure should be preceded by selection of basic functions (see page 134).

Selection of basic functions

The selection of basic functions is based on their mutual scalar products. A symmetrical **G** matrix is defined from scalar products according to relations

$$
G_{ij} = (g_i \cdot g_j) / [(g_i \cdot g_i)(g_j \cdot g_j)]^{1/2}
$$

and

$$
(g_i \cdot g_j) = \int_{x_4^0 - \Delta/2}^{x_4^0 + \Delta/2} g_i(x) g_j(x) dx
$$

 \overline{a}

¹ The problem is caused by using a discontinuous function for occupation modulation. It should be noted that sawtooth function for position modulation includes a crenel function for occupation.

The **G** matrix has all diagonal elements equal to 1.00. The off-diagonal elements define cosines of the generalized angle between two elements of the set of functions. The larger the off-diagonal element, the larger is the correlation in the refinement¹. The function $g_i(x)$ will only be accepted to enlarge the subset $M_i = \{g'_1, \ldots, g'_n\}$ of the functions already selected for the refinement if the cosine, ε_i , of its angle to the linear subspace M_n is smaller than the chosen limit λ . To do so, the function $g_i(x)$ can be split into two components, one perpendicular to M_n and one that is a linear combination of the functions of M_n :

$$
g_i(x) = g_{\perp}(x) + g_{M_n}(x) = g_{\perp}(x) + \sum_{i=1}^n \alpha_i g_i'(x).
$$
 (E 36)

The coefficients α_i (i=1,...,n) follow from th matrix equation

$$
\alpha = \mathbf{F}^{-1}\boldsymbol{\beta},\tag{E37}
$$

where $\beta_i = (g \cdot g'_i) / [(g \cdot g)(g'_i \cdot g'_i)]^{1/2}$ and the matrix **F** is composed from the subset M_n in the same way as the matrix **G** in equation [E 35.](#page-133-0) The length of $g_M(x)$ divided by the length of gi represents the cosine of the angle:

$$
\varepsilon_i = \left[\beta^T \mathbf{F}^{-1} \beta / (g_i \cdot g_i)\right]^{1/2}.
$$
 (E 38)

Thus, g_i is rejected if $\varepsilon_i > \lambda$.

The disadvantage of this method is that some of harmonic functions gi are skipped and therefore a part of the displacive modulation is not completely described. The quality of the selection can be estimated from the perpendicular components of the non-selected harmonic functions. The larger the perpendicular component, the higher the chance that a serious error occurs in describing the displacive modulation. Thus, the sum of all such contributions, ξ, is a measure of completeness of the selected set of g' functions:

$$
\xi = \sum_{i=1}^{n} \left(1 - \varepsilon_i^2\right)^{1/2}.
$$
 (E 39)

The selection procedure does not ensure orthogonality, but can be used to approach orthogonality. Therefore the selected set of basic functions is not directly used for the refinement but it is orthogonalized (see page [134\)](#page-133-0).

¹ The G matrix for the orthonormalized set of functions is equal to the unit matrix.

Figure 78 Example of a crenel function in a Contour plot.

 The position modulation function is made by combination of the crenel occupation modulation and the harmonic position modulation.

The setting and deleting of orthogonalization parameters is described in page [106.](#page-105-0) The details about this topic can be found in Petricek, Lee & Evain (1995).

3.1.14 The ADP modulation parameters

The anharmonic displacement parameters are explained in page [122.](#page-121-0) The ADP modulation in *JANA98* can only be described for (3+1) modulated structures using harmonic functions. The number of harmonic waves is defined by w_{t3} , w_{t4} , and w_{t5} (see the atomic header parameters, page [116\)](#page-115-0).

For (3+1) modulated structures the non-harmonic ADP parameters can be modulated in similar way as occupancy, position or temperature parameters. Any component of ADP tensor is then a function of the internal coordinate:

$$
p_{\mathbf{n}\nu} = p_{\nu}^0 + p_{\nu} \{ \mathbf{q} (\mathbf{g}_{\nu} + \mathbf{n}) \}, \tag{E 40}
$$

where p_v^0 is the average value of the relevant component of the ADP tensor for atom *ν*, **n** represents the lattice translations, p_v is the periodic function $p_v(x) = p_v(x + n)$, *n* being integer, **q** is the incommensurate modulation vector, \mathbf{g}_{v} determines the phase reference point of the displaced entity.

The function p_v is the general modulation function of the atomic position. It can be expanded into a truncated Fourier series:

$$
p_{\nu} = \sum_{i=1}^{l} P_{\nu}^{s}(i) \sin[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{\nu})] + \sum_{i=1}^{l} P_{\nu}^{c}(i) \cos[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{\nu})]
$$
(E 41)

Meaning of parameters

Setting or deleting of ADP modulation parameters.

The modulation of anharmonic displacement parameters can be set in *EDITM40* - see page [168.](#page-167-0)

3.1.15 Phason for atoms

The phason parameter p accounts for reducing of intensities of satellite reflections. The factor as derived by Axe(1980) has an exponential form :

$$
F_{corr}(hklm) = \exp[-(m-1)mp]F(hklm)
$$

where m is the order of the satellite reflection. Note that there is no reduction for main reflections and first order satellites and therefore it can be applied only if satellites of order higher that 1 were observed and measured. The more complex way how to describe such an effect is modulation of temperature parameters. But this usually needs much more parameters.

3.1.16 The concept of molecules in *JANA98*

Molecule in *JANA98* is a model group of atoms representing one or more molecular positions of this group in the elementary cell. This enables to distinguish *atomic parameters* that are defined individually for each atom of the group and reproduced in the molecular positions and *molecular parameters* which are defined uniquely for each molecular position. Such treatment enables refinement of less parameters than would result from an atomic model. The molecule as defined in *JANA98* need not be a molecule in the chemical meaning.

The group of atoms shaping the molecule but not yet placed in the molecular position is called the *model molecule*. The model molecule is transformed to its real positions by rotations defined by three rotational angles and translations defined by a translation vector.

The model and molecular parameters

The parameters of atoms forming the model molecule are called *model parameters.* They are refined independently for each atom of the model and they are common for all molecular positions. Their order and names in m40 are the same like for atoms in the atomic part.

The *molecular parameters* are refined independently for each position of the model molecule. The *basic molecular parameters* are the same for both modulated and ordinary structures. They comprise *rotation parameters*, *translation parameters*, *occupation* and *TLS tensors*. The *molecular modulation parameters* can be refined for *occupancy, position and TLS tensors*.

Coordinates of the model molecule are example of model parameters. The rotation and translation parameters are typical molecular parameters. However, for many cases the user can decide whether some parameter type will be refined as model or molecular parameters. For example thermal parameters can be refined as model parameters U11,,U23 (i.e. independently for each atom) or as molecular parameters TLS tensors (i.e. independently for each molecular position). The distribution of parameters between the model and molecular part influences the rigidity of the molecule.

The creation of molecules and molecular transformations are described in *EDITM40,*, page [171.](#page-170-0)

3.1.17 Molecular header parameters

The molecular header parameters cannot be refined.

Meaning of parameters

The reference point

In the case of standard structures setting of the reference point does not influence the calculation. Due to the fact *JANA98* uses the rectilinear approximation for calculation of molecular modulations, the distance of the reference point from the center of the molecule should be as short as possible.

In all cases the reference point influences calculation of symmetry restrictions - see page [189](#page-188-0) for more information.

Local symmetry

Any of 32 crystallographic point groups and two non-crystallographic (icosahedral I and I_h) can be used as definition of local symmetry of the model molecule. The model molecule in m40 then contains only symmetrically independent atoms. The setting of local symmetry is optional. See page [207](#page-206-0) for examples.

Figure 79 Example of m40 with the C_{60} molecule having the local point group *symmetry Ih.*

3.1.18 Parameters of the model molecule

The parameters of the model molecule are atomic parameters of atoms forming the molecule. Therefore they are constructed by the same rules as the atomic parameters (see Atomic header parameters, page [116,](#page-115-0) and consequent paragraphs).

The parameters w_0 , w_p and w_t (i.e. the number of occupation, position and temperature waves) should be understood together with corresponding basic molecular parameters of molecular positions. The number of modulation waves equal to zero means that the relevant modulation is refined as molecular parameters. See page [148](#page-147-0) for more information.

The parameter Ttype in case of a model molecule can also be equal to 0 meaning that the temperature parameter is refined as molecular TLS parameters (see page [145\)](#page-144-0).

3.1.19 Basic molecular parameters

The basic molecular parameters are present for each molecular position. The basic parameter Irot is not present in this part of m40 and is explained in page [107.](#page-106-0)

Meaning of parameters

Pname	Name of the molecular position (optional).
Sig	Sign of the rotation. The values -1 and 1 define the
	improper and proper rotation, respectively.
aimol	Occupation of this molecular position.
$sm_0sm_psm_t$ wm _o wm _p wm _t	Key for type of molecular modulation function and number of modulation waves. The meaning of parameters is the same like for the atomic header parameters, page 116. The atoms from the model molecule whose modulation parameters are refined in the molecular part must have the corresponding parameters W_0 , W_p or $W_t \le 0$ (see page 116).
phi chi psi	The rotation angles.
x-trans y-trans z-trans	The translation vector.
kkkkkkk	Refinement keys for aimol, phi, chi, psi, x-rans, y-trans, z- trans
rmx rmy rmz	Direction of rotation axis in the model molecule. $(0,0,0)$ means the default direction. Can be entered like a vector coordinates rmx, rmy, rmz or by names of two atoms from

¹ This cell is only present for modulated structures.

Theoretical

The actual position of the model molecule is calculated according to the formula

$$
\mathbf{r} = \mathbf{R} \cdot (\mathbf{r}_m - \rho) + \rho + \mathbf{t},\tag{E42}
$$

where **r** and \mathbf{r}_m are respectively the actual and model position, ρ is the reference point and **t** is the translation vector.

The matrix **R** comprises the following operations:

- Transformation of the model molecule to the Cartesian system.
- Rotation of the model molecule by angles φ , χ and ψ along the Cartesian axes.
- Transformation back to the fractional coordinate system.

The transformation to Cartesian system is defined as

$$
\mathbf{r}' = \begin{pmatrix} a & b\cos\gamma & c\cos\beta \\ 0 & b\sin\gamma & c\sin\beta\cos\alpha^* \\ 0 & 0 & c\sin\beta\sin\alpha^* \end{pmatrix} \mathbf{r}.
$$
 (E 43)

The type of rotations is determined by the parameter Irot in the header of m40 (see page [107\)](#page-106-0). The *Eulerian rotations* (Irot=0) are defined as

$$
\mathbf{r''} = \pm \begin{pmatrix} \cos\varphi & -\sin\varphi & 0 \\ \sin\varphi & \cos\varphi & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\chi & -\sin\chi \\ 0 & \sin\chi & \cos\chi \end{pmatrix} \begin{pmatrix} \cos\psi & -\sin\psi & 0 \\ \sin\psi & \cos\psi & 0 \\ 0 & 0 & 1 \end{pmatrix} \mathbf{r'}.
$$
 (E 44)

The *axial rotations* (lrot=1) are defined as

$$
\mathbf{r''} = \pm \begin{pmatrix} \cos\varphi & -\sin\varphi & 0 \\ \sin\varphi & \cos\varphi & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos\chi & 0 & \sin\chi \\ 0 & 1 & 0 \\ -\sin\chi & 0 & \cos\chi \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\psi & -\sin\psi \\ 0 & \sin\psi & \cos\psi \end{pmatrix} \mathbf{r'}.
$$
 (E 45)

 The upper and lower sign are used respectively for the proper rotation and for the improper rotation (see the parameter Sig above).

Rotation along predefined axis

Sometimes we are interested in rotation of the molecule along a vector in some important direction. This may be for instance rotation of a terminal $CH₃$ group, where the rotation axis goes through the carbon of the methyl group and the neighbouring non-hydrogen atom. In these cases the rotation of the model molecule can be limited to the rotation along the user-defined rotation axis.

For this purpose the user should define the rotation axis \mathbf{r}_m of the model molecule (the parameters rmx, rmy, rmz in page 142) and the rotation axes \mathbf{r}_p for each molecular position (rpx, rpy, rpz in page [142\)](#page-141-0).

The matrix **R** then comprises the following operations:

- Transformation of the model molecule to the Cartesian system.
- Rotation bringing the Cartesian z axis and the vector \mathbf{r}_m into coincidence. The rotation is made along the direction perpendicular to the plane defined by the original z axis and the vector \mathbf{r}_m The relevant rotation matrix is:

$$
\mathbf{F} = \begin{pmatrix} 1 - \frac{\cos^2 \lambda}{1 + \cos \nu} & -\frac{\cos \lambda \cdot \cos \mu}{1 + \cos \nu} & -\cos \lambda \\ -\frac{\cos \lambda \cdot \cos \mu}{1 + \cos \nu} & 1 - \frac{\cos^2 \mu}{1 + \cos \nu} & -\cos \mu \\ \cos \lambda & \cos \mu & \cos \nu \end{pmatrix},
$$
 (E 46)

where cosα, cosµ and cosν are the direction cosines of the vector with respect to the Cartesian axis.

- Rotations of the model molecule by angle φ , χ and ψ along the new Cartesian axes .
- Rotation making coincident the Cartesian z axis and the vector **r**p.
- Transformation back to the fractional coordinate system.
3.1.20 TLS tensors

The TLS tensors are used for refinement of temperature parameters for a molecule assuming that all atoms in the group have amplitudes appropriate to a rigid body and that all atoms move in phase. In *JANA98* one molecule can contain atoms with individual temperature parameters ($\text{Type} > 0$) and atoms being part of the TLS group (Ttype $= 0$). The parameter Ttype is part of the atomic header (see page [116\)](#page-115-0).

Meaning of parameters

Theoretical

The theory behind the TLS parameterisation has been presented by Schomaker and Trueblood 1968. Any displacement of a rigid body can be described as a rotation about an axis passing through a fixed point, together with a translation of that fixed point. The corresponding displacement of a point at **r** relative to the fixed point is given by

$$
\mathbf{u} = \mathbf{t} + \mathbf{D} \cdot \mathbf{r}, \tag{E47}
$$

where **t** is a column vector for the translation and **D** is the rotation matrix. For small displacements, the last term in E 47can be linearised with respect to the amplitude of the rotation to give

$$
\mathbf{u} \equiv \mathbf{t} + \lambda \times \mathbf{r}, \tag{E48}
$$

where lambda is a vector along the rotation axis with a magnitude equal to the angle of rotation, and x denotes a cross product. We can write

$$
\mathbf{u}\mathbf{u}^{\mathrm{T}} = \mathbf{t}\mathbf{t}^{\mathrm{T}} + \mathbf{t}\boldsymbol{\lambda}^{\mathrm{T}} \times \mathbf{r}^{\mathrm{T}} - \mathbf{r} \times \boldsymbol{\lambda}\mathbf{t}^{\mathrm{T}} - \mathbf{r} \times \boldsymbol{\lambda}\boldsymbol{\lambda}^{\mathrm{T}} \times \mathbf{r}^{\mathrm{T}}.
$$
 (E 49)

A time and spatial average over all displacements yields

$$
\mathbf{U} \equiv \langle \mathbf{u} \mathbf{u}^{\mathrm{T}} \rangle = \mathbf{T} + \mathbf{S}^{\mathrm{T}} \times \mathbf{r}^{\mathrm{T}} - \mathbf{r} \times \mathbf{S} - \mathbf{r} \times \mathbf{L} - \mathbf{r} \times \mathbf{L} \times \mathbf{r}^{\mathrm{T}},
$$
 (E 50)

where $\mathbf{T} = \langle \mathbf{t} \mathbf{t}^T \rangle$, $\mathbf{L} = \langle \lambda \lambda^T \rangle$ and $\mathbf{S} = \langle \lambda \mathbf{t}^T \rangle$.

In this context, the cross product is used as follows: $L \times r^T$ yields a matrix whose *i*th row is the cross product of the *i*th row of **L** and **r**.

Equation [E 50](#page-144-0) gives the mean square displacement of a point **r** in a rigid body in terms of three tensors **T**, **L** and **S**. Considering in particular the set of points $\{r\}$ corresponding to the rest positions of atoms in a single rigid body, **U** is the mean square displacement of each such atom, and can be identified as the anisotropic displacement parameter that occurs in the Debye-Waller factor in the expression for the structure factor. **T** and **L** are symmetric tensors, while **S** is in general asymmetric. Expanding equation [E 50](#page-144-0) out fully shows that the trace of **S** is not fixed by **U**. Hence, there are a total of 20 refinable parameters (6 from **T**, 6 from **L** and 8 from **S**).

Setting or deleting TLS tensors

The TLS tensors can be set in *EDITM40* - see page [165.](#page-164-0)

Figure 80 Example of m40 with TLS Tensors

3.1.21 Molecular position modulation

The number of molecular position modulation waves is defined by w_m (see the basic molecular parameters, page [142\)](#page-141-0). The position modulation of each atom is defined by the molecular position modulation parameters. The atoms having $w_p>0$ have an additional "internal" modulation which generally allows to break down the rigidity of the molecule during modulation¹.

Meaning of parameters

Theoretical

Let us consider a displacively modulated crystal in which the *ν*th atom in the unit cell defined by n is located at:

$$
\mathbf{r}_{\mathbf{n}\nu} = \mathbf{r}_{\nu}^0 + \mathbf{n} + \mathbf{u}_{\nu} \{ \mathbf{q}_1 \cdot (\mathbf{g}_{\nu} + \mathbf{n}) \dots, \mathbf{q}_d \cdot (\mathbf{g}_{\nu} + \mathbf{n}) \},
$$
 (E 51)

where \mathbf{r}_v^0 is the average position of atom *ν*, **n** represents the lattice translations, \mathbf{u}_v is the *d*-dimensional periodic vector field $\mathbf{u}_{\nu}(x_1, ..., x_d) = \mathbf{u}_{\nu}(x_1 + n_1, ..., x_d + n_d)$, $n_1, ..., n_d$ being integers, \mathbf{q}_1 ,...., \mathbf{q}_d are incommensurate modulation vectors, which are rationally independent in the basis $\mathbf{a}_1^*, \mathbf{a}_2^*, \mathbf{a}_3^*$ * 2 $\mathbf{a}_1^*, \mathbf{a}_2^*, \mathbf{a}_3^*$; \mathbf{g}_v is the phase reference point.

 In the molecular displacement model all atoms in the molecule share the same phase reference point **g**. The model assumes a molecule to be displaced as a rigid unit, i.e. the displacements are limited to translation and libration displacement coordinates. For all atoms in the rigid body

$$
\mathbf{u}_{v} = \mathbf{u}_{m}^{t} \{ \mathbf{q}_{1} \cdot (\mathbf{g} + \mathbf{n}) \dots, \mathbf{q}_{d} \cdot (\mathbf{g} + \mathbf{n}) \} + \mathbf{u}_{m}^{r} \{ \mathbf{q}_{1} \cdot (\mathbf{g} + \mathbf{n}) \dots, \mathbf{q}_{d} \cdot (\mathbf{g} + \mathbf{n}) \} \times (\mathbf{r}_{v}^{0} - \mathbf{g}) , \quad (E 52)
$$

where \mathbf{u}^{\dagger} and \mathbf{u}^{\dagger} are respectively the displacement vector field and the rotational displacive vector field, m is number of a molecular position. Assuming that both

¹ But most of the molecule must be refined with wp ≤ 0 .

vector fields are harmonic functions they can be expanded into a truncated Fourier series

$$
\mathbf{u}_{v}^{t} = \sum_{i=1}^{l} \mathbf{U}_{m}^{t,s}(i) \sin[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{v})] + \sum_{i=1}^{l} \mathbf{U}_{m}^{t,c}(i) \cos[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{v})]
$$
(E 53)

and

$$
\mathbf{u}_{v}^{r} = \sum_{i=1}^{l} \mathbf{U}_{m}^{r,s}(i) \sin[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{v})] + \sum_{i=1}^{l} \mathbf{U}_{m}^{r,c}(i) \cos[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{v})],
$$
 (E 54)

where $\overline{\mathbf{q}}_i$ is defined according to equation [E 21,](#page-122-0) page [123.](#page-122-0)

Setting and deleting of molecular position modulation

This is described in *EDITM40*, page [168](#page-167-0) and [191.](#page-190-0)

3.1.22 Molecular occupation modulation

The molecular occupation modulation is defined for positions with $wm_0 > 0$ (see page [142\)](#page-141-0). It is calculated in analogy with occupation modulation for free atoms - see page [127.](#page-126-0) Harmonic occupation waves can be set in *EDITM40*, see page [168](#page-167-0) and [191.](#page-190-0) Setting of a crenel occupation function is described in page [286.](#page-285-0)

3.1.23 Molecular temperature modulation

The TLS parameters for rigid body description of temperature parameters are explained in page [145.](#page-144-0) For (3+1) modulated structures the non-harmonic ADP parameters can be modulated in similar way as occupancy, position or temperature parameters. The number of TLS modulation waves is given by the parameter wmt (see page [142\)](#page-141-0).

Any component of a TLS tensor is then a function of the internal coordinate:

$$
p_{\mathbf{n}\nu} = p_{\nu}^0 + p_{\nu} \{ \mathbf{q}(\mathbf{g}_{\nu} + \mathbf{n}) \},\tag{E 55}
$$

where p_v^0 is the average value of the relevant component of the TLS tensor for atom *ν*, **n** represents the lattice translations, p_v is the periodic function $p_v(x) = p_v(x + n)$, *n* being integer, **q** is the incommensurate modulation vector, \mathbf{g}_{v} determines the phase reference point of the displaced entity.

The function p_v is the general modulation function of the atomic position. It can be expanded into a Fourier series:

.

$$
p_{\nu} = \sum_{i=1}^{l} P_{\nu}^{s}(i) \sin[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{\nu})] + \sum_{i=1}^{l} P_{\nu}^{c}(i) \cos[2\pi \overline{\mathbf{q}}_{i} \cdot (\mathbf{n} + \mathbf{g}_{\nu})]
$$
(E 56)

Meaning of parameters

Setting and deleting of molecular temperature modulation

Molecular temperature modulation waves can be set in *EDITM40*, see page [168](#page-167-0) and [191.](#page-190-0)

3.1.24 Phason for molecular positions

The phason for molecular positions is defined analogically to the one for free atoms (see page [139\)](#page-138-0).

3.2 Program *EDITM40*

Input: m50,[m40],[m48],[m45] **Output:** m40 **M50 control keywords:** none

Figure 81 The Basic Window of EDITM40 ¹

3.2.1 Description of *EDITM40*

 \overline{a}

EDITM40 is an interactive program for changing the *basic refinement parameter file* m40. It is rather set of tools than true editor and it is focused for making changes in m40 which cannot be simply done "by hand".

Before reading this chapter the user should have a preliminary knowledge about structure parameters and the structure parameters file $m40$ described in § [3.1](#page-92-0) page [93.](#page-92-0)

The usage of *EDITM40* is closely connected with *REFINE* (see page [219\)](#page-218-0).

¹ The list of tools in the basic window of *EDITM40* changes according to the type of the structure. For instance the item "Setting or deleting modulation waves" is missing for standard structures. The item "Undo/Do command" is only present if some changes have been already done.

Rules for making temporary and permanent changes

All changes made in *EDITM40* are temporary until the program is closed by Quit button and the changes are confirmed by the user. This rule has no exceptions, for instance holds true also for the tool Editing of the file m40.

After quitting *EDITM40* and confirming the changes there is no way to get back the original m40 except from the backup copy made by *REFINE* (see page [227\)](#page-226-0).

Selecting atoms

Many *EDITM40* procedures uses the Select Atoms form to select a group of atoms for some action. In the upper part of the form there are listed atoms from m40. They can be selected by clicking the left mouse button or from the keyboard by typing the atom name (or a group including wildcards) in the textbox in the lower part of the form. The selected atoms have white background. In the case of a long list spread over more than one page the selection made from the keyboard influences the whole list. If the structure contains molecules, the Select atoms form is opened separately for the atomic and molecular part.

Figure 82 The Select Atoms Form

The examples given later in this chapter are mostly based on the molecular structure **testm** coming with the package as a testing example.

Figure 83 Testm.m40

	7	1	1	1			
	4	$\mathbf{1}$					
							100000
	0.000000						
							000000
							100000
Fe		$\mathbf{1}$	\overline{a}		0.250000 0.250000 0.250000 0.250000		000 0 1 1
				0.001540 0.002149 0.012272 0.000000 0.000000-0.001499			0000111001
							100000
							000000
				0.000000 0.000000 0.000000 0.000185-0.000253 0.000000			000110
	0.000000						0
01		3	$\mathbf{2}$		0.125000 0.250000 0.307577 0.000000		000 1 1 0
				0.002504 0.002569 0.005933 0.000000 0.000000 0.000000			0010111000
				0.000000 0.000000 0.000000 0.006269 0.000000 0.000000			000100
				0.000000 0.000000 0.000000 0.000000-0.000062 0.000000			000010
				0.000000 0.000000 0.000000 0.000035 0.000000 0.000000			000100
	0.000000						0
O1f		5	2		0.125000 0.250000 0.307577 0.000000		000 1 0 1
				0.002504 0.002569 0.005933 0.000000 0.000000 0.000000			0000000000
				0.000000 0.000000 0.000000 0.006269 0.000000 0.000000			000000
				0.000000 0.000000 0.000000 0.000000-0.000062 0.000000			000000
				0.000000 0.000000 0.000000 0.000035 0.000000 0.000000			000000
	0.000000						0
Na1		4	$\mathbf{2}$		0.250000 0.250000 0.000000 0.250000		000 0 1 1
				0.003767 0.007208 0.017782 0.000000 0.000000 0.005009			0000111001
				0.000000 0.000000 0.000000 0.009265 0.000000 0.000000			000100
				0.000000 0.000000 0.000000 0.000308 0.000096 0.000000			000110
							000000
	0.000000						0
Na2		4	$\overline{2}$		0.250000 0.000000 0.250000 0.250000		000 0 1 1
				0.006046 0.004531 0.015942 0.000000 0.000000 0.000000			0000111000
				-0.003914 0.000000 0.000000 0.000000-0.011934 0.000000			100010
							000001
				0.000000 0.000000 0.000000 0.000000 0.002393 0.000000			000010
	0.000000						0
Na ₃		4	\overline{a}		0.500000 0.118296 0.126419 0.500000		000 1 1 1
				0.002508 0.004740 0.022151-0.000079 0.000000 0.000000			0110111100
	0.867840						1
	0.000000-0.022042						01
				0.000000 0.000000-0.001981 0.000365-0.009662 0.000000			001110
				0.000000 0.000000 0.000000 0.000000 0.000606 0.000496			000011
				-0.000015 0.000743 0.005176 0.000289 0.000000 0.000000			111100
	0.000000						0
Na4		4	$\overline{\mathbf{c}}$		0.250000 0.000000 0.000000 0.250000		000 1 1 1
				0.004886 0.004643 0.017275 0.000000 0.000000 0.000000			0000111000
	0.669567						$\mathbf{1}$
	0.000000-0.147027						01
				0.000000 0.000000 0.003001 0.000000 0.000000 0.000000			001000
				0.000000 0.000000 0.000000-0.000033 0.000000 0.000000			000100
				0.002327-0.001012 0.001998 0.000000 0.000000 0.000000			111000
	0.000000						0
Po4		0				0.119955 0.121983 0.000000	
P		\overline{a}	2		0.500000 0.119801 0.121386 0.000000		$000 \t 0 -1 \t 1$
				0.001488 0.001991 0.007169-0.000368 0.000000 0.000000			0000111100
				0.000000 0.000000 0.000000 0.000000 0.000171-0.000181			000011
				-0.000028 0.000192 0.000268 0.000051 0.000000 0.000000			111100
	0.000000						0

[Figure 83](#page-155-0) (Continued)

3.2.2 Service tools

Do/Undo command

EDITM40 saves every change of m40 in a temporary backup file. The Do/Undo interface enables to step back and forward in the list of the backup copies. The selected copy becomes the current temporary¹ m40 file. The backup files are deleted *after quitting EDITM40.*

Figure 84 The Undo/Do Form

Editing of the file m40

The first item of the *EDITM40* main menu is Editing of m40. However, the user does not edit original m40 but only a copy containing the current stage of temporary m40. The changes made by the user in the editing mode become permanent after quitting *EDITM40* and confirmation by the user.

Conversion of m40 and m50 to various formats

This tool converts a structure to formats of various crystallographic plotting programs. The result of the conversion of the modulated structure is a 3 dimensional structure expanded to a desired volume. The symmetry is reduced to *P1*.

Figure 85 Conversion of m40 and m50 to various formats

¹ The change is permanent after quitting *EDITM40* and confirmation by the user.

3.2.3 Procedures for deleting or adding atoms

Replacing/inserting atoms

The Replacing/inserting tool can be used for inserting new atoms from the keyboard or for inserting peaks previously calculated by *FOURIER*. The initial form varies dependent whether the list of peaks exists (Figure 86b) or does not exist (Figure 86a). This tool is usually used for adding small number of new atoms or for adding the strongest maxima from Fourier maps. For importing SHELX files there is a more efficient way through Tools->Transfer files from SHELX (see page [43\)](#page-42-0).

Figure 86 Replacing/inserting atoms: the initial form

shows the typical dialogue during adding of new maxima from their list saved by *FOURIER* in m48. The user first selects the maxima to be added to m40. Then *EDITM40* displays for selected maximum list of the equivalent positions and their distances to atoms already present in m40 so that the user can select a position within an existing molecule or molecular fragment in m40. The limit for calculation of these distances is set in the form shown in Figure 86. Finally the information about atom name, initial isotropic temperature parameter, occupancy and chemical type is required. The reduction of occupancy is used if the position is less occupied than it follows from the site symmetry.

Figure 87 Adding maxima from m48.

Deleting of atoms

The atoms to be deleted can be selected through the Select atoms form (see page [155\)](#page-154-0). In case when molecules are present the form opens separately for the atomic part and for the model molecule. If the model molecule is completely deleted the program automatically removes also the molecular header and all parameters related to molecular positions.

Adding of hydrogen atoms

Figure 88 shows a typical dialogue during adding of new hydrogen atoms. *EDITM40* calculates new hydrogen positions to be in the vertices of a tetrahedron, triangle or abscissa with the center at the selected atom. In the first form the user specifies the distance between the central atom and new hydrogens ("hydrogen distance") and the distance limit for searching of the vertices which are already occupied by existing atoms ("neighbour distance"). Then the Select atoms form is opened in order to select the central atoms around which the hydrogens are to be calculated. For each central atom the last form is opened to specify number of hydrogens defining the shape of the body (tetrahedron, triangle or abscissa). The new hydrogen atoms are added by the program to m40 with default names.

Merging of symmetry related atoms

The program merges atoms within a given distance limit.

3.2.4 **Transformation** *procedures*

Transformation and/or origin shift

Figure 89 shows the tool for transformation of a selected part of m40. In the first form the user selects the way of entering the transformation matrix. The next form already contains the matrix. Then a menu follows showing available parts of m40 (in the given example m40 contains a molecule named "PO4"). Finally the Select atoms form is used for the definition which atoms from the chosen part of m40 will be transformed.

Figure 89 Transformation and origin shift

Expansion by symmetry operation(s)

This tool generates a set of new atoms from atoms already existing in m40 using one or more symmetry matrices. The interface is similar to the one for the transformation (see page [162\)](#page-161-0) with the exception that more than one transformation matrices can be used. The new atoms are added to m40 with automatically generated names.

Transforming m40 to supercell

This tool transforms a commensurate structure a superstructure. The new 3 dimensional structure has a new name given by the user so that the parent commensurate structure is not changed. The procedure does not require any additional information as the superstructure is sufficiently defined by the q-vector and the commensurate option parameters (see page [76\)](#page-75-0). The transformation is a complete one, i.e. the new structure contains all necessary files (m40, m50 and m91) for the refinement.

3.2.5 Procedures handling temperature parameters and ADP

JANA98 works with the following types of displacement parameters:

- Isotropic or anisotropic temperature parameters of an individual atom
- TLS tensors for refining of molecular temperature parameters
- Anharmonic displacement parameters (ADP)

All types are available for both standard and modulated structures. However, ADP parameters cannot be modulated for 3+2 and 3+3 dimensional structures.

Temperature parameters

This tool converts between isotropic and anisotropic temperature parameters. In case of molecules it can also convert individual temperature parameters of a model molecule (i.e. isotropic and anisotropic temperature parameters) to molecular temperature parameters (i.e. TLS tensors). See page [140](#page-139-0) for more information about molecules, page [120](#page-119-0) and [131](#page-130-0) for more information about individual temperature parameters, page [145](#page-144-0) and [150](#page-149-0) for more information about TLS tensors.

[Figure 91](#page-164-0) shows usage of this tool for conversion between individual and molecular temperature parameters.

Beta<->U

This tool converts between beta and U form of individual temperature parameters. This change can only be done for all atoms in m40. See page [120](#page-119-0) for more information.

Figure 91 Conversion of individual temperature parameters to TLS

Setting or deleting anharmonic tensors

The anharmonic displacement parameters (see page [121](#page-120-0) and [137.](#page-136-0)) are available up to the 6th order and can be set or deleted through a simple interface shown in [Figure 92.](#page-165-0) The second order corresponds to an individual anisotropic temperature factor. The 5th and $6th$ order tensors should be used only for a very precise data.

Figure 92 Setting anharmonic tensors

3.2.6 Setting modulation waves and refinement keys

Setting of refinement keys

The refinement keys are explained in pages [93](#page-92-0) and [223.](#page-222-0) This tool can be used for setting keys which cannot be set automatically (see [Table 13,](#page-225-0) page [226\)](#page-225-0) or if the automatic setting of refinement keys is off 1 . In case when automatic setting is on the keys set here will be overwritten by *REFINE* expect those which are not handled automatically.

The refinement keys can only be set for groups of atoms having the same sets of parameters. This is for instance group of O1, O1f and Na1 in [Figure 83](#page-155-0) but not atoms O1 and O2 or atoms Na2 and Na3.

¹ We recommend work without automatic refinement keys only in well-founded cases.

 Setting or deleting modulation waves

This tool initialises or deletes modulation parameters for atoms in atomic part of m40, for atoms from model molecules and for molecular positions. The modulation parameters are added with default initial values (small positive numbers) and with refinement keys set to "1". In the automatic mode (see page [223\)](#page-222-0) the refinement keys are changed in *REFINE* by application of the symmetry restriction rules. An example is given in Figure 95.

Define modulation waves

This tool is used for definition of wave vectors (see page [105](#page-104-0) for more information). In the case of four-dimensional structures the default setting is usually the optimal one. The example shown in **Figure 94** is for a 5-dimensional structure with q-vectors **q1** and **q2**. The first two waves cannot be changed and are equal to the first and the second vector, respectively. The $3rd$ and $4th$ waves are respectively defined by the user as $\mathbf{q}_1 + \mathbf{q}_2$ and $\mathbf{q}_1 - \mathbf{q}_2$. The remaining waves are left with the default parameters.

Figure 94 definition of wave vectors for a 5-dimensional case

Figure 95 Example of setting modulation waves

Setting orthogonalization parameters

The orthogonalization is needed in cases when modulation of position, temperature or ADP parameters is combined with a crenel or sawtooth function not defined on a full interval of x_4 . The underlying theory is given in the chapter "

[Special and harmonic functions applied together"](#page-132-0) in page [133.](#page-132-0) The details about **ortho** command are given in page [106.](#page-105-0) The orthogonalization can be made for individual atoms as well as for molecules.

The ortho command consists of two lines. The first line tells the program that modulation functions for selected atom or molecular position will be orthogonalized. The second (optional) line tells which functions will be included in the orthogonalization. The missing second line means all functions are included. If we set epsilon equal to 1 and press "Calculate" all checkboxes in the form will be checked, i.e. all functions will be included.

3.2.7 Procedures handling molecules

The concept of molecules in *JANA98* is described in page [140.](#page-139-0) The chapters starting in page [141](#page-140-0) explain molecular parameters and underlying theory. Here we shall proceed by description of the basic tools in *EDITM40* for work with molecules.

Creation of new molecular part

The example given in [Figure 97,](#page-171-0) [Figure 98](#page-172-0) and [Figure 99](#page-175-0) shows creation of a molecule from the atomic part of m40. Another way is creation of a new molecule from a model molecule in m45 - see page [177.](#page-176-0)

Figure 97 Atomic m40

Figure 98 creation of molecules from the atomic part of m40

(The input m40 file is shown in [Figure 97](#page-171-0))

The result of the process shown in [Figure 98](#page-172-0) is $m40$ with one molecule BeF₄ repeated in 12 positions. The reverse process can be done with the tool "Move atoms from molecule to atomic part".

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 Using the model molecule file m45

Another possibility is to create a molecule from atoms given in m45. This file contains a user-defined model molecule in arbitrary crystal coordinate system. *EDITM40* reads the molecule, verifies the chemical types of atoms and offers creating of molecular positions (see **[Figure 101](#page-177-0)**).

Figure 100 An Example of the m45 File. It is used in [Figure 101](#page-177-0).

Cell parameters in a free format. They can be different from the cell parameters in m50.

Figure 101 Inserting a molecule from m45 *(see [Figure 100\)](#page-176-0)*

Figure 102 M40 with a molecule added from m45 (see [Figure 101\)](#page-177-0)

0	0 1	1			
29	1				
					000000
0.000000					
					000000
					000000
Alk	1		0.000000 0.000000 0.000000		
Ac1	2 1		0.083333 0.052666 0.000000 0.000000		
					0000000000
Ah11	3 1		0.166667 0.180147 0.114604 0.000000		
					0000000000
Ac2	2 1		0.166667-0.052666 0.000000 0.111322		
					0000000000
Ah21	$\mathbf 1$ 3		0.166667-0.065544 0.114604 0.111322		
					0000000000
Ah22	3 1		0.166667-0.180147-0.114604 0.111322		
					0000000000
Ac3	2 1		0.166667 0.052665 0.000000 0.222644		
					0000000000
Ah31	1 3		0.166667 0.180147 0.114603 0.222644		
					0000000000
Ah32	1 3		0.166667 0.065544-0.114604 0.222644		
					0000000000
Ac4	2 1		0.166667-0.052666 0.000000 0.333966		
					0000000000
Ah41	1 3		0.166667-0.065544 0.114603 0.333966		
					0000000000
Ah42	3 1		0.166667-0.180147-0.114604 0.333966		
$0.$ Ac5	\overline{a} 1			0.166667 0.052665 0.000000 0.445289	
					0000000000
Ac7	2 1		0.166667 0.052665 0.000000 0.667933		
					0000000000
Ah71	3 1		0.166667 0.180147 0.114603 0.667933		
					0000000000
Ah72	1 3		0.166667 0.065544-0.114604 0.667933		
					0000000000
Ac8	1 2		0.166667-0.052666 0.000000 0.779255		
					0000000000
Ah81	3 1		0.166667-0.065544 0.114603 0.779255		
					0000000000
Ah82	1 3		0.166667-0.180147-0.114604 0.779255		
					0000000000
Ac9	$\overline{2}$ 1		0.166667 0.052665 0.000000 0.890577		
					0000000000
Ah91	1 3		0.166667 0.180147 0.114603 0.890577		
					0000000000
Ah92	3 1		0.166667 0.065544-0.114604 0.890577		
					0000000000
Ac10	$\mathbf{2}$ $\mathbf{1}$	0.166667-0.052666 0.000000 1.001899			
					0000000000
Ah101	3 1		0.166667-0.065544 0.114603 1.001899		
					0000000000
Ah102	3 1		0.166667-0.180147-0.114604 1.001899		
					0000000000
post1	1	1.000000			000 0 0 0
125.258	0.000		90.000 0.720968 0.363217-0.464018		0000000

Placing the model molecule

The molecular position is defined by the relationship between three atoms A1,A2 and A3 of the model molecule and corresponding three points P1,P2 and P3 in actual positions. If the triangles defined by the model atoms and the three points are the same (i.e. with the same distances and angles) the three model atoms are simply transformed to the new positions. If the triangles are different the program places the three model atoms by the following rules:

- A1 coincides with P1

- The vector A1A2 has the same direction as P1P2

- The plane A1A2A3 coincides with P1P2P3

See examples in Figure 103.

Figure 103 Placing a model molecule according to [Figure 101](#page-177-0)

(a) The actual positions of three atoms Ac1, Ac2 and Ac3 of the model molecule are defined by three points P1=(0,0,0), P2=(1/2,0,0) and P3=(0,1/2,0). The plane of the alkane string in the actual position is parallel with the ab plane.

(b) The actual positions of three atoms Ac1, Ac2 and Ac3 of the model molecule are defined by three points P1=(0,0,0), P2=(½,0,0) and P3=(½,0,½). The plane of the alkane string in the actual position is parallel with the ac plane.

(c) [Figure 103b](#page-179-0) viewed along the b axis

Setting the rotation axis

The basic molecular parameters (see page [142\)](#page-141-0) contain also the definition of the rotation axis in the model molecule and in the molecular position. Usually the parameters are set to zeroes meaning that they are not used. If the vectors are defined, the program refines rotations around them. The details about this procedure are in page [144.](#page-143-0)

The rotation axis can be defined through the tool "Transformation of molecular parameters". The following example illustrates the usage of a predefined rotation axis for refinement of terminal $CH₃$ groups.

The *model molecule* (see [Figure 105](#page-181-0) page [182\)](#page-181-0) consists of three hydrogen atoms and two carbon atoms. The occupation of carbons is set to zero so that they don't contribute to structure factors. The rotation axis of the model molecule is defined by vector C1m-C2m. The z axis in the actual position is defined respectively by the center to which the hydrogen atoms are bound and the atom to which the methyl group is attached.

The rotational z axis can be set with help of the "Molecular transformation" form. The vector defining the direction of the axis can be entered by coordinates or by pair of atom names from the atomic part of the m40 file. In the latter case the vector coordinates are calculated as the difference of the second and the first atom position. In [Figure 104](#page-181-0) - [Figure 111](#page-188-0) the whole process is illustrated for a molecule with two CH3 groups (the molecule is shown in [Figure 107,](#page-184-0) page [185\)](#page-184-0).

Figure 104 M40 before introducing CH3 molecules

Atoms C11,O11,....,H113 form the molecule shown in [Figure 107.](#page-184-0)The methyl hydrogens bounded to C14 and C15 are not yet present (they will be added with the CH3 molecule from m45)

Figure 105 M45 with a CH3 molecule

Note that C1m and C2m have zero occupation

Figure 106 Adding CH3 molecule from m45 and defining its molecular positions This procedure is commented in [Figure 101.](#page-177-0) The m45 with CH3 molecules is shown in [Figure 105.](#page-181-0)

As the occupation of carbons in the model molecule is zero their superposition with the ones in the atomic structure is possible. The resulting structure is shown in [Figure](#page-184-0) [107.](#page-184-0) [Figure 108](#page-185-0) shows the corresponding m40.

The new m40 still contains molecular positions with default rotation axes. The next step, i.e. setting the rotation axes along vectors C13-C14 and C13-C15, is shown in [Figure 109.](#page-186-0) [Figure 110](#page-187-0) shows m40 file with new definition of rotation axis. In [Figure](#page-188-0) [111](#page-188-0) a part of the listing of *REFINE* is presented showing refinement of the rotation angle along these rotation axes.

C14

H1MA

H2MA

The resulting structure

H3MA

Figure 107 The mutual orientation of the atomic part and new molecular positions This figure illustrates the procedure shown in [Figure 106.](#page-182-0)

Figure 108 M40 after adding CH3 molecule

This file is the result of a procedure shown in [Figure 106,](#page-182-0) page [183](#page-182-0) applied to m40 from [Figure 104,](#page-181-0) page [182.](#page-181-0)

Figure 109 Definition of a rotation axis for a molecule

This procedure defines rotation axes for CH3 groups. The groups were previously set through a procedure shown in [Figure 106,](#page-182-0) page [183.](#page-182-0)

. . . . the same for Methyl#2.

Figure 110 M40 after redefinition of rotation axes for CH₃ groups

This file is the result of a procedure shown in [Figure 109](#page-186-0) page [187](#page-186-0) applied to m40 from

[Figure 108](#page-185-0) page [186.](#page-185-0)

Methy1#1	аi	phi	chi	psi	x-trans	v-trans	z-trans	ch/esd
0		1.000000 -156.3030	0.000000	0.000000	0.963531		$0.591615 - 0.010491* -6.01$	
		1.000000 -157.1601	0.000000	0.000000	0.959992		$0.589178 - 0.015414*$	0.14
$\mathbf{2}$		1.000000 -157.2108	0.000000	0.000000	0.959964		$0.589132 - 0.015307* -0.04$	
3		1.000000 -157.2137	0.000000	0.000000	0.959955	0.589119 -0.015340		
esd	0.000000	0.4850	0.000000	0.000000	0.000914	0.000461	0.000769	
Methy1#2	аi	phi	chi	psi	x-trans	y-trans	z-trans	ch/esd
0	1.000000	-1.841000	0.000000	0.000000	1.109120	$0.572727*0.242523$		5.17
	1.000000	-3.379539	0.000000	0.000000	1.111795	$0.575330*0.242049$		-0.31
$\overline{2}$		$1.000000 - 3.473298*$	0.000000	0.000000	1.111667	0.575181	0.242014	-0.07
3	1.000000	-3.505554	0.000000	0.000000	1.111650	0.575211	0.242032	

Figure 111 The refinement listing showing refinement of CH₃ groups *The angles chi and psi are not refined because of redefinition of rotation axes.*

Reference point, symmetry restrictions and refinement keys

The basic information about the reference point is given in page [141.](#page-140-0)

Symmetry restrictions of molecular parameters (i.e. setting of the refinement keys, see page [223](#page-222-0) for details) are calculated from the site symmetry of the reference point in the first molecular position. For example in [Figure 114,](#page-196-0) pag[e197,](#page-196-0) the molecular position modulation parameters are restricted because the reference point $(0, y, \frac{1}{4})$ (equal to the position of As in the model molecule) falls into a special position when transformed to the first molecular position. On the other hand the TLS parameters of the molecule shown in **[Figure 120](#page-212-0)**(c), page [214](#page-213-0) are not restricted because the reference point $(0,0,0)$ is transformed to the general position in the first molecular position.

Symmetry restrictions of atomic parameters of the model molecule are derived from the site symmetry of the points to which the model atoms are transformed for the first molecular position. Therefore, in [Figure 114,](#page-196-0) page[197,](#page-196-0) the atomic temperature modulation parameters are restricted for atom As but not for the remaining atoms of the model molecule. The exception is the case when a local symmetry is applied to the model molecule. Then the symmetry restrictions of atomic model parameters result also from the given point group symmetry.

 The basic assumption is that *all molecular positions have the same site symmetry*.

 In the refinement using the automatic mode for setting of refinement keys (see page [223\)](#page-222-0) the position of the first atom of the model molecule is always fixed in order to prevent shifts of the whole molecule during the refinement. The shifts are refined for each molecular position through the translation parameters x-trans, ytrans and z-trans (see page [142\)](#page-141-0).

 The rotation parameters phi, chi and psi of the first molecular position are also automatically fixed because refinement of coordinates of the model atoms can cause rotation of the model molecule which may conflict with the rotation of the first molecular position. This automatic fixation may cause difficulties in case when rotation of the molecule through the refinement of coordinates is impossible - see footnote in page [214.](#page-186-0)

Transferring of atoms of molecule to the atomic part

The tool "Move atoms from molecule to atomic part" can be used for moving part of atoms or all atoms of a model molecule. Each molecular position originates a new atom in the atomic part with a name combined from its name in the model molecule and "a", "b", "c", extension for the $1st$, $2nd$, $3rd$, position. If all toms of the model molecule are transformed to the atomic part of m40, the basic molecular parameters concerning molecular positions and also the molecular header are automatically removed from the file. A useful test of a successful transferring of atoms is that the R value resulting from the zero cycle of the refinement carried out after the transformation should be the same as the one before the transformation.

Transformation of molecular parameters

This tool has been already presented in [Figure 109](#page-186-0) in page [187](#page-186-0) where it is used for redefinition of the molecular rotation axis. Another option is "Change the axial angles to Eulerian". This changes the parameter Irot in the header of m40 (see page [107\)](#page-106-0) defining the type of molecular rotations. See page [143](#page-142-0) for underlying theory.

If in the Eulerian setting the angle $\chi \approx 0$, the remaining angles ϕ and ψ are strongly correlated and the refinement can crash on the singularity. This is the case when the Eulerian angles should be transformed to the axial ones. On the other hand the refinement of the axial rotations can fail for some angles, too.

 \overline{a}

3.2.8 Choosing between molecular and atomic model parameters

Each atom of a model molecule has refinable atomic parameters. They define the shape of the model molecule, its temperature and modulation parameters. These parameters are transformed to all molecular positions.

 A common question when introducing a molecule is whether some atomic parameters of the model molecule have rigid body behaviour, i.e. if they can be refined for all model atoms together and for each molecular position independently. The relationship between atomic and molecular parameters is shown in Table 12.

Table 12 Atomic and corresponding molecular parameters

Atomic parameters	Corresponding molecular parameters
position modulation (page 123)	molecular position modulation (page 148)
temperature modulation (page 131)	modulation of TLS tensors (page 150)

A structure having a molecule with only one position and with all parameters refined as atomic parameters is equivalent to a structure without molecule. A maximum rigidity exhibits a molecule with rigid body temperature and modulation parameters having more than one position. An intermediate case often occurs in practice.

In case of modulations *JANA98* combines atomic and molecular contributions to the modulation function. Therefore for pure atomic modulations the number of modulation waves in the atomic header has to be positive (see page [116,](#page-115-0) parameters w_i) and the corresponding number in the basic molecular parameters (see page [142,](#page-141-0) parameters wmi) has to be less or equal to zero. If the number of modulation waves in the atomic header is less or equal to zero and the corresponding number in the basic molecular parameters is positive the modulation is purely molecular (rigid body). With both numbers positive the contributions are combined.

 This feature can be used for instance for testing if modulations of some atom behave within the rigid body approximation given by some existing (sufficiently large) molecule. The modulations of all atoms of the molecule except the one in question are refined in the molecular part. The modulations of the atom therefore consist of the contribution from the molecule and from its own atomic contributions. If the latter are below the 3σ limit the modulations of this atom can be refined in the rigid body approximation.

The modulation waves for atoms of the model molecule and for molecular positions are added or deleted with the same tool (Setting or deleting modulation waves) like for atoms in the atomic part - see page [168.](#page-167-0)

 The transformation between atomic and molecular modulation parameters is not possible¹. The individual modulations are usually changed to molecular ones by deleting individual modulation parameters (by setting number of waves to 0), setting initial molecular waves and refinement. The same procedure is used for changing molecular modulations to atomic ones. Refinement of molecular and individual

¹ *EDITM40* can only transform atoms from a molecule to the atomic part of m40. This tool transforms also modulations and makes the transformations separately for each molecular position.

position parameters together is also possible but only for a small fragment of the molecule (see [Figure 117,](#page-204-0) page [205\)](#page-204-0).

The change of atomic temperature parameters to TLS tensors can be done with a tool called "Temperature parameters" (see page [164\)](#page-163-0). By this transformation the Ttype parameter (see page [116\)](#page-115-0) is set to zero, the individual temperature parameters are deleted and the TLS parameters are set to **initial** values. The transformation of TLS tensors back to individual temperature parameters transforms TLS to individual temperature parameters (i.e. the temperature parameters are not lost).

The following examples show the basic type of changes between atomic and molecular parameters:

- Change from individual to molecular position modulation [\(Figure 113](#page-193-0) page [194\)](#page-193-0)
- Change from molecular to individual position modulation
- Change from individual temperature parameters to TLS tensors
- Introducing TLS modulation
- Change from TLS to individual temperature modulation
- Refinement of individual and molecular modulation together

Figure 112 File m50 used for examples in [Figure 113](#page-193-0) - [Figure 117.](#page-204-0)

The keywords in m50 are explained in [§0,](#page-76-0) page [77.](#page-76-0)

```
title iris 
cell 4.818 16.001 6.374 90 99.36 90 
lambda 0.56087 
ndim 4 
ncomp 1 
qi 0.8607 0 0.5585 
qr 0 0 0 
spgroup C2/c(a0g) 0 
lattice C 
centro 
symmetry x1 x2 x3 x4 
symmetry -x1 x2 1/2-x3 -x4 
unitsnumb 4 
atom As 
atweight 74.922 dmax 3 formula 1 
f' 0.276 f" 1.331 
formtab 32 
   32.983 32.278 30.491 28.301 26.218 24.386 22.739 21.196 
   19.722 18.313 16.976 15.717 14.540 13.451 12.454 11.552 
 10.744 10.030 9.403 8.858 8.386 7.978 7.626 7.320 
 7.053 6.817 6.606 6.415 6.239 6.076 5.922 5.774 
atom K 
atweight 39.098 dmax 0 formula 1 
f' 0.14 f" 0.156 
formtab 32 
   18.999 18.206 16.732 15.244 13.726 12.269 10.980 9.909 
    9.057 8.398 7.888 7.480 7.134 6.823 6.528 6.241 
    5.956 5.674 5.395 5.120 4.851 4.589 4.336 4.093 
    3.861 3.640 3.431 3.235 3.052 2.882 2.724 2.579 
atom F 
atweight 18.998 dmax 0 formula 4 
f' 0.01 f" 0.006 
formtab 32 
    8.999 8.815 8.303 7.561 6.709 5.851 5.054 4.353 
    3.760 3.270 2.873 2.558 2.309 2.112 1.957 1.834 
 1.735 1.654 1.587 1.531 1.482 1.438 1.398 1.360 
 1.324 1.289 1.255 1.221 1.187 1.153 1.120 1.087 
atom O 
atweight 15.999 dmax 0 formula 2 
f' 0.006 f" 0.004 
formtab 32 
 7.999 7.798 7.246 6.472 5.623 4.808 4.089 3.489 
 3.006 2.628 2.337 2.115 1.946 1.816 1.715 1.634 
    1.568 1.512 1.463 1.419 1.377 1.337 1.298 1.260 
    1.221 1.183 1.145 1.108 1.070 1.033 0.997 0.961 
atom H 
atweight 1.008 dmax 0 formula 2 
f' 0 f" 0 
formtab 32 
    1.000 0.960 0.854 0.713 0.568 0.438 0.331 0.248 
   0.184   0.138   0.103   0.078   0.060   0.046   0.036   0.028<br>0.022   0.018   0.014   0.011   0.009   0.007   0.006   0.005<br>0.005   0.004   0.004   0.003   0.003   0.003   0.005<br>fref 4351
 0.022 0.018 0.014 0.011 0.009 0.007 0.006 0.005 
 0.005 0.004 0.004 0.003 0.003 0.003 0.003 0.003 
noofref 4351 
slimits 0.362376 0.453337 0.520919 0.575227 0.622421 0.665302 0.71257 0.91344 
flimits 41 65.8 112.2 177.7 294.3 515.7 1068.1 53554 
end
```
Figure 113 Change from individual to molecular position modulation.

(a) The input m40 with molecule "Ir". All atoms of the molecule have individual temperature parameters, position and temperature modulation. The molecule has only one position, which is almost identical with location of the model molecule.

```
 1 1 1 1 
    4 1 
  1.233500 0.000000 0.000000 0.000000 0.000000 0.000000 100000 
  0.000000 
  0.003581 0.000000 0.000000 0.000000 0.000000 0.000000 100000 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 000000 
        K 2 2 0.500000 0.500000 0.590911 0.750000 000 0 2 2 
  0.029016 0.002668 0.013575 0.000000 0.004220 0.000000 0010111010 
  0.008186 0.000000-0.013280 0.000000 0.017287 0.000000 101010 
-0.012223 0.000000-0.007032 0.000000-0.003230 0.000000 101010 
  0.000000 0.000000 0.000000-0.000954 0.000000 0.000345 000101 
-0.000173-0.000513 0.002312 0.000000 0.000230 0.000000 111010 
  0.000000 0.000000 0.000000-0.000181 0.000000 0.000177 000101 
  0.000704-0.000519 0.001394 0.000000 0.001072 0.000000 111010 
  0.000000 0 
Ir 1 As 
As 1 2 0.500000 0.000000 0.631034 0.250000 000 -1 2 2 
  0.014251 0.001482 0.009415 0.000000 0.002167 0.000000 0000111010 
-0.011066 0.000000-0.026381 0.000000 0.013900 0.000000 101010 
-0.001408 0.000000 0.003936 0.000000 0.001399 0.000000 101010 
  0.000000 0.000000 0.000000-0.000377 0.000000 0.000315 000101 
  0.001454 0.000006 0.000200 0.000000-0.000069 0.000000 111010 
  0.000000 0.000000 0.000000 0.000072 0.000000 0.000396 000101 
  0.000324-0.000073-0.000110 0.000000 0.000559 0.000000 111010 
 0.000000 0 
F1 3 2 1.000000-0.099442 0.627230 0.499285 000 -1 2 2 
  0.032724 0.003663 0.011853-0.000583 0.008286 0.000649 0111111111 
-0.008156 0.010790-0.024354-0.016899 0.014180-0.005781 111111 
-0.001976-0.000100 0.003576 0.009636 0.001480 0.004834 111111 
  0.003985-0.000299-0.000034-0.001854 0.001382-0.000983 111111 
  0.003638-0.000413 0.001057-0.000696 0.001299-0.000550 111111 
  0.003214-0.000586 0.000844-0.000326 0.002304-0.000025 111111 
-0.004434-0.000095-0.000701 0.001984-0.001166-0.000102 111111 
  0.000000 0 
F2 3 2 1.000000 0.237615 0.551939 0.331419
  0.033182 0.002964 0.022325 0.004083 0.001742 0.001384 0111111111 
  0.029160 0.013699 0.008396-0.004471 0.013626 0.008757 111111 
  0.004339 0.001515 0.006794 0.002461 0.000564-0.003003 111111 
-0.004358 0.000807 0.001764 0.000156-0.002772 0.001099 111111 
  0.004291 0.000048-0.003561 0.000222-0.001914-0.000122 111111 
  0.000010-0.000582-0.000682-0.001011 0.000806-0.000342 111111 
  0.000869 0.000063 0.000758 0.001514 0.000612-0.000221 111111 
  0.000000 0 
03 4 2 1.000000 0.259402 0.704245 0.334580
  0.029668 0.003528 0.025195-0.004808 0.012403-0.004559 0111111111 
-0.048999 0.016093-0.062066-0.004630 0.014305 0.006973 111111 
-0.007971 0.001982 0.000713 0.002482 0.003071-0.003983 111111 
  0.007049-0.000319-0.002709-0.001257 0.001678 0.001388 111111 
  0.002403 0.000256 0.002174-0.000508 0.000980-0.000301 111111 
  0.001099-0.001438-0.003881 0.002401-0.001474 0.003273 111111 
  0.004224 0.000760 0.008087-0.003033 0.009431-0.002921 111111 
  0.000000 0 
pos#1 1 1.000000 000 000 000 0 0 0
    0.000 0.000 0.000 0.000000 0.000000 0.000000 0000010 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 
                                                               This is the model 
                                                               molecule. This 
                                                               structure model is 
                                                               equivalent to a 
                                                               structure without 
                                                               molecule as the 
                                                               molecule has only 
                                                               one position and all 
                                                               model parameters 
                                                               are refined
```
[Figure 113\(](#page-193-0)b) The procedure to change individual position parameters to molecular ones.

[Figure 113](#page-193-0) (c) The resulting m40 with initial parameters set for the first molecular modulation wave. The file with two molecular position modulation waves refined is used as the input file in the next example.

Figure 114 Change from individual to TLS temperature parameters.

(a) The input m40 with molecule "Ir". Atoms of the molecule have individual temperature parameters and their modulations. The position modulation parameters are refined in the molecular part. The file results from the procedure shown in [Figure 113,](#page-193-0) followed by adding of another position modulation wave and refinement.

```
 1 1 1 1 
    4 1 
  1.233566 0.000000 0.000000 0.000000 0.000000 0.000000 100000 
  0.000000 
-0.001334 0.000000 0.000000 0.000000 0.000000 0.000000 100000 
 0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 000000 
K 2 2 0.500000 0.500000 0.590934 0.750000 000 0 2 2 
  0.028994 0.002669 0.013609 0.000000 0.004210 0.000000 0010111010 
  0.008041 0.000000-0.013254 0.000000 0.017274 0.000000 101010 
-0.012682 0.000000-0.006921 0.000000-0.003292 0.000000 101010 
 0.000000 0.000000 0.000000-0.000918 0.000000 0.000315 000101 
-0.000070-0.000510 0.002317 0.000000 0.000158 0.000000 111010 
  0.000000 0.000000 0.000000-0.000250 0.000000 0.000203 000101 
  0.000460-0.000517 0.001334 0.000000 0.000804 0.000000 111010 
  0.000000 0 
Ir 1 As 
As 1 2 0.500000 0.000000 0.631034 0.250000 000 -1 0 2 
  0.014290 0.001484 0.009401 0.000000 0.002176 0.000000 0000111010 
  0.000000 0.000000 0.000000-0.000397 0.000000 0.000311 000101 
  0.001495 0.000006 0.000184 0.000000-0.000056 0.000000 111010 
  0.000000 0.000000 0.000000 0.000075 0.000000 0.000380 000101 
  0.000304-0.000075-0.000129 0.000000 0.000586 0.000000 111010 
  0.000000 0 
F1 3 2 1.000000-0.099958 0.627151 0.499018 000 -1 0 2 
  0.033016 0.003687 0.011917-0.000542 0.008448 0.000632 0111111111 
  0.004425-0.000378 0.000617-0.002000 0.001744-0.001087 111111 
  0.003334-0.000347 0.000943-0.000668 0.001176-0.000463 111111 
  0.003765-0.000670 0.001541-0.000568 0.002360 0.000007 111111 
-0.005254-0.000199-0.000573 0.001926-0.001478-0.000148 111111 
 0.000000 0 
F2 3 2 1.000000 0.237807 0.552021 0.331383 000 -1 0 2 
  0.033284 0.002918 0.022232 0.004092 0.001943 0.001228 0111111111 
-0.004573 0.000856 0.001148 0.000105-0.003033 0.000978 111111 
  0.003627 0.000006-0.003438 0.000156-0.001996-0.000212 111111 
  0.000784-0.000442-0.000062-0.000935 0.000625 0.000062 111111 
  0.000568 0.000061 0.001199 0.001439 0.000748-0.000172 111111 
  0.000000 0 
O3 4 2 1.000000 0.259747 0.704321 0.334748 000 -1 0 2 
  0.029854 0.003628 0.025478-0.004927 0.012509-0.004698 0111111111 
  0.007986-0.000453-0.002356-0.001169 0.002582 0.001678 111111 
  0.003601 0.000404 0.003345-0.000755 0.001754-0.000521 111111 
-0.000017-0.001508-0.003817 0.002362-0.001954 0.003239 111111 
  0.004407 0.000668 0.008726-0.002768 0.008894-0.003002 111111 
  0.000000 0 
pos#1 1 1.000000 0000 0000 000 0 2 0
    0.000 0.000 0.000 0.000000-0.000003 0.000000 0000010 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 
-0.011060 0.000000-0.026436 0.000000 0.013900 0.000000 101010 
-0.001300 0.000000 0.003941 0.000000 0.001395 0.000000 101010 
-0.032081 0.000000 0.020306 0.000000-0.003041 0.000000 101010 
-0.001761 0.000000 0.003223 0.000000 0.001533 0.000000 101010 
 0.0000000 
                                                               This is the model 
                                                               molecule.
```
[Figure 114](#page-196-0) (b) The procedure to change individual temperature parameters to TLS tensors

[Figure 114\(](#page-196-0)c) The resulting m40 with initial parameters set for the TLS tensors refinement.

$\mathbf{1}$ 4	1 1 $\mathbf{1}$	$\mathbf{1}$				
0.000000					100000	
					100000	
к	$\mathbf{2}$ $\overline{2}$		0.500000 0.500000 0.590934 0.750000		000000 000 0 \overline{a}	$\overline{2}$
			0.028994 0.002669 0.013609 0.000000 0.004210 0.000000		0010111010	
			0.008041 0.000000-0.013254 0.000000 0.017274 0.000000		101010	
-0.012682 0.000000-0.006921 0.000000-0.003292 0.000000					101010	
			0.000000 0.000000 0.000000-0.000918 0.000000 0.000315		000101	
$-0.000070 - 0.000510$ 0.002317 0.000000 0.000158 0.000000					111010	
			0.000000 0.000000 0.000000-0.000250 0.000000 0.000203 0.000460-0.000517 0.001334 0.000000 0.000804 0.000000		000101 111010	
0.000000					Ω	
Ir	$\mathbf{1}$		As			
As	$\mathbf 0$ 1		0.500000 0.000000 0.631034 0.250000		$000 - 1$ $\mathbf{0}$	$\overline{\mathbf{2}}$
					0000111010	
			0.000000 0.000000 0.000000-0.000397 0.000000 0.000311		000101	
			0.001495 0.000006 0.000184 0.000000-0.000056 0.000000 0.000000 0.000000 0.000000 0.000075 0.000000 0.000380		111010	The parameter Ttype
			0.000304-0.000075-0.000129 0.000000 0.000586 0.000000		000101 111010	(see page 134) is set
0.000000					$\mathbf{0}$	to zero meaning that
F1	$\mathbf 0$ 3		1.000000-0.099958 0.627151 0.499018		$000 - 1$ $\mathbf{0}$	the temperature \overline{a}
					0111111111	parameters are
			0.004425-0.000378 0.000617-0.002000 0.001744-0.001087		111111	refined in the
			0.003334-0.000347 0.000943-0.000668 0.001176-0.000463 0.003765-0.000670 0.001541-0.000568 0.002360 0.000007		111111	molecular part like
$-0.005254 - 0.000199 - 0.000573$ $0.001926 - 0.001478 - 0.000148$					111111 111111	TLS tensors. The
0.000000					$\mathbf 0$	individual
F2	$\mathbf 0$ 3		1.000000 0.237807 0.552021 0.331383		$000 - 1$ $\mathbf 0$	temperature $\overline{2}$
					0111111111	parameters U _{ij} are
-0.004573 0.000856 0.001148 0.000105-0.003033 0.000978					111111	set to zero, too.
			0.003627 0.000006-0.003438 0.000156-0.001996-0.000212 0.000784-0.000442-0.000062-0.000935 0.000625 0.000062		111111 111111	
			0.000568 0.000061 0.001199 0.001439 0.000748-0.000172		111111	
0.000000					$\mathbf 0$	
O ₃	$\mathbf{0}$ $\overline{\mathbf{4}}$		1.000000 0.259747 0.704321 0.334748		$000 - 1 0$	$\overline{\mathbf{2}}$
					0111111111	
			0.007986-0.000453-0.002356-0.001169 0.002582 0.001678		111111	
$-0.000017 - 0.001508 - 0.003817 0.002362 - 0.001954 0.003239$			0.003601 0.000404 0.003345-0.000755 0.001754-0.000521		111111 111111	
			0.004407 0.000668 0.008726-0.002768 0.008894-0.003002		111111	
0.000000					$\mathbf{0}$	
pos#1	$\mathbf{1}$	1.000000			000 0 2 0	
0.000	0.000		0.000 0.000000-0.000003 0.000000		0000010	
			0.010000 0.010000 0.010000 0.000000 0.000000 0.000000		111111 111111	TLS tensors: initial
					111111	values and refinement
	0.000000 0.000000 0.000000				110	keys.
$-0.01106000.000000 - 0.0264360.000000000.01390000.0000000$					101010	
-0.001300 0.000000 0.003941 0.000000 0.001395 0.000000					101010	
-0.032081 0.000000 0.020306 0.000000-0.003041 0.000000					101010	
-0.001761 0.000000 0.003223 0.000000 0.001533 0.000000 0.000000					101010	

Figure 115 Introducing TLS modulation

(a) The input m40 with molecule "Ir" . The temperature parameters are refined like TLS tensors but modulations of temperature parameters are still individual. The position modulation parameters are refined in the molecular part. The file results from the procedure shown in [Figure 114,](#page-196-0) followed by refinement of the initial TLS parameters¹ .

```
1 1 1 1 
    4 1 
  1.234794 0.000000 0.000000 0.000000 0.000000 0.000000 100000 
  0.000000 
  0.017930 0.000000 0.000000 0.000000 0.000000 0.000000 100000 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 000000 
K 2 2 0.500000 0.500000 0.590943 0.750000 000 0 2 2 
  0.029000 0.002672 0.013612 0.000000 0.004203 0.000000 0010111010 
  0.008053 0.000000-0.013250 0.000000 0.017274 0.000000 101010 
-0.012674 0.000000-0.006933 0.000000-0.003295 0.000000 101010 
  0.000000 0.000000 0.000000-0.000945 0.000000 0.000304 000101 
-0.000146-0.000510 0.002324 0.000000 0.000154 0.000000 111010 
  0.000000 0.000000 0.000000-0.000257 0.000000 0.000209 000101 
  0.000435-0.000516 0.001311 0.000000 0.000777 0.000000 111010 
 0.000000 0 
Ir 1 As 
As 1 0 0.500000 0.000000 0.631034 0.250000 000 -1 0 2 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 0000000000 
  0.000000 0.000000 0.000000-0.000397 0.000000 0.000311 000000 
  0.001495 0.000006 0.000184 0.000000-0.000056 0.000000 000000 
  0.000000 0.000000 0.000000 0.000075 0.000000 0.000380 000000 
  0.000304-0.000075-0.000129 0.000000 0.000586 0.000000 000000 
  0.000000 0 
F1 3 0 1.000000-0.099982 0.627143 0.498994 000 -1 0 2 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 0111000000 
  0.004425-0.000378 0.000617-0.002000 0.001744-0.001087 000000 
  0.003334-0.000347 0.000943-0.000668 0.001176-0.000463 000000 
  0.003765-0.000670 0.001541-0.000568 0.002360 0.000007 000000 
-0.005254-0.000199-0.000573 0.001926-0.001478-0.000148 000000 
 0.000000 0 
. . . . 
O3 4 0 1.000000 0.259792 0.704290 0.334738 000 -1 0 2 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 0111000000 
  0.007986-0.000453-0.002356-0.001169 0.002582 0.001678 000000 
  0.003601 0.000404 0.003345-0.000755 0.001754-0.000521 000000 
-0.000017-0.001508-0.003817 0.002362-0.001954 0.003239 000000 
  0.004407 0.000668 0.008726-0.002768 0.008894-0.003002 000000 
  0.000000 0 
pos#1 1 1.000000 1.000000 0.000001 0.000000 0000010 0000010 0000010
    0.000 0.000 0.000 0.000000 0.000001 0.000000 0000010 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 
  0.014375 0.001490 0.009421 0.000000 0.002205 0.000000 111010 
  0.010616 0.000608 0.005178 0.000000-0.002004 0.000000 111010 
  0.002297 0.000000 0.000650 0.000000 0.000123 0.000000 101010 
  0.000298 0.000000-0.002420 100 
-0.011055 0.000000-0.026441 0.000000 0.013895 0.000000 101010 
-0.001313 0.000000 0.003937 0.000000 0.001393 0.000000 101010 
-0.032074 0.000000 0.020323 0.000000-0.003045 0.000000 101010 
-0.001787 0.000000 0.003258 0.000000 0.001527 0.000000 101010 
 0.000000 0 
                                                              TLS tensors: refined 
                                                              values 
                                                             Molecular position 
                                                             modulation parameters
```
 \overline{a}

¹ It should be noted that combination of TLS tensors with atomic temperature modulation is an artificial case used only for the purpose of this manual. The refinement of individual temperature modulation parameters together with TLS tensors cannot work well. For this reason the relevant refinement keys are set to zero.

[Figure 115\(](#page-199-0)c) The resulting m40 with initial parameters prepared for the refinement of TLS tensors modulations.

Figure 116 Change from TLS to individual temperature modulation

(a) The input m40 with molecule "Ir" is shown in [Figure 115\(](#page-199-0)a), page [200.](#page-199-0) The temperature parameters of the model molecule are refined like TLS tensors.

(b) The procedure to transform TLS tensors to individual temperature modulation.

[Figure 116\(](#page-202-0)c) The resulting m40 with TLS tensors transformed to atomic temperature parameters.

Figure 117 Refinement of individual and molecular modulation together

(a) The input m40 with molecule "Ir" is shown in [Figure 114\(](#page-196-0)a), page [197.](#page-196-0) The position modulations of the model molecule are refined in the molecular part.

(b) The procedure to add one individual position modulation wave to atom O3 of the model molecule.

[Figure 117\(](#page-204-0)c) The resulting m40 with position modulation parameters of atom O3 calculated like combination of molecular (2 waves) and individual atomic (1 wave) contributions. The comparison of the individual position modulation parameters with their estimated standard deviations can be used as a measure how far is the position modulation of O3 from the rigid body approximation.

```
 1 1 1 1 
    4 1 
  1.233062 0.000000 0.000000 0.000000 0.000000 0.000000 100000 
  0.000000 
-0.003372 0.000000 0.000000 0.000000 0.000000 0.000000 100000 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 000000 
K 2 2 0.500000 0.500000 0.590927 0.750000 000 0 2 2 
  0.028974 0.002661 0.013591 0.000000 0.004226 0.000000 0010111010 
  0.008163 0.000000-0.013260 0.000000 0.017298 0.000000 101010 
-0.012632 0.000000-0.006926 0.000000-0.003274 0.000000 101010 
  0.000000 0.000000 0.000000-0.000923 0.000000 0.000311 000101 
-0.000144-0.000511 0.002318 0.000000 0.000166 0.000000 111010 
  0.000000 0.000000 0.000000-0.000233 0.000000 0.000191 000101 
  0.000315-0.000491 0.001401 0.000000 0.000897 0.000000 111010 
 0.000000 0 
Ir 1 As 
As 1 2 0.500000 0.000000 0.631034 0.250000 000 -1 0 2 
  0.014260 0.001484 0.009398 0.000000 0.002167 0.000000 0000111010 
  0.000000 0.000000 0.000000-0.000392 0.000000 0.000311 000101 
  0.001473 0.000007 0.000200 0.000000-0.000042 0.000000 111010 
  0.000000 0.000000 0.000000 0.000082 0.000000 0.000395 000101 
  0.000317-0.000080-0.000096 0.000000 0.000600 0.000000 111010 
  0.000000 0 
. . . . 
F2 3 2 1.000000 0.237793 0.551952 0.331451 000 -1 0 2 
  0.033107 0.002941 0.022301 0.004062 0.001804 0.001302 0111111111 
-0.004765 0.000856 0.001537 0.000078-0.003154 0.001045 111111 
  0.004140 0.000040-0.003533 0.000282-0.001855-0.000111 111111 
  0.000417-0.000498-0.000275-0.000943 0.000839-0.000108 111111 
  0.001132 0.000075 0.001248 0.001467 0.000710-0.000163 111111 
  0.000000 0 
O3 4 2 1.000000 0.259432 0.704225 0.334598 000 -1 1 2 
  0.029636 0.003572 0.024700-0.004798 0.011995-0.004461 0111111111 
-0.001022 0.001363-0.004077-0.001334 0.000398-0.002854 111111 
  0.007401-0.000459-0.002404-0.001127 0.002128 0.001734 111111 
  0.003866 0.000433 0.002873-0.000778 0.001948-0.000513 111111 
  0.001029-0.001433-0.003246 0.002369-0.001507 0.002928 111111 
  0.004260 0.000676 0.007157-0.002878 0.008940-0.002886 111111 
  0.000000 0 
pos#1 1 1.000000 000 000 0 2 0
    0.000 0.000 0.000 0.000000 0.000003 0.000000 0000010 
  0.000000 0.000000 0.000000 0.000000 0.000000 0.000000 
-0.011043 0.000000-0.026361 0.000000 0.013892 0.000000 101010 
-0.001271 0.000000 0.003932 0.000000 0.001400 0.000000 101010 
-0.031089 0.000000 0.019840 0.000000-0.003164 0.000000 101010 
-0.001732 0.000000 0.003092 0.000000 0.001535 0.000000 101010 
  0.000000 0 
. . . . 
O3 0.000000 0.000430 0.000131 0.000332 
  0.000934 0.000096 0.000677 0.000245 0.000655 0.000210 
  0.000683 0.000210 0.000534 0.000581 0.000200 0.000543 
  0.001184 0.000115 0.000785 0.000296 0.000732 0.000233 
  0.001348 0.000130 0.000991 0.000346 0.000918 0.000288 
  0.001651 0.000157 0.001036 0.000405 0.001035 0.000333 
  0.001732 0.000157 0.001098 0.000420 0.001093 0.000327 
  0.000000 
                                                            The individual position 
                                                            modulation parameters of 
                                                           O3 
                                                            The e.s.d's of the 
                                                            individual position 
                                                            modulation parameters of 
                                                            O3. 
                                                            The molecular position 
                                                            modulation parameters.
```
3.2.9 Using local symmetry for molecules

In *JANA98* symmetry of the model molecule can be defined through a point group symbol (see page [141\)](#page-140-0). Both Hermann-Mauguin and international symbols are allowed.

The local symmetry is defined with respect to the Cartesian axes related to the current crystal axis by matrix given in equation [E 43,](#page-142-0) page [143.](#page-142-0) If the Cartesian system is redefined with help of the redefinition of the rotation z axis (see page [144\)](#page-143-0) the local symmetry is based on the new coordinate system.

The examples that follow concern a structure containing three symmetry independent AsF_6 octahedrons. Changing from free atomic model to molecular models with various local symmetry enables to check rigidity and regularity of the octahedrons.

In the given example the symmetry considerations are simplified by the fact that molecular positions have general symmetry. If they would have its own local symmetry (for instance a mirror plane) the local symmetry of the model molecule would combine with a local symmetry of the molecular position.

Figure 118 M50 for examples concerning the local symmetry

The keywords in m50 are explained in page [77.](#page-76-0)

```
title JAJA in the supercell 
cell 9.391 11.336 28.173 90 90 90 
lambda 0.5609 
spgroup Pnab 60 
lattice P 
centro 
symmetry x y z 
symmetry 1/2-x 1/2+y 1/2+z 
symmetry x 1/2+y 1/2-z 
symmetry 1/2-x y -z 
unitsnumb 24 
atom As 
atweight 74.922 dmax 3 formula 1 
f' 0.196 f" 1.332 
formtab 32 
   32.983 32.278 30.491 28.301 26.218 24.386 22.739 21.196 
   19.722 18.313 16.976 15.717 14.540 13.451 12.454 11.552 
 10.744 10.030 9.403 8.858 8.386 7.978 7.626 7.320 
 7.053 6.817 6.606 0.000 0.000 0.000 0.000 0.000 
atom K 
atweight 39.098 dmax 0 formula 1 
f' 0.118 f" 0.156 
formtab 32 
 18.999 18.206 16.732 15.244 13.726 12.269 10.980 9.909 
 9.057 8.398 7.888 7.480 7.134 6.823 6.528 6.241 
 5.956 5.674 5.395 5.120 4.851 4.589 4.336 4.093 
    3.861 3.640 3.431 0.000 0.000 0.000 0.000 0.000 
atom F 
atweight 18.998 dmax 0 formula 5 
f' 0.006 f" 0.006 
formtab 32 
    8.999 8.815 8.303 7.561 6.709 5.851 5.054 4.353 
    3.760 3.270 2.873 2.558 2.309 2.112 1.957 1.834 
 1.735 1.654 1.587 1.531 1.482 1.438 1.398 1.360 
 1.324 1.289 1.255 0.000 0.000 0.000 0.000 0.000 
atom O 
atweight 15.999 dmax 0 formula 1 
f' 0.003 f" 0.004 
formtab 32 
    7.999 7.798 7.246 6.472 5.623 4.808 4.089 3.489 
 3.006 2.628 2.337 2.115 1.946 1.816 1.715 1.634 
 1.568 1.512 1.463 1.419 1.377 1.337 1.298 1.260 
    1.221 1.183 1.145 0.000 0.000 0.000 0.000 0.000 
atom H 
atweight 1.008 dmax 0 formula 1 
f' 0 f" 0 
formtab 32 
    1.000 0.960 0.854 0.713 0.568 0.438 0.331 0.248 
    0.184 0.138 0.103 0.078 0.060 0.046 0.036 0.028 
    0.022 0.018 0.014 0.011 0.009 0.007 0.006 0.005 
    0.005 0.004 0.004 0.000 0.000 0.000 0.000 0.000 
noofref 5375 
slimits 0.347273 0.442088 0.514002 0.572307 0.622045 0.667085 0.711857 0.753508 
flimits 66.6 116.7 201.5 349 584.2 1141.4 2586.7 197781.8 
end
```
Figure 119 Setting a molecular model without local symmetry

(a) Input m40 containing atomic model of the structure. The R value from the refinement of this structure was 4.91% for observed reflections.

[Figure 119\(](#page-208-0)b) Procedure to create a molecular model without specifying local symmetry. From two possible ways - creating from atoms already present in the atomic part (see [Figure 98,](#page-172-0) page [173\)](#page-172-0) and creating from m45 (see page [177\)](#page-176-0)- the second one is used in order to have the model molecule in a coordinate system useful for later application of the local symmetry. Only important windows are shown adding of a molecule from m45 is fully described in [Figure 101,](#page-177-0) page [178.](#page-177-0)

[Figure 119\(](#page-208-0)c) The resulting m40 with a molecule "Oct" in three positions. The model octahedron is oriented along the c axis.

$\mathbf 1$ 4 7	Ω 3	$\mathbf{1}$				
0.000000					100000	
					100000	
					000000	
Ka	2 ₂	0.500000 0.250000 0.341885 0.000000				
		0.036739 0.039739 0.041075 0.000000 0.007541 0.000000			0010111010	
Кb	$\overline{2}$ $\overline{2}$	1.000000 0.230410 0.338262 0.165883				
		0.030894 0.036062 0.030158-0.003391-0.001207 0.001991			0111111111	
Kc	2°	1.000000 0.251236 0.322547 0.331665				
		0.031621 0.030560 0.031993 0.002891 0.001232 0.001123			0111111111	
Kd	2^{2}	0.500000 0.250000 0.318335 0.500000				
		0.031541 0.028024 0.030752 0.000000 0.001138 0.000000			0010111010	
Oct	Ω		As			
As	$1\quad1$	1.000000 0.000000 0.000000 0.000000				
					0000000000	
F1	3 ₁	1.000000 0.000000 0.000000 0.061690				
					0000000000	
F2	3 ₁	1.000000 0.000000 0.000000-0.061690				
					0000000000	The model
F ₃	3 ₁	1.000000 0.183154 0.000000 0.000000				molecule
					0000000000	
F4	$\mathbf{3}$ 1	1.000000-0.183154 0.000000 0.000000				
		1.000000 0.000000 0.151729 0.000000			0000000000	
F ₅	3 ₁				0000000000	
F ₆	3 ₁	1.000000 0.000000-0.151729 0.000000				
					0000000000	
pos#1	1	1.000000			000 Ω Ω $\mathbf{0}$	
111.540	-43.611	-91.027 0.076569 0.104506 0.080828			0000000	Three positions of
						the model molecule
pos#2	1	1.000000			000 $\mathbf{0}$ $\mathbf{0}$ $\mathbf 0$	correspond to
-10.830	63.416	-94.594 0.106961 0.093846 0.415836			0000000	
						positions of
pos#3	1	1.000000			Ω 000 Ω $\mathbf{0}$	octahedrons in the
	107.316 -39.923	-87.546 0.082771 0.107473 0.757335			0000000	free atomic model.

 \overline{a}

¹ The R value was 5.59 % (compare with 4.91% of the free atomic model). The refinement with anisotropic temperature parameters (i.e. without TLS tensors) converged with R=7.45%.

Setting of refinement keys

Creation of new molecular part
Move atoms from molecule to atomic part

Transformation of molecular parameters

Figure 120 Setting the local symmetry D4h

(a) Input m40 is in [Figure 119\(](#page-208-0)c). The refined m40 from [Figure 119\(](#page-208-0)d) cannot be used because the model coordinates already do not have the D4h symmetry.

(b) Procedure to define the local symmetry D4h. According to the International tables, Vol A, atoms F1 and F2 are in the symmetry position a, F3, F4, F5 and F6 are in the symmetry position c and As is not expanded.

List |

Select all

Here the user should enter the point group symbol and the site occupation factors for the model atoms. *JANA98* **does not offer a user interface for these operations.**

 $\mathbf{E}\mathbf{S}\mathbf{c}$ | 0k |

 $Refresh$ </u>

 $\overline{\nabla}$

[Figure 120\(](#page-212-0)c) Resulting m40 after refinement of TLS parameters¹ .

4 3	1 $\mathbf{0}$ 3	$\mathbf{1}$				
					100000	
0.000000						
					100000	
					000000	
Ka	2 ₂		0.500000 0.250000 0.341929 0.000000			
	0.036498 0.040330 0.040841 0.000000 0.007473 0.000000				0010111010	
Kb	$2\quad 2$		1.000000 0.230231 0.338285 0.165838			
	0.030771 0.036116 0.029813-0.003109-0.001382 0.001712				0111111111	
Kc	2 ₂		1.000000 0.251189 0.322495 0.331615			
	0.032286 0.029363 0.032024 0.002423 0.001334 0.000639				0111111111	
Kd	2 ₂		0.500000 0.250000 0.318449 0.500000			
	0.032787 0.028146 0.029984 0.000000 0.001005 0.000000				0010111010	
Oct	0 D4 h		As			
As	$1 \quad 0$		0.062500 0.000000 0.000000 0.000000			
					0000000000	
F1	3 ₀		0.125000 0.000000 0.000000 0.061602			
					0001000000	
F3	3Ω		0.250000 0.182469 0.000000 0.000000			
					0100000000	
pos#1	$\mathbf{1}$	1.000000			000 0 0 0	
	113.607 -44.370 -91.078 0.076281 0.104629 0.080825				0111111	Refinement keys of the
					▶	first molecular position
	0.004824 0.003379 0.000439-0.000724 0.000044-0.000003 0.002619 0.002190 0.000410 0.000559 0.000088 0.000127				111111 111111	- see footnote.
	0.000079 0.000001-0.000154 0.000242-0.000101 0.000140				111111	
	0.000051 0.000001 0.000022				110	
pos#2	$\mathbf{1}$	1.000000			000 $\overline{0}$ $\mathbf 0$	Ω
-11.950		63.764 -96.713 0.107186 0.094014 0.415867			0111111	
	0.005402 0.004019 0.000469-0.000143 0.000095 0.000071				111111	
	0.005245 0.001936 0.000831 0.000114-0.000234 0.000110				111111	
	0.000167-0.000640 0.000000-0.000368-0.000238 0.000037				111111	
-0.000389 0.000175 0.000070					110	
pos#3	$\mathbf{1}$	1.000000			000 0 0 0	
	109.615 -40.498 -87.568 0.082541 0.107527 0.757354				0111111	
	0.005220 0.003850 0.000433-0.000441-0.000051 0.000041				111111	
	0.003563 0.001680 0.001071 0.000618 0.000774 0.000213				111111	
					111111	
$-0.000156 - 0.000623$ 0.000016					110	

 \overline{a}

¹ The R value was 6.84 % (compare with 5.59% of the molecular model without applying of the local symmetry). The symmetry restrictions of the model molecule coordinates are calculated from the point group D4h. Refinement of restricted coordinates can influence the size of the molecule but not rotation. Therefore the rotation angles of the first molecular position cannot be fixed in order to allow proper orienting of the first molecular position. For this the automatic refinement mode has to be disabled because in the automatic mode the rotation parameters of the first molecular position are always fixed for the reasons explained in page 189.

Figure 121 Setting the local symmetry T

(a) Input m40 is in [Figure 119\(](#page-208-0)c). The refined m40 from [Figure 119\(](#page-208-0)d) cannot be used because the model coordinates already do not have the D4h symmetry.

(b) Procedure to define the local symmetry D4h. In the point group T all atoms F are equivalent.

[Figure 121\(](#page-214-0)c) The resulting m40 after refinement of TLS parameters¹

4 2	$\mathbf 0$ 1 3	$\mathbf{1}$			
0.000000					100000
					100000
					000000
Ka	2 ₂		0.500000 0.250000 0.341944 0.000000		
			0.036588 0.040202 0.040786 0.000000 0.007372 0.000000		0010111010
Kb	$2\quad 2$		1.000000 0.230215 0.338273 0.165834		
			0.030899 0.035915 0.029662-0.003056-0.001376 0.001772		0111111111
Kc	$2\quad 2$		1.000000 0.251195 0.322492 0.331625		
			0.032421 0.029257 0.031823 0.002401 0.001330 0.000610		0111111111
Kd	$2\quad 2$		0.500000 0.250000 0.318460 0.500000		
			0.032805 0.028173 0.029868 0.000000 0.000881 0.000000		0010111010
Oct	0T		As		
As	$1\quad 0$		0.083333 0.000000 0.000000 0.000000		
					0000000000
F1	$3 \quad 0$		0.500000 0.000000 0.000000 0.061109		
					0001000000
pos#1	$\mathbf{1}$	1.000000			000 0 0 0
			113.539 -44.382 -91.068 0.076274 0.104628 0.080821		0111111
			0.004813 0.003370 0.000440-0.000716 0.000044-0.000003		111111
			0.002620 0.002209 0.000405 0.000566 0.000089 0.000128		111111
			0.000087 0.000007-0.000158 0.000240-0.000108 0.000140		111111
	0.000035 0.000005 0.000022				110
pos#2	1	1.000000			000 0 0 0
-11.863			63.719 -96.690 0.107210 0.093985 0.415869		0111111
			0.005388 0.004010 0.000470-0.000145 0.000094 0.000071		111111
			0.005246 0.001930 0.000816 0.000112-0.000200 0.000105		111111
			0.000214-0.000672 0.000006-0.000336-0.000271 0.000035		111111
-0.000387 0.000176 0.000056					110
pos#3	$\mathbf{1}$	1.000000			000 0 0 0
			109.554 -40.526 -87.535 0.082537 0.107516 0.757358		0111111
			0.005202 0.003839 0.000433-0.000437-0.000048 0.000043		111111
			0.003613 0.001674 0.001056 0.000629 0.000762 0.000198		111111
			$-0.000230 - 0.000440 - 0.000180 - 0.000263$ 0.000209 0.000077		111111
$-0.000160 - 0.000596 0.000021$					110

 \overline{a}

¹The R value was 6.92% (compare with 6.84% for the local symmetry D_{4h}). The rotation angles of the first molecular position were not be fixed - see footnote on page 214 for explanation.
Figure 122 The shape of AsF₆ octahedron.

This figure contains AsF6 octahedrons refined in a free atomic model, in a molecular model without applying a local symmetry (see [Figure 119,](#page-208-0) page [209\)](#page-208-0), in a molecular model with local symmetry D4h (see [Figure 120,](#page-212-0) page [213\)](#page-212-0)and in a molecular model with local symmetry T (see [Figure 121,](#page-214-0) page [215\)](#page-214-0). The octahedrons are overlayed and viewed along b axis. The red circles denote superimposed positions with the local symmetry T and D4h.

3.3 Program *REFINE*

Input: m50,m91,[m40] **Output:** m40,m80,ref **M50 control keywords:** the section between the keywords refine and end

3.3.1 Description of *REFINE*

Figure 123 The Basic Window of REFINE

REFINE is a program for refinement of structure models. It needs as an input three files:

- m40 with parameters of the structure model and refinement keys, indicating parameters to be refined
- m50 with basic crystal information and with refinement commands (number of refinement cycles etc)
- m91 with reflections for the refinement

The scheme in the next page shows *REFINE* in the framework of *JANA98*.

Figure 124 The Scheme of the Refinement Run

 The weighting scheme, minimised function and statistical quantities

The reflection file contains for each independent reflection the intensity I and its estimated standard deviation $\sigma(I)$. The sigma's are strictly taken as a measure of accuracy of the corresponding intensity. The weight of a reflection is based by default on this value and the program doesn't make any optimisation of the weighting scheme.

For the refinement based of F and for the default weighting scheme (see [§3.3.7,](#page-240-0) page [241](#page-240-0) for details) the weight is defined by expression

$$
w = \frac{1}{\sigma^2 (F_o) + (uF_o)^2}
$$

and the minimised function is

$$
P = \sum w \left(|F_c| - |F_o| \right)^2.
$$
 E58

The coefficient u in the equation $E57$ is the instability factor, which can be changed by the user but it is not further changed by the program. The proper value of the instability factor should be adjusted for a given diffractometer and should not be changed for different structures measured under the same conditions. This approach make easier a comparison of different structures - for instance comparison of their goodness of fit, see later in this paragraph. The typical value of *u* is about 0.01.

For the refinement based on F^2 the weight is defined by expression

$$
w' = \frac{w}{4F_o^2}, \qquad ES9
$$

where *w* is the weight from equation *E57*. The minimised function is

$$
P = w'\left(F_o^2 - F_c^2\right)^2.
$$

Goodness of fit is defined as

$$
S = \sqrt{\frac{\sum w(F_o - F_c)^2}{m - n}}, \tag{E61}
$$

where *m* is number of reflections and *n* is number of parameters refined. If the refinement is based on F^2 the goodness of fit is defined by expression

$$
S = \sqrt{\frac{\sum w (F_o^2 - F_c^2)^2}{m - n}}.
$$

Goodness of fit can be understood as a measure of the fit between the distribution expected from the weights used in the refinement and the distribution of $|F_c| \cdot |F_a|$. If the weights are correctly estimated, errors in the data are random and the structure model is statistically correct, i.e. the value of *S* is 1.

 JANA98 does not make any adjustement of the weighting scheme to force *S* to 1. *S* between 2-4 does not necessarily mean that the structure model is wrong or that there are systematic errors in the data set. For the data measured with a high accuracy and to a high θ angle large S can mean that the model does not describe all details of the structure like anharmonic displacements, lone-pair and bonding electrons etc. On the other hand *S* less than 1 indicates that the accuracy of data is not high enough to prove the model.

The estimated standard deviations of refined parameters are calculated from diagonal elements of the normal matrix and normalised to ideal goodness of fit *S*=1. The differences about 5 e.s.d. are already visible in the difference Fourier.

Related chapters

This chapter focuses only on the topics related immediately to the refinement program. Here are another sources of information:

- § [3.1,](#page-92-0) page [93](#page-92-0) describes structure parameters and the structure of the refinement parameter file m40.
- § [3.2,](#page-152-0) page [153](#page-152-0) describes *EDITM40*, the program which is used for building and modifications of the structure model.

3.3.2 Structure parameters

The structure parameters are listed in [Table 10,](#page-97-0) page [98.](#page-97-0) Their names can be used in the refinement control commands (see later).

3.3.3 Refinement keys

Each refinable parameter of a structure model has the corresponding refinement key. It key indicates whether the parameter will be refined. Only the parameters with positive refinement keys are refined.

The automatic and special refinement keys.

The structure parameters can be divided to two groups with respect to the refinement keys:

- *Parameters with automatic refinement keys*. The refinement keys of these parameters can be automatically set up by *REFINE*. Optionally *REFINE* can also derive the symmetry restrictions of these parameters and make corresponding changes of their initial values.
- *Parameters with special refinement keys*. The setting of their refinement keys is not fully automatic and need assistance of the user. Only several parameters have special refinement keys. They are listed in [Table 13,](#page-225-0) page [226.](#page-225-0)

The Manual and Automatic mode

The user can run the refinement program in manual or automatic mode for setting of refinement keys.

- In the *manual mode* the setting of refinement keys is done by the user. *REFINE* does not check the correctness of these keys. The keys read from the input m40 are not changed by *REFINE* except there are user constraints defined by refinement commands.
- In the *automatic mode REFINE* resets part of or all automatic refinement keys read from the input m40. The level of automatization depends on autkeys and autspec commands. It should be noted that the special refinement keys (see [Table 13\)](#page-225-0) are not set by *REFINE* even in the automatic mode or they are changed only under special conditions.

Autkeys and Autspec commands

These two commands are part of the Basic commands (page [231\)](#page-230-0). They are applicable to parameters with automatic refinement keys. The combination of both commands influences which keys are set automatically and which are left under the user control. *The authors recommend to use the highest (default) automatic mode whenever it is possible.*

- *Autkeys command* turns on/off the automatic initialization of the refinement keys. If the autkeys mode is activated *REFINE* sets at the beginning of the run all refinable parameters to 1. All refinement keys in input m40 except the special ones are ignored. With autkeys *REFINE* also sets refinement keys in response to settings in the Modulation commands (see page [253\)](#page-252-0). For instance if we select only main reflection for the refinement the refinement keys of modulation parameters are automatically set to "0". It also works for refinement of composites: if we select only reflections of one subsystem the parameters pertaining to atoms of other subsystems are fixed. In Autkeys mode *REFINE* also make fixation of the origin where necessary¹.
- *Autspec command* turns on/off automatic symmetry restrictions following from the site symmetry. In case when the restriction means that a certain parameters has to have a fixed value the program makes an initialization and fixes its values by setting of the relevant refinement key to 0. In case when the restriction means some relationship between more than one parameter the program generates an equation.

User constraints

Refinement of some parameters in automatic mode and the others in manual mode is not possible. However, the user can define user constraints by equation, restric and fixed commands - see page 224 for details. These commands are used for making various constraints for user-defined groups of parameters and/or modify settings done by autkeys and autspec commands.

¹ In three dimensions only. The remaining axes must be fixed by the user - see page 245.

The setting order for refinement keys

 Usage of the button Break

The refinement can be interrupted by button Break. At the moment the button Break is pushed the actual parameters and its refinement keys are saved in m40. This can be used for the checking of the refinement keys before finishing of the zero refinement cycle. Another possible usage of Break is to start *REFINE*, break it immediately, turn off the autkeys or autspec mode, set manually some keys and restart the refinement.

Initial values of modulation parameters

Modulation parameters of a structure model usually cannot be refined from zero amplitudes as the normal matrix is becoming singular. Therefore *EDITM40* sets new modulation parameters to small positive values. *REFINE* changes the initial values only if it is necessary during application of symmetry restrictions and user constraints. This means for example that if the initial value of some parameter is not zero but this parameter should be zero because of symmetry restriction or user constraints *REFINE* sets its value to zero.

EDITM40 doesn't check the symmetry restrictions and sets the initial values to all new parameters. In the case the autspec mode is disabled the user should set not only refinement keys but also their initial values.

In the case of manual parameters (see Table 13) the non-zero initial value sometimes causes that the parameter will be refined.

Symbol and name	Initial value	Refinement key if the autkey mode is turned on
аi site occupation	usually set by <i>EDITM40</i>	set by user
Ω constant term of occupation wave	usually set by EDITM40	set by user
o,osin1 width and center of crenel function (see page 128)	set by user	set by user
scale6, scale5 etc. twin domain scales (see page 108)	set by user	set by user
xsin\$, ysin\$, zsin\$, xcos\$ and ycos\$ slope (the $1st$ three parameters), center and width of sawtooth function (see page 124)	set by user	set by user
scale 1 scale factor of the first data set (see page 108)	usually set by <i>EDITM40</i>	1
scale2, scale3 etc. scale factor of the 2nd, 3rd etc. data sets (see page 108)	set by user	1 if the initial value is not 0
phason, phason (see page 139 and set by user 151)		1 if the initial value is not 0

Table 13 The parameters without automatic refinement keys

 Interactive commands

Break

 This button cancels immediately the refinement. After the break the refinement listing is incomplete and the file m80 is not created or it is incomplete. Break can be used for checking of refinement keys (see page [226\)](#page-225-0).

Quit
This button causes *REFINE* finishes the current cycle, then calculates the last cycle, creates m80, completes the listing from scratch files and exits.

<u>perions</u>
This button can be used for changing of the number of the cycles or of the damping factor during the refinement run. It is often used if the results printed to the screen during the refinement indicate that the refinement needs to be damped, the number of cycles needs to be enlarged etc. If *REFINE* ends regularly, i.e. if it is not cancelled by Break button, the changes are written to m50 after the last cycle is finished. If the refinement runs with the refinement repeat (see page [231](#page-230-0) for details) the changes are written to m50 after the last cycle of each refinement repeat.

Figure 126 The Refinement Options

Backup copy of m40

REFINE saves the input m40 as s40. This can be recovered by Recover m40 file of the Tools menu, which is accessible from the basic window of *JANA98*. If the refinement runs with the refinement repeat (see page [231](#page-230-0) for details) s40 is created at the beginning of each repeat. The initial m40 is therefore definitively lost at the beginning of the second refinement repeat.

 The screen output of the refinement

The following figure shows the output *REFINE* writes to the screen after each refinement cycle. The expression

[16826=12766+4060/497]

means that the total number of reflections used for the refinement if 16826, from which 12766 is observed and 4060 is unobserved. The number of refined parameters is 497. Reflection is considered observed if its intensity is larger than $k\sigma(I)$. The value *k* and the fact if the unobserved reflections will or will not be used in the refinement can be defined by the user - see page [237.](#page-235-0)

The expression [1964=1746+218]

has the same meaning main reflections, another one is for the $1st$ order satellites etc.

The most important value of the R factors for checking the convergence of the refinement is $Rw(all)$, because the minimised function is the nominator of $Rw(all)$. The Rw(obs) and Rw(all) are calculated from observed reflections and all reflections, respectively.

Figure 127 The Screen Output of REFINE

```
Overall R factors : [16826=12766+4060/497]
            9.04 \text{ Rw}(\text{obs}) = 10.74 \text{ R}(\text{all}) = 11.12 \text{ Rw}(\text{all}) =R(\text{obs}) =
                                                                     10.86
R factors for main reflection : [1964=1746+218]
R^{\text{obs}} =5.04 \ \text{Rw}(\text{obs}) =8.04 R(all) =5.56 Rw(all) =
                                                                      8.09
R factors for satellite of order 1: [3499=2978+521]
            6.61 \ \text{Rw}(\text{obs}) =8.38 R(all) =7.57 Rw(all) =
R(\text{obs}) =8.44R factors for satellite of order 2 : [3867=3020+847]
R(obs) = 11.16 Rw(obs) = 10.75 R(all) = 13.56 Rw(all) =10.87
R factors for satellite of order 3 : [3572=2575+997]
R(obs) = 20.00 Rw(obs) = 17.52 R(all) = 23.96 Rw(all) =17.69
R factors for satellite of order 4 or higher : [3924=2447+1477]
R(obs) = 27.09 \text{ Rw} (obs) = 22.71 \text{ R} (all) = 32.40 \text{ Rw} (all) =23.14
Maximum change/e.s.d. : -0.6806 for Ca
                                                          ycos3
```
Singular Refinement

In case the normal matrix cannot be inverted because its determinant is zero *REFINE* exits with message

Fatal - the normal matrix is singular Element # $11:$ Na1ab U22 Ok

In the case showed in this example the parameter responsible for singularity is U22 of atom Na1ab (alone or in interaction with other parameters).

Singularity is often caused by some general problem in the structure model. The following list shows several possible reasons:

- Some atom is too close to a special position (either by user mistake or due to existence of a split position).
- Some atom is too close to a special position of the former/higher space group after transforming to a subgroup structure. Such models cannot be often refined without atom being moved from former special positions (by a text editor in m40 file).
- Incorrect or incomplete symmetry restrictions of atoms or molecules located at special positions. This can happened only if the automatic procedure for setting them is switched off .
- The space group origin is incorrectly or incompletely fixed. This can happened only if the automatic procedure for setting of refinement keys is switched off.
- Large change of orthogonalization parameters for existing orthogonalized waves.
- Zero or too small initial values of phason, twin scales etc. see [Table 13.](#page-225-0)
- Non-zero refinement key corresponding to a non-existing scale. It may happen for instance if the twinning option has been removed in *PRELIM* but the twin scales still exist in m40.
- Improper parameter used for fixing of origin in the superspace (see Fixed command - option "x4 axis", page [245\)](#page-244-0). The simplest case is if the chosen parameter is fixed to 0 by symmetry or if its modulation is very small.
- Inappropriate shape (planar, linear molecule) of a molecule with TLS description of the thermal motion. In this case the TLS components are to be restricted to account for the special shape by using equation commands (page [247\)](#page-246-0).

Checking of the Results

This is list of the most important results the user should check during and after the refinement:

- *Convergence*. The Rw values in the screen output of the refinement show whether the refinement is convergent. The change/e.s.d value shows if the refinement is already converged - is is typically less then 0.1 in this case. See the example in page [228.](#page-227-0)
- *Temperature parameters*. Their values should not be too large (m40) and they must positive definite (see the end of the refinement listing).
- *Correlations*. See the end of the refinement listing.
- *R*-*statistics* (in the listing).
- *Refined values* in m40.
- *Ratio between values and e.s.d's.* for refined parameters (m40, but the e.s.d.'s are not listed together with parameters, or the listing). In the case of modulation waves it can be an indication if contribution of some wave is significant.

3.3.4 The SetCommands tool for *REFINE*

The description of the SetCommands interface is in page[39.](#page-38-0) The following menu can be opened also by clicking of the right mouse button on the icon of *REFINE*.

Figure 128 The Main Menu of the Refinement Commands

Basic commands
Select reflections
Weighting scheme
Extinction
Restrictions
Equations
Fixed commands
Dontuse command
Scale command
Modulation commands

Commands entered through the SetCommands interface are save in m50. Their syntax and meaning is explained in the tables following the description of single forms of the SetCommands tool. In Table 14 the commands are listed alphabetically.

	Autkeys236 Diff254 Itype244 Selcomp 254 Unstab242	
	Autspec 236 Dontuse 240 Method 254 Selsat 254 Useunobs 239	
	Badref 239 Equation 252 Omdif 259 Siglevel 239 Weight 242	
	Checkran259 Fixed250 Overlap 254 Skipbad 239 Yomax242	
	Chidif 259 Fofc 236 Print 236 Skipflag 240 Yomin 242	
Corr237 Fsquare 236 Radius 244 Snlmn 240		
Cycles 236 Grid 254 Restric 251 Snlmx 240		
Damp 236 Idistr 244 Repeat 236 Stat 237		
Dfoftw259 lext244 Scale 240 Thdif 259		

Table 14 The Index of the Refinement Control Commands

3.3.5 The Basic Commands

The Basic commands form is used for fundamental settings like number of refinement cycles and also for basic options of the refinement listing.

The number of cycles, F_oF_c *table and main features of the listing*

The maximal number of refinement cycles is 18. Before the first cycle and after the last cycle *REFINE* can print to the listing either a list of all reflections or nonmatching reflections. The criterion for "not matching" reflections can be set in the Select reflections form (see page [237\)](#page-235-0). During the last cycle *REFINE* can create list of F_o and F_c (m83).

The number of refinement cycles is limited to 18 because the number of temporary files used for creation of the refinement listing depends on the number of refinement cycles. However, the refinement run can be repeated several times as defined by the repeating factor. The repeating factor 0 or 1 means that there is no refinement repeat and that refinement ends after the last cycle. Otherwise the whole process repeated and the output files such as s40, m80 and ref. are overwritten.

Refinement keys and symmetry restrictions

The automatic refinement keys and automatic symmetry restrictions are explained in page [223.](#page-222-0)

The minimised function

The minimised functions for the refinement based on F_{obs} and F_{obs}^2 are given in page [221.](#page-220-0)

The damping factor

REFINE calculates and applies the changes of refined parameters after each refinement cycle. The damping factor multiplies the changes by a factor given by the user before their application.

If the refinement converges well the damping factor should be 1. In case of oscillating refinement it should be $\frac{1}{2}$ or less. The oscillating refinement can be usually recognised from the last line of the screen output (see page [228\)](#page-227-0): if the values of change/e.s.d. of the same parameter and the same atom are positive and negative in successive refinement cycles and their absolute value don't fall down. The values maximum and average of change/e.s.d. are not reduced by the damping factor and therefore you cannot reach satisfactory values only by selecting of "proper" damping factor.

 Another example where the damping factor should be used is transformation of the space group to a lower one. At the beginning stage the parameters of the expanded structure are too close to the former higher symmetric ones. Therefore it is necessary to break down the symmetry by changing of some parameters (usually coordinates) but nevertheless the initial changes may be unrealistic due to strong non-linear character of such refinement and the reasonable damping factor for several first cycles is about 0.1 or less.

 List of reflections in the refinement listing

The user can choose if the refinement listing will contain list of reflections. The list can be printed after the first or the last cycle and it can be the full list or only list of non-matching reflections. A non-matching reflection fulfils the equation $w_F |F_o - F_c| > u$ or $\sqrt{w_{F^2} |F_o^2 - F_c^2} > u$ for the refinement based on F^2 , where *u* is defined by the user. The user limit can be changed *via* the Select reflections form (page [238\)](#page-237-0).

The list of reflections contains

- indices, F_0 , F_c , real(A) and the imaginary(B) part of the structure factor or indices, F_0 , F_c , F_{c1} , F_{c2} for twinned structures. The last two items are cotributions of the first and second twin domain to the structure factor.
- the difference F_o-F_c and $\sigma(F_o)$
- the weighted difference, which is defined as $\sqrt{w_F F_o F_c}$ for the refinement based on F and $\sqrt{w_{F^2}} \Big| F_o^2 - F_c^2 \Big|$ for the refinement based on F².
- number of reflection (nref) in m91
- $\sin \frac{\theta}{\lambda}$
-
- The flag $(\#)$ indicating non-matching reflections
- The flag (*) indicating unobserved reflections
- Number of the scale factor (iq). The scale factors can be assigned for different data sets (see page [74\)](#page-73-0), for twin domains (see page [75\)](#page-74-0) or for groups of reflections (see the Dontuse command, page [238\)](#page-237-0) .
- Extinction correction

Figure 130 FoFc List in the Refinement Listing

				Fo/Fc list after last cvcle											
h	k		m	Fo	Fc	A	в	Fo-Fc	sig(Fo)	sq(wdFa)	mef		sinthl	iα	ext
$0 - 10$		0	0	11,1041	12.6891	-2.5916	-0.2236	-1.5850	0.2510	-6.3140	1	#	0.95327	1	0.99995
$0 - 10$		0	4	6.3325	7.4273	1.5224	0.0206	-1.0948	0.1628	-6.7234	5.	#	0.96099		1 0.99998
$2 - 10$		$\mathbf{0}$	-2	1.7321	0.3126	0.0102	-0.0633	1.4195	0.2316	6.1293	8	#	0.95831	1.	1,00000
$2 - 10$		0	-1	3.5777	4.3034	0.7815	0.4093	-0.7257	0.1852	-3.9188	9	#	0.95873		1 0.99999
$2 - 10$		Ω	1	1.9235	1.2077	0.2079	0.1345	0.7159	0.2347	3.0496	11	#	0.96246	1	1,00000
$2 - 10$		0	2	1,8708	3.6249	0.7422	0.0368	-1.7541	0.2413	-7.2705	12	#	0.96575		1 1,00000
$2 - 10$		0	3	2.5495	1.3884	0.2572	-0.1219	1.1611	0.2172	5.3452	13	#	0.96999		1 1,00000
$2 - 10$		$\mathbf{0}$	4	1.6733	0.6879	0.1208	0.0727	0.9854	0.2694	3.6571	14	#	0.97516	1.	1,00000
$4 - 10$		$\mathbf{0}$	-4	2.5690	1.6557	-0.3253	0.0969	0.9133	0.1963	4.6524	15	#	0.97326		1 1,00000
$4 - 10$		$\mathbf{0}$	-2	1.9494	0.5227	-0.0207	-0.1051	1.4267	0.2317	6.1584	17	#	0.97492		1 1,00000
$4 - 10$		Ω	4	2,5100	1.5820	-0.3103	0.0944	0.9280	0.2008	4.6219	23	#	1,00227	1.	1,00000
$6 - 10$		$\mathbf{0}$	-4	2.3664	1.4940	0.2996	0.0636	0.8724	0.2336	3.7344	24	#	0.99919		1 1,00000

R statistics

The R statistics shows the R factors for reflections distributed to approximately equally populated groups of $\sin\theta/\lambda$ or F. The intervals of $\sin\theta/\lambda$ and F for the groups are calculated by *PRELIM* during Creation of the refinement reflection file.

Information for each group of reflections in the listing:

- number + number of reflections with positive F_o-F_c
- number number of reflections with negative F_0-F_c
- together the total number of reflections in a group
- average wdFq average value of $w_F |F_o F_c|$ or $w_{F^2} |F_o^2 F_c^2|$. It is closely related to goodness of fit (see equation *[E62](#page-221-0)* page [222\)](#page-221-0).
- numerator + sum of the positive differences $|F_0|$ - $|F_c|$
- numerator sum of the negative differences $|F_0|$ - $|F_c|$
- together sum of the differences $|F_0-F_c|$
- denominator sum of $|F_0|$

$\sum \left\|F_o\right\|$ – σ \vert \vert \vert \vert σ $F_o|-|F_o|$

• R factor R factor $\frac{hk}{\sum_{i=1}^{n}h_i}$ *hkl o hkl F* for the group. It is always calculated

from F_0 and F_c regardless if the refinement is based on F or F^2

Figure 131 R Statistics in the Refinement Listing

Statistics as a function of sin(th)/lambda and structure factors after last cycle sin(th)/lambda

Correlations

The elements of the inverse matrix can be used as a measure of the interdependence of refined parameters. The quantity called *correlation coefficient* is defined by the following equation:

$$
\delta_{ij} = \frac{b_{ij}}{\sqrt{b_{ii}}\sqrt{b_{jj}}},
$$

where b_{ij} are elements of the inverse normal matrix.

The correlation coefficients can range from 0 to 1, the latter indicating completely dependent parameters. Correlation coefficients of standard structures are usually less than 0.2, but they can be much larger if, for example, some atomic positions are near to a special symmetric position (disordered structures) or if the structure has symmetry which is close to some supergroup.. The modulated structures can be understand as a perturbation of the regular structure and therefore it has necessarily larger correlation coefficients than standard structures. By our experience the values less than 0.9 are still acceptable for modulated structures.

Large correlations slow down the refinement and may cause an oscillation. For oscillating refinement the damping factor about 0.5 or less is necessary. The following example shows the typical output of a strongly correlated refinement. This output is located at the very end of the refinement listing.

Name	Syntax and Description
cycles	cycles number Default value: cycle 1 Number of the refinement cycles. Can be changed interactively during the
	refinement (see page 227). If $number = 0$, <i>REFINE</i> calculates directly the last cycle without any change of refined parameters.
damp	damp number
	Default value: damp 1 The damping factor multiplies the changes of refined parameters before
	their application after the refinement cycle. It can be changed interactively
repeat	during the refinement (see page 227). repeat number
	Default value: repeat 1
	The refinement run will be performed number times.
fofc	fofc f laq Default value: fofc 0
	Key for creating of the $F_{o} - F_{c}$ table for publication. The table is written to
	m93.
	$flag = 0$ off
autkeys	$flag = 1 on$ autkeys flag
	Default value: autkeys 1
	Key for the automatic initialization of all refinement keys. See §3.3.3, page
	223 for details.
	$flag = 0$ off $flag = 1$ on
autspec	autspec flag
	Default value: autspec 1
	Key for automatic application of the symmetry restrictions to the refinement keys and initial values of refined parameters. See §3.3.3, page 223 for
	details.
	off $_{\rm flag}$ = 0
	$flag = 1$ on
fsquare	fsquare number Default value: fsquare 0
	$flag = 0$ the refinement will be carried out on F_0
	$f \log 1$ the refinement on F_0^2
	See §3.3.1, page 221 for details.
print	print flag Default value: print -2
	Selects which reflections will be printed to the listing and when they will be
	printed. The selection is based on the limit for "non-matching reflections"
	$(limit)$ and on the reflection weight (w) . Limit can be modified by command badref - see §3.3.6, page 237, weight can be modified by
	command weight - see §3.3.7, page 241.

Table 15 The Basic Commands of REFINE in the m50 File

3.3.6 Modifying of the input reflection set

In this part the user makes decision which reflections will be used in the refinement, assigns scale factors for groups of reflections and sets the "non-matching" criterion. All these settings work both for standard and modulated structures. The selection of reflections based on satellite indices or number of composite parts is special for modulated structures and is described in page 253.

Selecting reflections

The decision about reflections used for the refinement can be made by several ways. The exclusion of unobserved reflections suppresses influence of weak reflections. The exclusion of non-matching reflections suppresses influence of the reflections that are not properly described by the structure model. This option should be used only in well-founded cases or as a tool for finding some regularities between notmatching reflections. The exclusion of high angle reflections by setting of the upper $\sin(\theta)/\lambda$ limit suppresses anharmonic effects and can be useful in initial stages of the refinement. The exclusion of the reflection *via* the user flag in m91 is useful if only several reflections are to be excluded from the refinement. These are usually reflections having improper profiles or other indication that their intensity may be wrong. The last possibility is to exclude from the refinement a group defined by a condition on indices – see Dontuse command.

The limit for non-matching reflections influences also the refinement listing – see page 233.

The Dontuse command

The Dontuse command selects a group of the reflections, which will not be used in the refinement, on the basis of the Dontuse and Except conditions for the indices of the group. Here are the syntax rules for groups and conditions:

- The group is defined by hkl[mnp] letters. They can be replaced by zero but this is the only allowed change (i.e. h00 is allowed but hh0 causes the syntactic error).
- The left side of the Dontuse and Except conditions contains indices hkl[mnp] and integer numbers.
- The right side of the Dontuse and Except conditions contains integer numbers and the letter n as a symbol for arbitrary integer number. The indices cannot be in the right side.

Figure 133 The Dontuse Command Form

 The Scale command

The Scale command assigns a scale factor to a specified group of reflections. Together with this assignment the user should add the corresponding non-zero scale factor to m40 (see page [108](#page-107-0) for details about scale parameters). The rules for the definition of groups and conditions are the same like with the Dontuse command.

Figure 134 The Scale Command Form

Table 16 The Select Reflections Commands of REFINE in the m50 File

3.3.7 Weighting scheme

Figure 135 The Weighting Scheme Form

REFINE uses three types of weighting schemes. In the first one the *weight is based on sigma* - see equation [E57,](#page-220-0) page [221.](#page-220-0) The coefficient u in the equation $E57$ is the the instability factor, which can be set by the user. The instability factor should be used as instrument constant and its value should not be changed for structures measured on the same diffractometer under the same conditions. The typical value of *u* is about 0.01. The coefficient is multiplied by 100 before it is saved to m50.

Another weighting scheme uses *unit weights*. It is useful in cases when the sigmas and not known or wrong.

The last one – the **Cruickshank's weight**, see equation E63, had been used for photographic data but it can be also used in cases when the sigmas and not known or wrong.

$$
w = \left(2F_{o,\min} + F_o + \frac{2F_o^2}{F_{o,\max}}\right)^{-1}
$$
 E63

3.3.8 Extinction correction

The underlying theory for extinction correction and explanation of extinction parameters in m40 is given in page [111.](#page-110-0) The Extinction form is used for definition of the extinction type. The corresponding changes of m40 (i.e. setting of refinement keys and initial values¹) is done automatically by *REFINE* before starting the refinement.

The radius is used for only for the case when \bar{t} is not recorded in the m91 file and when the equation *[E13](#page-113-0)* is to be used.

JANA98 works with the following types of the secondary extinction:

- Isotropic Type I, Gaussian distribution.
- Isotropic Type I, Lorentzian distribution.
- Isotropic Type II
- Isotropic mixed types, Gaussian distribution.
- Isotropic mixed types, Lorentzian distribution.
- Anisotropic Type I, Gaussian distribution.
- Anisotropic Type I, Lorentzian distribution.
- Anisotropic Type II

 \overline{a}

The anisotropic extinction has not been completely finished and its use should be first consulted with the authors.

¹ The extinction parameters of type 1 and type 2 are written in different lines of m40. Therefore, after change of the distribution type, the old parameters in m40 must be deleted and replaced by zeroes. In *JANA98* this is done automatically in the autkeys mode. Without autkeys mode the parameters are changed but the refinement keys are not.

iext	iext flag Default value: jext 0	
	Key of the extinction correction	
		$flag = 0$ no extinction correction
		$flag = 1$ isotropic extinction correction
		$flag = 2$ anisotropic extinction correction
itype	itype flag	
	Default value: itype 1	
	The type of the extinction correction	
	$flag = 1$ extinction type I	
	$flag = 2$	extinction type II
		$flag = 3$ general case (simultaneous refinement of both I and II)
idistr	idistr flag	
	Default value: idistr 1	
		Key of the statistical distribution of the mosaic domains
	$flag = 1$	Gaussian distribution
	$flag = 2$	Lorentzian distribution
radius	radius number	
	Default value: radius 0.01	
		The radius of a spherical sample in cm

Table 18 The Extinction Commands of REFINE in the m50 File

3.3.9 User constraints

JANA98 uses three types of user constraints:

- *Fixed command* fixes some parameters to the values they currently have in m40.
- *Restriction command* defines that some parameters of a given group of atoms are identical or complementary.
- *Equation command* is used for setting of linear equations between parameters.

The user constraints are set in the following order 1 .

- 1. Equations defined by user
- 2. Equations defined following from the site symmetry
- 3. Restrictions

The Fixed commands are used just once in every refinement run during automatic set key procedure. In case that the autkyes is set to zero the fixed commands are ineffective.

The Fixed command

 \overline{a}

The Fixed command fixes parameters of one or more atoms to values they currently have in m40. The following figure shows its user interface. Very simple example of the Fixed command is fixing the whole structure except scale parameters - this is done by choosing "All parameters" and pressing "*" in the text box of the Fixed command form.

Figure 137 The Fixed Commands Form

¹ The Restriction command generates in m50 one or more commads with the keyword **restric**. Similarly the Equation command generates lines with the keyword **equation**. The order of these lines in m50 is not important for the setting order of refinement keys, i.e. the Equation command has higher priority regardless of its position in m50.

The options *All parameters, Coordinates, Temperature parameters and Modulation parameters* are used for a group of atoms. The group has to be defined in the textbox by list of names separated by one or more spaces. Wildcards are permitted.

The options *Origin* is used for fixing of the three dimensional origin in case when needed. Usually there is no need to use this option because *REFINE* makes it automatically by fixing the relevant coordinates of the heaviest atom in the structure. Sometimes (for instance if the position of the heaviest atom is not fully occupied) the atom used for the origin fixing should be defined by fixed command. The textbox should contain the name of the atom used for the origin fixing.

The options *X4 axis* is used for fixing of the forth coordinate of the origin in case when needed. The fixing is necessary if the sign of $x4$ is positive for all symmetry operators it is done by fixing one modulation parameter of some atom. The textbox should therefore contain the name of this parameter followed by the name of the relevant atom in the square brackets, for example xsin1[C12].

REFINE never fixes x4 automatically because the selection may be ambiguous, but it tests whether x4 needs to be fixed and warns the user if it is necessary. The fixed parameter has to be sufficiently modulated but it can be hard to say which one it is at the beginning stage of the refinement. The possible strategy is to fix some parameter, run several cycles of refinement and look if there is some better choice. The usual way is to fix by this command xcos1 (or ycos1, or zcos1) of one atom and set its value to zero.

For *five and six dimensional structures* the origin fixing in x5 and x6 direction has to be done by Equation command.

Individual is an option for fixing single parameters. The textbox should contain a list of parameter names with relevant atom names in the square brackets. Wildcards for atom names are permitted but they are forbidden for parameter names. For example $x\sin 1$ [na*] means that the parameter $x\sin 1$ will be fixed for all atom names starting "na", while xsin* [na] is not allowed.

The Restriction command

 \overline{a}

The Restriction command defines that some parameters of a given group of atoms are identical or complementary. The priority of the Restriction command is lower than the one of the Equation command¹.

¹ The Restriction command generates in m50 one or more commads with the keyword **restric**. Similarly the Equation command generates lines with the keyword **equation**. The order of these lines in m50 is not important for the setting order of refinement keys, i.e. the Equation command has higher priority regardless of its position in m50.

Figure 138 The Restriction Form

The restrictions selected in the form are defined for one or more atoms given in the textbox. The first atom of the group is used as a representative one for refining of equal or complementary parameters and therefore the relevant refinement keys of all other atoms are set to zero. The wildcards in atom names are permitted.

The parameters describing occupancy modulation of atoms can be set to have constant occupancy for each t. This allows so called substitutional modulation.

The Equation command

 \overline{a}

The Equation command is used for setting of linear equations between parameters¹.

The user can define two types of equations:

Internal equations define relations between parameters of one atom or molecule. In the example given in [Figure 139](#page-247-0) the equation " $x = y$ " is applied first for coordinates of atom Fe1 and then for coordinates of atom Fe2. The Equation textbox should contain a linear equation for parameters without atom reference, i.e. *without* atom names in the square brackets.

Inter atomic/molecular equations can be used for relations between parameters of different atoms/molecules and/or other parameters. They are activated if the Single atom checkbox is cleared. In case of atomic or molecular parameters the Equation textbox should contain a linear equation for parameters *with* atom/molecule reference, i.e. with atom/molecule name in square brackets. The wildcards in atom names are *not* possible. In the example given in [Figure 140](#page-247-0) the x coordinate of atom Fe1 and Fe2 are equal.

¹ Parameters used in an equation can be part of a restriction or fixed command.

Figure 139 The Equation Form with an internal equation

Figure 140 The Equation form with an inter atomic/molecular equation.

Syntax rules for structure parameters

The basic element of expressions used in user constraints is p[A] (meaning: parameter p of atom A), where p is one from parameter names from [Table 10,](#page-97-0) page [98,](#page-97-0) and A is the relevant atom. The name of atom can contain an identifier of molecular or symmetry position (see page [27\)](#page-26-0). The wildcards in atom names are usually allowed (except logical cases, like origin fixing by the Fixed command, and except the interatomic equations).

Instead of A we can sometimes use M#n, where M is name of a molecule and n is the sequence number of the molecular position in m40. M#n therefore define a group consisting of all atoms of the model molecule in the n-th molecular position.

Tip: if you are not sure with influence of a user constraint you can set the constraint, start *REFINE*, break it immediately by Break button and check the refinement keys in m40 and the refine listing where all equations are listed. In case the equation was not fulfilled the listing contains a warning.

The following table shows several examples of user constraints. The are given for a hypothetical structure consisting of

A1, A2, A3 individual atoms,

B1, B2, B3, B4 atoms in the model molecule M1 and

C1, C2, C3, C4 atoms in the model molecule M2.

Each molecule has several positions.

Command	Options	Textbox	Notes
fixed	origin	A2	The origin in 3 dimensions will be fixed by fixing atom A2. Refine automatically fixes only the coordinates of A2 which must be fixed.
fixed	x4 axis	xcos1[A2]	xcos component of the first positional modulation wave of A2 will be used for fixing of the origin in the superspace.
fixed	coordinates	B^*	Fixes coordinates of model molecule M1.
fixed	modulation parameters	M1	Fixes molecular modulation parameters calculated for the first position of molecule M1.
fixed	modulation parameters	M1#1	The same as previous example.
fixed	modulation parameters	M1#2 M1#3	Fixes molecular modulation parameters calculated for the 2 nd and 3 rd position of molecule M1.
fixed	modulation parameters		
equation	for atoms M1#1 M2#1	$S23 = 0$	Fixes to zero the S23 component of the TLS tensor of the 1 st position of molecules M1, M2.

Table 19 Examples of User Constraints

Table 20 The Constraints Commands of REFINE in the m50 File

fixed	fixed flag at 1 at 2					
		fixed parameter[at1] parameter[at2]				
	Default value: none					
		Key for fixing groups of parameters for atoms at1, at2 The				
		corresponding refinement keys of these atoms are set to zero which causes				
	the selected parameters not to be refined.					
		This command doesn't work if the automatic setting of the refinement keys				
	is disabled by autkeys 0 command.					
	The wildcards in atom names are permitted.					
	$flag = xyz$	positional parameters				
	$flag = all$	all parameters				
	$flag = beta1$	thermal parameters				
	flag = u	thermal parameters				
	$flag = mod$ modulation parameters					
	$flag = pol$	the origin will be fixed by atom at 1 (Example: fixed pol C12)				
	$flag = x4$	fixing of the origin in the x4 direction. The name of				
		the atom is followed by the name of the fixed parameter.				
		(Example: fixed x4 C12 xsin1 the origin in the x4 direction will				
		be fixed by means of the xsin1 parameter of atom				
		C ₁₂				
	parameter[at]	is a name of a parameter [of atom at]				
	Examples:					
	fixed mod $C1$ $C2$ $b*$	fixes modulation of atoms C1, C2 and all atoms				
		with names from B				
	fixed all $*$	fixes all parameters for all atoms				
	$fixed$ $scale6 \times [Sn1]$ $UI2$ $[Sn3]$	fixes the 6th scale factor, x				
		coordinate of the atom Sn1 and the U12				
		temperature parameter of the atom Sn3.				

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¹ The names Beta or U can be used regardless of the temperature parameters type currently used in m40.

[Table 20](#page-249-0) (Continued)

restric	restric at 1 flag at 2 at 3 \ldots
	Default value: none Sets restrictions on coordinates, thermal, modulation and occupancy
	parameters for a group of atoms from m40. The type of restriction is defined
	by flag. The restricted parameters are refined only for atom at 1 and kept
	the same for at2, at3 If at1 is missing, the restricted parameters are
	refined for the first atom from the list on the right.
	The wildcards in atom names are permitted.
	In some cases flag can be negative, which means that the restriction is
	defined for two substitutionally modulated atoms. The occupation
	modulation waves of such atoms are complementary and the sum of
	occupancies at each t is constant.
	$flag = 4$ occupational sum
	The sum of occupancies in the group is constant.
	$flag = -4$ complementary occupational waves (only for atom pairs) $o[at1] + o[at2]$ is constant
	$osin1[at2] = -osin1[at1]$
	$osin16 [at2] = -osin16 [at1]$
	$flag = 13$ thermal parameters The thermal parameters in the group are equal.
	occupational sum + thermal parameters $flag = 3$
	The sum of occupancies in the group is constant. The thermal
	parameters in the group are equal.
	complementary occupational waves + thermal flaq = -3
	parameters (only for pair of atoms)
	Two atoms have complementary modulation waves and equal thermal parameters.
	thermal and modulation parameters $flag = 12$
	The thermal and modulation parameters in the group are equal.
	+ thermal and modulation occupational sum $flag = 2$
	parameters
	Sum of occupancies in the group is constant; thermal and modulation parameters are equal.
	$flag = -2$ complementary occupational waves + thermal and
	modulation parameters
	(only for pairs of atoms)
	Two atoms have complementary modulation waves and equal
	thermal and modulation parameters.
	positional, thermal and modulation parameters $flag = 11$ Positional, thermal and modulation parameters in the group are
	equal.
	occupational sum + positional, thermal and modulation $flag = 1$
	parameters
	Sum of the occupancies in the group is constant; positional,
	thermal and modulation parameters in the group are equal.

3.3.10 Modulation commands

The Modulation commands are used for user options applicable only to modulated or composite structures. The following figure shows the user interface.

Figure 141 The Modulation Commands Form

The first part of the form is used for choosing the calculation method for structure factors. The numerical Gaussian and FFT method work well in most cases and with the default grid 32 combine good accuracy with reasonable speed of the refinement. The analytical Bessel method is fast and accurate for one or two harmonic waves but the calculation time grows rapidly for more complex modulations. However for 5 and 6 dimensional structures the numerical methods are not implemented and the Bessel functions are the only possibility¹.

In the second part of the form there are selection rules for reflections that will be used in the refinement. The options for the composites are activated only for composite structures. In the autkeys mode *REFINE* sets refinement keys according to selected reflections - see page [224](#page-223-0) for details.

If we select for the refinement all reflections including satellites but we do not refine any modulation parameters the contributions of calculated structure factors to satellite reflections will be zero and the relevant R values for satellites will be 100%. The refinement runs well, but selecting of Main instead of All will speed up the calculation. This is the way how to refine the basic and modulated structure with the same reflection file. For large data sets, however, the skipping of satellites may be time consuming.

¹ For this reason modulation of ADP parameters is not available for 5 and 6 dimensional structures.

The last point in the form concerns the overlapping of satellite reflections for cases when the structure is almost commensurate. In such cases the program combines intensities of closed satellites as for twinned structure.

method	method flag									
	Default value: method 1									
	Key for selection of the structure factors calculation method									
	Bessel functions $flag = 0$									
	$flag = 1$ Gaussian method									
	$flag = 2$ Fast Fourier transform method									
grid	grid number									
	Default value: grid 32									
	The grid for the Gaussian method (see the command method)									
diff	diff number									
	Default value: diff 0.00001									
	The accuracy of calculation of Bessel functions (see the command									
	method)									
selsat	selsat flag									
	Default value: selsat -2									
	Selection key for including satellite reflections into the refinement.									
	$flag = -2$ all reflections will be included									
	$flag = -1$ only satellite reflections will be included									
	$flag = 0$ only main reflections will be included									
	only reflections with the satellite index $ m =n$ will be $flag = n$ included									
selcomp										
	selcomp flag									
	Default value: selcomp 0 Key for the selection of reflections for composite structures									
	selects all reflections $flag = 0$									
	selects reflections of the 1 st composite									
	$flag = 1$ selects reflections of the 2 nd composite $flag = 2$									
	selects reflections of the 3 rd composite flag = 3									
	selects reflections common to the 1 st and 2 nd composite flag = 4									
	selects reflections common to the 1 st and 3 rd composite $flag = 5$									
	selects reflections common to the 2 nd and 3 rd composite $flag = 6$									
	selects reflections common to all three composite parts flag = 7									
overlap	overlap flag									
	Default value: none									
	Key for testing of overlaps in the "almost" commensurate structures. (see									
	page 258 for the explanation)									

Table 21 The Modulation Commands of REFINE in the m50 File

3.3.11 Twins and overlaps

This paragraph describes the way *REFINE* treats twinning. Another information concerning twins can be found in the chapter about *PRELIM* (page [67\)](#page-66-0).

 The simplest case are completely overlapped twins which can be refined using standard tools. If the twins are partially overlapped their refinement requires usage of special commands developed only for data from diffractometers with a classical point detector. The commands are rarely used and are not included in the SetCommands interface. They have to be written directly to m50 by a text editor.

Main assumptions

A twinned crystal fulfils the following rules:

- it is composed from several identical domains
- each domain is a 3 dimensional crystal
- the domains are diffracting independently and their diffracted intensities are additive
- effects of boundaries are negligible

Overlapping of twin lattices

The lattice vectors of the domains forming a twinned crystal are related by a set of twinning operators $\{\hat{E} \equiv \hat{T}_1, \hat{T}_2, \cdots \hat{T}_n\}$:

$$
\begin{array}{l}\n\left\{a_1^*,a_2^*,a_3^*\right\}\xrightarrow{\hat{E}}\left\{a_1^*,a_2^*,a_3^*\right\} \\
\left\{a_1^*,a_2^*,a_3^*\right\}\xrightarrow{\hat{T}_2}\left\{\hat{T}_2a_1^*,\hat{T}_2a_2^*,\hat{T}_2a_3^*\right\}\n\end{array}
$$

$$
\left\{ \!{\mathbf{a}}_1^*,\mathbf{a}_2^*,\mathbf{a}_3^* \right\} \!\!\! \stackrel{\hat{T}_n}{\longrightarrow} \!\! \left\{ \!\hat{T}_n \mathbf{a}_1^*,\hat{T}_n \mathbf{a}_2^*,\hat{T}_n \mathbf{a}_3^* \right\}
$$

...............

The number *m* of independent vectors resulting from the transformations is important for classification of twins. The independent vectors define a common twin lattice. The twins can be divided to three basic groups:

- Completely overlapped twins having m=3
- Twins without overlapping¹ having m=3n
- Twins with partial overlapping having m between 3 and 3n.

complete overlapping ←3 ≤ *m* ≤ 3*n*→ *no overlapping*

¹ This case can be refined as a standard single crystal

Classification of twins based on their twinning matrices

The representation of a twin operator is a twinning matrix¹. Its type is important for the refinement as it implicitly defines which reflections of single twin domains overlaps. The twins can have three possible types of the twin matrices:

- Twins with integer twin matrix
- Twins with rational twin matrix²
- Twins with irrational twin matrix

In the case of integer twin matrices the reflections of single twin domains fully overlaps and cannot be distinguished. The twins with rational twin matrices usually contain groups of fully overlapped and fully separated reflections. Partially overlapped reflections are also possible if the denominators of some numbers in the matrices are large. The twins with irrational twin matrices contain with high probability partially overlapped reflections.

Refinement of completely overlapped twins

Refinement of completely overlapped twins requires only the information about number of twin domains and twin matrices which are entered by *PRELIM* during creation of the basic reflection file m50. The structure factor in the case of the complete overlapping is calculated as

$$
F^{2}(\mathbf{H}) = v_{1} F^{2}(\mathbf{H} \mathbf{T}_{1}) + v_{2} F^{2}(\mathbf{H} \mathbf{T}_{2}) + ... + v_{n} F^{2}(\mathbf{H} \mathbf{T}_{n})
$$

where v_i is a volume fraction of i-th domain and T_i is matrix representation of i-th twinning operator.

Refinement of partially overlapped twins

The reflections in the case of partially overlapped twins can be

• fully separated

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- fully overlapped
- partially overlapped.

The fully overlapped reflections of all domains can be indexed on the base of reciprocal axis of one of the domains. Separated reflections need special flag to specify to which domain they belong - the flag is entered in the Import tool of *PRELIM*.

¹ The twinning matrices in *JANA98* are defined with respect to the **row** indices.

² i.e. having diffraction pattern which can be described in a supercell

Refinement of twins having only fully separated or fully overlapping reflections

The basic assumption is that the twin does not contain any partially overlapped reflections. The flag of the checkran command (see [Table 22\)](#page-258-0) should be set to 0 in order to disable the test of random overlapping. For each reflection used in the refinement the expression

 $|h_{i1} - h_{ii}|$,

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where h_{i1} is the i'th index of the reflection in the first twin domain and h_{ij} , j >1, is the index of the same reflection transformed to the basis of the j'th domain, is evaluated to distinguish the two following cases:

- If $|h_{i1} h_{ii}| < l_i$, the reflection fully overlaps with the j'th one
- If $|h_{i1} h_{ij}| > l_i$, the reflections are fully separated.

The limiting value l_i is predefined as 0.01 and can be changed by the dfoftw command (see [Table 22\)](#page-258-0).

Note: by defining the l_i limits the decision can be made whether the reflections of a twin with non-integer twinning matrices will be considered to be fully or partially overlapped. If the l_i limits are set to such values that $|h_{i1} - h_{ij}|$ is always less than l_i all reflections of the twin are treated as fully overlapped.

Refinement of twins having partially overlapped reflections

The twins with random overlaps are refined with the checkran flag set to 1. In this case the twinning matrices defined in *PRELIM* cannot be used for the testing of the overlaps. The user should enter the orientation matrices¹ of the single twin domains to m50 file (see the checkran command, [Table 22\)](#page-258-0). For each the following steps are performed:

- the setting angles ϕ_1, χ_1, σ_1 and θ_1 are calculated from the corresponding orientation matrix
- the indices of the reflection are transformed to the basis of other twin domain through the twinning matrix and **rounded to the closest integer indices**.
- the setting angles $\phi_2 = \phi_1, \chi_2, \sigma_2$ and θ_2 are calculated for the transformed indices from the orientation matrix of the twin domain in question
- The differences $|\chi_1 \chi_2|$, $|\sigma_1 \sigma_2|$, $|\theta_1 \theta_2|$ are calculated and used for the test:

¹ The orientation matrices should correspond to the reciprocal indices defined as **column** vectors. This is a difference from the definition of twinning matrices in *PRELIM* which are defined with respect to the **row** indices.

Overlapped reflection: $|\chi_1-\chi_2|$ < chidif1 and $|\sigma_1-\sigma_2|$ < condif1 and $|\theta_1-\theta_2|$ < thdif1

Discarded reflection:

 $|\chi_1-\chi_2|\in\leq\text{chidif1},\text{chidif2> or }|\sigma_1-\sigma_2|\in\leq\text{omdiff1},\text{omdif2}>\text{or}$ $|\theta_1 - \theta_2| \in \leq \text{thdiff1}, \text{thdiff2} >$

Separated reflection: $|\chi_1 - \chi_2|$ > chidif1 and $|\sigma_1 - \sigma_2|$ > and $|\theta_1 - \theta_2|$ > thdif1

The predefined limiting values in degrees

can be changed by means of the command chidif, thdif and omdif. The values for chidif correspond to $\theta = 10^{\circ}$. The testing for other values of θ takes into account the broadening of χ profiles.

Note: **the testing for random overlaps can be only used for data measured by the equi-inclination method**. In other case you should contact the authors.

Refinement of "almost" commensurate structures

The twinning is not the only source of overlaps. Another type of overlapping occurs in incommensurate structures with **q** vector near to the commensurate one. If the satellites have significant intensity up to high order there is probability of overlapping between the satellites of two neighbouring main reflections or between the satellites and main reflections.

The overlapping command defines the denominator of the closed fraction. For example if the modulation vector is (0.123,0.252) the overlap command can have the following form:

overlap 8

causing the program will combine the intensity of the reflection (h,k,l,m) with the intensity of one reflections $(h-1,k,l-2,m+8)$ or $(h+1,k,l+2,m-8)$ having lower satellite index.

checkran	checkran number (number of orientation matrices)
	r11 r12 r13
	r21 r22 r23
	r31 r32 r33
	Default value: checkran 0
	Command for the checking of overlaps.
dfoftw	(Ndim values) dfoftw number
	dfoftw number
	$dfoftw$ 0.01 (Ndim values)
	dfoftw 0.01
	Key for testing of the overlaps for meroedric or rational twins.
omdif	omdif number1 mmber ₂
thdif	thdif mumber1 mmber2
chidif	chidif mumber1 mumber2
	Default value: omdif 0.1 0.5
	thdif 0.1 0.5
	chidif 0.5 5.0
	The limits in degrees for the testing of random overlaps.

Table 22 The Overlap Commands of REFINE in the m50 File

3.3.12 Refinement listing

REFINE creates listing ref containing information about refinement. It can be viewed and printed by Listing viewer (see page [41\)](#page-40-0). The listing is built at the end of the refinement from temporary files. If the refinement is interrupted by Break button the listing is incomplete.

Figure 142 [The refinement listing](#page-259-0) (Continued)

The F_o F_c list (see page R-24 for details)

The R statistics (see page R-24 for details)

Statistics as a function of sin(th)/lambda and structure factors after last cycle sin(th)/lambda

Figure 142 [The refinement listing](#page-259-0) (Continued)

Figure 142 [The refinement listing](#page-259-0) (Continued)

There were no correlations larger than 0.900 in last refinement cycle Program started at 12:51:58 ended at 12:53:59 cpu time : 1.47

Final information

List of the correlations (see page R-26 for details)

3.4 Program *FOURIER*

Input: m40,m50,m80,[m81] **Output:** m81, m47, m48, fou **M50 control keywords:** the section between the keywords fourier and end

Figure 143 The basic window of FOURIER

3.4.1 Description of *FOURIER*

FOURIER performs a Fourier synthesis of coefficients defined at points of the (3+d) dimensional reciprocal lattice. These coefficients are complex numbers usually expressed as amplitudes and phases. The resulting map is a (3+d) dimensional periodic function in the direct space and its character depends on the used coefficients (Patterson, Fourier based on Fobs, etc).

The maps are stored as two-dimensional sections through the chosen $(3+d)$ dimensional parallelepiped with edges along (3+d) basic vectors $A_1, A_2, ..., A_{3+d}$. Its volume is defined by the scope parameters (see [§0,](#page-272-0) page [273\)](#page-272-0). The map orientation makes possible to define two-dimensional sections and the order in which they are stored. The two basic vectors defining the section will be called "section basic vectors" and the remaining ones "storing basic vectors". The corresponding coordinates will be called in analogy "section coordinates" and "storing coordinates". Each section is a function of 1+d storing coordinates. This choice does not have substantial meaning for regular structures but it plays important role in interpretation during the peak search and map visualisation.

FOURIER is usually used together with *CONTOUR*, which visualises the Fourier maps. Some examples of the *FOURIER* usage are therefore showed also in the chapter containing the description of the *CONTOUR* program (see §[4.1,](#page-290-0)page [291\)](#page-290-0).

The FOURIER run step by step

- *FOURIER* reads the input files m40, m50 and m80. The *Fourier reflection file* m80 contains the phase information so it is therefore necessary for every type of the map except the Patterson¹ map. It must be created² by *REFINE* before running *FOURIER*.
- Then the program interprets the control commands prepared by the user *via* the SetCommands tool. The two dimensional sections by 3+d dimensional map are stored in the m81 file in the order defined by the orientation of the map.
- The calculated maps are searched for local minima and maxima. In the case of the modulated structure *FOURIER* tries to assign modulation waves to the maxima. The maxima (together with the modulation parameters) and minima are stored in the m48 and m47 files, respectively. Both m47 and m48 files are readable by *EDITM40* and *DIST* programs.
- *FOURIER* writes the listing jobname.fou.

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¹ In former versions of *JANA98* it was necessary to run zero cycles refinement with empty m40 before calculation of the Patterson map. In this version it is no longer needed.

² *REFINE* creates m80 during calculation of the last refinement cycle. Zero cycles is sufficient for the calculation of m80. If *REFINE* is interrupted by Break button the m80 is not created.

3.4.2 Basic commands

The Basic commands form contains the input and output information . If the Fourier summation checkbox is ticked (and we don't want the Patterson type map) the input for *FOURIER* is m80 with the phasing information. If the Fourier summation checkbox is free but the Peak interpretation checkbox is ticked *FOURIER* interprets already existing maps and the input is m81. The peak interpretation is usually fast and the user doesn't need to change the default setting, which turns on both the Fourier summation and the peak interpretation.

Figure 144 FOURIER: The basic commands

The m80 created by *REFINE* is a binary file. *FOURIER* can also read m80 in the user defined ASCII form. This is meant like a support for those needing to interpret the results from electron microscopy or direct methods with *FOURIER*.

If the case of the composite structure the user selects which subsystem will be used for the Fourier calculation. All indices are then internally transformed to the chosen subsystem.

In the case of a twin the Fourier map is calculated for the first twin domain and therefore the observed structure factors must be corrected. *FOURIER* offers two method for doing it:

The difference method:

$$
F_{obs} = \sqrt{I_{obs} - \sum_{i=2,n} v_i F_{c,i}^2}, \qquad E64
$$

where n is number of twin domains and v_i is the volume fraction of the ith domain.

The fraction method:

$$
F_{obs}^{corr} = F_{obs} \frac{F_{calc1}}{F_{calc}}
$$
 E65

There is no general way, however, to correct completely for twinning. According to our experience the first method has advantage in stage when the Fourier program is used to localise new atoms and the second one should be preferred in the final stages of the structure determination.

In the following table there is the list of commands that may be stored in m50 after completing the SetCommands procedure. Only the commands different from the default setting are explicitly listed in m50.

Name	Syntax and Description						
cutting	cutting number Default value: no cutting The reflections with $ F_{obs} > F_{calc} ^*$ number will not be used for the calculation.						
calc	calc flag Default value: calc 1 If the f Lag is set to zero, $FOURER$ doesn't calculate the map assuming that it was already calculated. It can be used for searching the map for the local extremes without its calculation.						
method	method flag Default value: method 0 Selects the method for the calculation of the F_{obs} in the case of a twin. The Fourier map is calculated for the first twin domain. The observed structure factors must therefore corrected. Here are two ways how to do it: $flag = 0$ The difference method (equation E64, page 268). $flag = 1$ The fractional method (see equation $E65$, page 268)						
peaks	peaks flag Default value: peaks 1 If the flag is set to zero, FOURIER doesn't search the map for the local extremes.						
title	title title of this run						
snlmn	snimn min value Default value: snlmn 0 Sets the minimum value of $sin\theta/\lambda$ for the acceptance of the reflections for the calculation.						
snlmx	snlmx max value Default value: snlmn 10 Sets the maximal value of $sin\theta/\lambda$ for the acceptance of the reflections for the calculation.						
subsys	subsys number Default value: subsys 1 Selects the composite subsystem for which the Fourier map will be calculated.						

Table 23 The Basic Commands of FOURIER in the m50 file

 E66

3.4.3 Type of the Map

The Fourier syntheses is calculated according to the following equation:

$$
\sigma(\mathbf{r}) = \frac{1}{V} \sum_{\mathbf{H}} A(\mathbf{H}) \exp(i \varphi(\mathbf{H})) \exp(-2\pi i \mathbf{H} \cdot \mathbf{r}),
$$

where

 $A(\mathbf{H})$ are the coefficients of the syntheses and φ (**H**) are the phases. , 3 1 $\mathbf{H} = \sum h_i \mathbf{a}_i^*$ *d i i* $\sum_{k=1}^{+d} h_{\mathbf{n}}$ $=\sum_{i=1}$

The coefficients for various types of the Fourier synthesis are summarized in [Table](#page-270-0) 2[4.](#page-270-0) The user interface is shown in [Figure 145;](#page-270-0) the corresponding control commands written to m50 are explained in [Table 24.](#page-270-0)

In the case of the Patterson/Checking Patterson/difference Patterson syntheses the coefficients are mutlplied by the factor:

$$
\left(\frac{\sum_{i=1}^{n} Z_i}{\sum_{i=1}^{n} f_i \left(\sin \theta / \lambda\right)}\right)^2
$$
 E67

where Z_i is the atomic number and f_i is the atom form factor of the i-th atom,

to sharpen the map. Note that it has no effect for neutron data where the atom form factor is constatnt. The additional sharpening by the overall temperature factor:

$$
\exp\left(8\pi^2 U_{iso} \frac{\sin^2 \theta}{\lambda^2}\right) \qquad \text{or} \qquad \exp\left(B_{iso} \frac{\sin^2 \theta}{\lambda^2}\right) \qquad \qquad E68
$$

is optional.

This procedure helps to reduce the overlap caused by peak broadening.

Figure 145 FOURIER: The types of the maps

Table 24 The coefficients of the Fourier synthesis types available in FOURIER.

Synthesis type	A(H)						
Patterson	$F_{obs}^2(\mathbf{H})$						
Checking Patterson	$F_{calc}^{2}(\mathbf{H})$	O					
Difference Patterson	$F_{obs}^{2}(\mathbf{H}) - F_{calc}^{2}(\mathbf{H})$	$\mathbf{\Omega}$					
Fourier	$ F_{_{obs}}(\mathbf{H}) $	$\varphi_{\scriptscriptstyle calc}(\mathbf{H})$					
Checking Fourier	$ F_{calc}(\mathbf{H}) $	$\varphi_{\scriptscriptstyle calc}(\mathbf{H})$					
Difference Fourier	$\left\ F_{obs}(\mathbf{H})\right - \left F_{calc}(\mathbf{H})\right\ $	$\varphi_{\scriptscriptstyle{calc}}(\mathbf{H})$					
Alpha synthesis	$F_{obs}^2(\mathbf{H})F_{calc}$	$\varphi_{\scriptscriptstyle calc}(\mathbf{H})$					
Beta synthesis	$F_{obs}^2(\mathbf{H})/ F_{calc} $	$\varphi_{calc}(\mathbf{H})$					
Shape function	1 for all collected reflections						

Name	Syntax and Description								
maptype	maptype flag Default value: maptype 4 Type of the Fourier syntheses flag = 1 flag = 2 $flag = 3$ $flag = 4$ $flag = 5$ flag = 6 $flag = 7$ $flag = 8$	Patterson map $(F_{obs}^2 \text{ coefficients})$ Checking Patterson $(F^2_{calc}$ coefficients) Difference Patterson ($F_{obs}^2 - F_{calc}^2$ coefficients) Fourier map $(F_{obs}$ coefficients) Checking Fourier (F _{calc} coefficients) Difference Fourier (F_{obs} - F_{calc} coefficients) α -synthesis ($F_{obs}^2 F_{calc}$ coefficients) β -synthesis $(F^2_{obs}/F_{calc}$ coefficients)							
	$flag = 9$	Shape functions (The coefficients $= 1$)							
uiso	uiso mumber Default value: uiso 0 The additional temperature factor in U form used for sharpening of the Patterson syntheses								
biso	biso number Default value: biso 0 Patterson syntheses	The additional temperature factor in B form used for sharpening of the							

Table 25 The Maptype Commands of FOURIER in the m50 File

3.4.4 Scope and Orientation

 The section types in JANA98

This chapter is important only for modulated structures.

JANA98 distinguish three basic types of maps depending on way how the section basic vectors has been chosen. These section types allow visualising different aspects of the modulated structure. Two of them can be calculated directly by the FOURIER program and the remaining one can be extrapolated from the previous in the CONTOUR program. They can be understood from the following picture of the 3+d dimensional cell. Here **A**3 represents the first three (external) basic vectors of the 4-dimensional superspace, A_4 are the internal basic vectors, \mathbf{R}_3 represents the real space.

In the following explanation we shall speak about 4-dimensional Fourier synthesis and the internal coordinate will be sometimes denoted as x4. The work with 5- and 6-dimensional maps is analogous.

Figure 146 The Four Dimensional Elementary Cell

• *The* $A_3 - A_4$ *maps*

One of the section basic vector is from A_3 and the second one is A_4 . Such maps can show the modulation of a selected atom in particular direction directly in one section.

• *The* $A_3 - A_3$ *maps*

Both section basic vectors are from **A3**. The two dimensional sections of the map can show coordinations of atoms and their changes as a function of remaining two vectors including **A4**. The sections cannot show directly modulations as they are periodic. This is due the fact that they were calculated for constant internal coordinates. Moreover they are also deformed as $A_1 \neq a_1$. The real coordinations of atoms and their changes caused by modulation can be visualised by the sections of the real space R_3 .

• *The R₃ - R₃ maps*

In order to have a picture without deformation the section through \mathbf{R}_3 has to be performed. These maps are no longer periodic and they cannot be calculated by standard Fourier summation technique. On the other hand they can be extrapolated from *A*1-*A*4 maps. The section then depends on the *internal coordinate t*, which is the projection of the forth coordinate to the **A**₄ axis along **R**₃ direction - $t = x_4 - q \cdot r$. Therefore this type of maps is also called *t-maps*.

There are two basic way how to use such maps. First we can calculate a section covering several cells and calculated for one selected *t*. Such map can shows directly and without deformation part of the modulated structure. The second possibility is to calculate these maps for more *t* values from the interval $(0,1)$. Such maps will show behaviour of the selected part as a function of *t.* The main difference to the previous *A3 - A3* maps is that these section are no more deformed, i.e. they show real geometry in 3d space, at the cost of losing the periodicity.

Communication between FOURIER and CONTOUR

If *FOURIER* is used only to localise maxima and estimate new modulation parameters the *CONTOUR* program is not needed. On other hand the *CONTOUR* program allows to visualise the sections of the map and draw conclusions necessary to find more details concerning different aspects of the modulation. The following scheme shows the sharing of the work between *FOURIER* and *CONTOUR*.

Figure 147 Communication between FOURIER and CONTOUR

The Scope Form

With the Scope form the user can define the following parameters:

- Volume of the map in coordinates **A**³
- The interval and the step for the internal coordinate
- The step for the calculation of the map

The Scope of the map should be defined with respect to the desired orientation of the map and later operations in *CONTOUR*.

The *independent volume* is optimal if we calculate the map for the reason of the peak search or if we will later user *CONTOUR* for plotting the sections through the whole cell. If the map is calculated in the independent volume Contour has enough information for calculating general sections of arbitrary area and width.

Figure 148 The Fourier syntheses calculated in the independent volume

The *explicit setting* of the map volume is useful in the cases when some part of the map has to be examined in details. It usually requires small steps and the calculation of the whole independent volume could be excessively long.

Another reason for the explicit choice is if we need a section comprising more elementary cells, for instance $x1 \in \langle -0.25, 0.25 \rangle$; $x2 \in \langle -0.25, 0.25 \rangle$. Such section can be calculated by *CONTOUR* from the map in the independent volume like general section. If we use, however, the explicit setting of the map volume the section can be plotted directly without calculation of the general section.

The last reason for the explicit setting is if we need different steps along the single coordinates.

Figure 149 The Fourier synthesis calculated in explicitly defined volume

The calculation of the map *around the central point* is usually used if we need a **A**3-**A4** map showing the modulation of some atom. In the example below the La atom is chosen as the central point and the volume of the map is defined by *Scope* option along three coordinates in A_1 : $x1 \in \langle x1(La)-0.5, x1(La)+0.5 \rangle$; $x2 \in \langle x2(La)-0.5, x2(La)-0.5 \rangle$ $x2(La)+0.5>; x3 \in \langle x3(La)-2,x3(La)+2 \rangle$. The section orientation will be defined later *via* the Orientation form like x3-x4. The directions along x1 and x2 will be the width of the section and the map could be integrated along these directions by *CONTOUR*.

The central point can be defined by atom name or by three coordinates. If the atom used for the definition of the central point is a part of a molecule its position should be specified according to the conventions in § [1.2.6](#page-26-0) page [27\)](#page-26-0).

Figure 150 The Fourier synthesis calculated around a central point

The *length and the step of the additional coordinate* should be also defined with respect to the orientation of the map. In the case of A_3 - A_4 maps the length setting influences if we will have one or more periods of the modulation function in the plot. The step controls the precision of the function shape. For A_3 - A_3 sections we don't need more than one period in x4 and the step controls the number and distance of the successive layers.

The *step of the map in Å* is the calculation step used by *FOURIER*. The fine step - for instance 0.1 Å - causes smooth contours in the plot but at the cost of long calculation and large files. The default step 0.25 Å is usually in agreement with the accuracy of the data. This means that we will not see more with finer step but it may be useful for publication reasons.

The Orientation Form

The first two axes in the Orientation form defines the section basic vectors and the remaining ones the storing basic vectors. In the CONTOUR program the map can be integrated along the storing basic vectors or the section can be plotted separately.

In the following example the section is defined by A_3 and A_4 (it is therefore the A_3 -**A4** map) and it depends on x1 and x2. The section will be stored and later plotted in the following order:

x3-x4(x11,x21), x3-x4(x12,x21), , x3-x4(x1n,x21), $x3-x4(x11,x22)$, $x3-x4(x12,x22)$, , $x3-x4(x1n,x22)$, x3-x4(x11,x2m), x3-x4(x12,x2m), , x3-x4(x1n,x2m),

where subscripts denotes the step numbers, n is number of steps in A_1 direction, m is number of steps in A_2 direction.

Table 26 The Scope and Orientation Commands of FOURIER in the m50 File

Name	Syntax and Description							
addbord	addbord flag Default value: addbord 1							
	If flag is 1, one step is added to the volume of the map in each direction							
center	center $x1$ $x2$ $x3$							
	center atom name							
	Defines the central point of the map. It is used together with the $x \text{lim}$ keys and the scope key to define the volume of the map. The central point can be defined by fractional coordinates $x1$, $x2$ and $x3$ or by the name of some atom present in the m40 file.							
orient	orient code This key defines two dimensional sections by the electron density map and their order the m81 file. It is also called the orientation of the map. The code consists of three (or more for 3+d structures) sequence numbers of the basic cell vectors. The first and the second basic vector define the section, which depends on the remaining coordinates.							
	Example: orient 1234 The plane of this section is defined by a and b cell vectors. The horizontal axis of the section runs along a . The sections are stored in m81 file by ascending order of the z coordinate and x4 coordinate. See the Orientation form for more details.							

[Table 26](#page-278-0) (Continued)

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3.4.5 The Peaks Commands

For modulated structures the peak search is performed only if the internal basic vectors are used as last storing vectors. This means also that the fastest storing vector and both section basic vectors are the external ones. Then the program tries to assign modulation parameters to positive peaks.

The user settings for the peaks searching are entered in the Peaks Commands form.

Table 27 The Peaks Commands of FOURIER in the m50 File

Name	Syntax and Description							
positive	positive number The maximal number of positive peaks to be found in the map. The default value is $n+5$, where ndepends on the map type ¹ .							
negative	negative number Default value: negative 5 The maximal number of negative peaks to be found in the map.							
dmax	$dmax$ m mber The limit in A for distinguishing two different maxima. The default value is 1							
harmonic	harmonic number							
	The number of harmonic waves used for the construction of the curve representing the position of the maximum. Using more than 2 harmonics is not recommended.							

¹ In the case of the normal Fourier map (maptype 2) n is the number of atoms missing in the m40 file. The total number of atoms which should be present in the m40 is calculated from the chemical formula and the number of the chemical units in the elementary cell - this information is entered by *PRELIM* and saved in the basic crystal information part of the m50 file. For other types of the maps $n = 0$.

3.4.6 The m48 and m47 Files

FOURIER stores local maxima and minima of the map to the m48 and m47 file. The maxima for modulated structures are saved together with modulation parameters calculated from the map.

The distances between the atoms existing in the structure and the peaks found in the map can be calculated by *DIST* program, which can directly read both m47 and m48 file. The positive maxima can be added to the m40 file by *EDITM40*, which can read the m48 file. In the case of modulated structures the modulation parameters are added together with the coordinates of the maxima so that the refinement can start with the starting point calculated from the map.

Figure 152 The m48 File for Modulated Structure (A file for an ordinary structure is analogical.)

4			$\mathbf{1}$							
1.000000				coordinates						
0.000000										
0.000000										
0.000000										
max1		$\mathbf{1}$		1.000000 0.072093 0.370162 0.666232		000	Ω	$1\quad 0$		
36.45										
				0.034325 0.002908-0.010730 0.006872-0.009755-0.014637						
0.000000										Modulation
max2	1	$\mathbf{1}$		1.000000 0.242085 0.212733 0.152491		000	Ω	$\mathbf{1}$	- 0	parameters
8.91										
$-0.004784 - 0.021230 - 0.013531 - 0.060348 - 0.021528 - 0.081200$										
0.000000										
max3	п.	$\mathbf{1}$		1,000000 0.081891 0.373225 0.518970		000	Ω	$1\quad 0$		
6.70										
				0.101414-0.006506 0.056510-0.031413-0.029077-0.034423						
0.000000										
max ₄	1.	1		1,000000 0.230782 0.064043 0.117471		000	Ω	$\mathbf{1}$	- റ	
4.13										
$-0.031754 - 0.000731$ 0.031050 0.007749-0.026178 0.021138										
0.000000										

Figure 153 The m47 File for Ordinary or Modulated Structure

3.4.7 Fourier Listing

FOURIER creates listing jobname.fou. It is wide listing and it is accessible from the listing viewer (see page [41\)](#page-40-0).

Figure 154 The Fourier Listing for Modulated Structure

The listing for standard structures is similar but simplier becouse it doesn't contain the section concerning the assignment of modulation vawes.

[Figure 154](#page-282-0) (Continued)

Positive peaks

are listed for each step in the x4 coordinate. In this case the step in x4 is 0.04.

 r ho is the absolute height of the maximum in e/ A^3 .

rel is relative height scaled together with the height of the negative peaks.

Searching of positive peaks - maximum number of peaks to be found : 5

.

[Figure 154](#page-282-0) (Continued)

Negative peaks

are listed for each step in the x4 coordinate. In this case the step in x4 is 0.04.

 r ho is the absolute height of the maximum in e/ A^3 .

rel is relative height scaled together with the height of the negative peaks.

Searching of negative peaks - maximum number of peaks to be found : 5 Program for n-dimensional Fourier synthesis page = 5 Frogram for n-dimensional Fourier synthesis **page = 5**
structure : 17:22:39 04-Apr-99

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3.5 Advanced Topics

3.5.1 Setting and deleting special modulation functions

Using a special modulation functions should be based on density maps indicating need of this kind of description. Usually the structure is first solved with harmonic modulations functions, which may fail for some atom. Investigation of A_3 - A_4 sections¹ through an electron density map centerd in this atom can show a step-like behaviour which can be described either by a crenel or sawtooth function. Here are the steps how to set a special function in *JANA98*:

- Estimation of initial coefficients of the special function from an appropriate contour section. For a **crenel function** (see page [128\)](#page-127-0) we need the center (osin1) and the width (o). For a **sawtooth function** (see page [124\)](#page-123-0) we need the center($x \cos$), the width($y \cos$) and the A₃ coordinate ($x \sin$), $y \sin$) or $z \sin$ ²). All of them can be easily found in a *CONTOUR* plot using the Locator.
- The initial parameters must be written to m40 with a text editor. For a **crenel function** (see example in [Figure 77,](#page-128-0) page [129\)](#page-128-0) s_0 and w_0 should be 1, \circ and \circ sin1 have values read from the contour plot, α cos1 is always 0. For a **sawtooth function** (see example in [Figure 74,](#page-125-0) page [126\)](#page-125-0) s_p and w_p should be 1, $x \sin \xi$, ysin\$, zsin\$, xcos\$ and ycos\$ have values read from the contour plot and zcos\$ is always 0. In both cases the user should also set the refinement keys because they are not automatic.
- The initial function can be checked with *CONTOUR* with Options->Define atom to be indicated in map. It is especially useful when setting a sawtooth function.
- Refinement of the initial parameters.
- The special function can be further combined with harmonic position modulation functions. For a **crenel function** we simply add a harmonic position wave by *EDITM40*. For a **sawtooth function** the special function is always saved in m40 like the last position modulation wave. Therefore to add (by *EDITM40*) the first harmonic wave we set the number of modulation waves to 2. If the special function is not defined in a full interval the harmonic functions need to be orthogonalized. This can be done through the *EDITM40* interface shown in page [170.](#page-169-0)
- Deleting of special function cane be done by *EDITM40* by setting number of relevant waves to 0.

The figures below show example of setting a crenel and sawtooth modulation function.

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¹ Special functions are only available for 3+1 dimensional structures.

² For each of them we need a different section definition $(A_1-A_4, A_2-A_4$ and A_3-A_4).

j

Figure 155 Setting a crenel function

(b) The contour plot with the initial crenel modulation function indicated by a dashed line.

(c) The position modulation function calculated as combination of the crenel function and three orthogonalized harmonic modulation waves.

Figure 156 Setting a sawtooth function

(b) The position modulation function calculated as combination of the sawtooth function and one orthogonalized harmonic modulation wave.

3.5.2 Commensurate structures

This part is being prepared for the *JANA2000* manual.

[Structure Interpretation](#page-289-0)

This chapter describes basic programs of JANA98, their input and output files and the control keys.

IN THIS CHAPTER:

Next : References, page [341.](#page-342-0) Previous chapter: [Structure Solution and Refinement,](#page-91-0) page [91](#page-287-0)

4 Structure Interpretation

This part describes structure interpretation programs of *JANA98*. *CONTOUR* is used for visualisation of electron density maps and probability density functions. *GRAPHT* plots positional, temperature and occupation parameters and derived entities as function of the t coordinate. *DIST* is used for calculation of distances, torsion angles and best planes.

4.1 Program *CONTOUR*

Input: [m40],m50,[m81] **Output:** HPGL file, PostScript file, PCX file or SciAn file **M50 control keywords:** none

Figure 157 The basic Window of CONTOUR

4.1.1 Description of *CONTOUR*

CONTOUR produces contours plots of Fourier maps in the direct space for regular and modulated structures. For regular and four dimensional structures it can also make maps of probability density function (p.d.f.) and joint probability density function (j.p.d.f.) based on refined parameters including Gram-Charlier expansion terms up to 6th order. *CONTOUR* doesn't make 3d visualization of electron density, but it can generate input files for program SciAn.

CONTOUR is a fully interactive program and doesn't need any control commands in the m50 file. Like in other programs of *JANA98* its functionality varies for ordinary and modulated structures.

We recommend reading of *FOURIER* (page [265\)](#page-264-0) before this chapter.

4.1.2 Drawing R3-R3 and A3-A3 sections stored in the m81 File

The tool "Fourier maps from m81 file" allows plotting of two dimensional sections by an electron density map stored in the m81 file by *FOURIER*. The sections orientation and the order they are stored in m81 are defined by *FOURIER* control commands (see page [273\)](#page-272-0).

After selecting "Fourier map(s) from m81 file" the program automatically plots the first section and activates operating buttons on the right of the basic window. Their functions are common for most types of *CONTOUR* tasks.

Figure 158 The Operating Buttons of the CONTOUR Window

4.1.3 Contour options and Contour tools

The Contour options are common for m81 plots, general sections and probability density plots. The menu differs for ordinary and modulated structures.

Figure 159 Contour options for ordinary structures

Change contours definition					
Define summation limits					
Define atoms to be indicated in maps					
Draw potential curves					
Locator					
Search for extremes - in selected region					
Search for extremes - in whole map					

- *Change contour definitions* sets the basic properties of the contour plot (see [Figure](#page-293-0) **161**).
- *Define summation limits* is used for superposition of sections (see page [299\)](#page-298-0).
- *Define atoms to be indicated in maps* is used for selection of atoms which will be marked in the plots by a cross.
- *Draw potential curves* plots electron density curves and potential curves defined by a section through selected maxima - see [Figure 164](#page-295-0) - [Figure 166.](#page-297-0)
- *Locator* identifies atoms being near to the current pointer position within a user defined limit - see [Figure 167.](#page-298-0)
- *Search for extremes in selected regions* searches maxima and minima in a region selected by mouse in the current section - see [Figure 163.](#page-294-0)
- *Search for extremes in whole map* searches maxima and minima in the whole map (without defining an area by mouse).

Figure 160 Contour options for modulated structures

Change contours definition				
Define summation limits				
Define atoms to be indicated in maps				
Draw potential curves				
Locator				
Change length of additional vectors				
Transform x4-maps to t-maps				

- *Change length of additional vector* is used for scaling sections containing x4 coordinate - see page [308.](#page-307-0)
- *Transform x4 map to t-maps*. The difference between x4 maps and t-maps is explained in page [273.](#page-272-0) Example of a t-section is in [Figure 174.](#page-305-0)

Figure 161 The Contour Definitions Menu

(a) With uniform contours

The units of the contour intervals are e/\AA *³.*

The contour intervals are set automatically with respect to the highest maximum found in the map. The maxima from all layers are taken into account. If there is a strong maximum in some layer, the default contour interval can be too rough for the rest of the sections and needs to be changed.

(b) With explicit contours

The contour to be plotted are explicitly listed in the text box.

Figure 162 CONTOUR: A Strong Maximum Affected by the "Positive Cutoff" Limit.

Figure 163 Searching for extremes

The area which the program searches for extremes is defined by conjunction of up to 5 rectangles.

The search results:

*(Rho is the peak height in e/Å*³)

Figure 164 Defining electron density and potential curves

The section through the maxima is defined by a polyline drawn to the contour plot.

Figure 165 Example of an electron density curve

Figure 166 Example of a potential curve

These curves play an important role in a study of anharmonic effects in the crystal. The program can estimate errors either by extrapolating from the error map (if calculated in advance) or directly by applying the Monte Carlo method for the selected path.

Figure 167 Usage of locator

The locator mode is activated by choosing "Locator" from the Options menu and finished by pressing the second mouse button. The atoms found near to the locator position are listed together with their symmetry codes.

4.1.4 Drawing General sections

The m81 file contains a set of two dimensional sections by electron density map. The sections are calculated by *FOURIER* according to the orient control command. The section orientation is not arbitrary because each plane has to be parallel with two basic cell vectors.

CONTOUR allows to recalculate the sections to be parallel with an arbitrary user defined plane. This plane is called the *general section plane* and can be defined with help of the *Plane form*. Calculation of general sections is possible for R₃-R₃ and A₃- A_3 section types.

Figure 168 The Plane form of CONTOUR

This form is used for the definition of a general section plane. The symbols s1,s2,s3,o1,o2,o3 will be used in the next figure.

The plane is defined by three points which can be entered like atom names, by coordinates or as one point or atom and two vectors from that point. The atom names may contain molecular position indicators and internal symmetry codes (see § [1.2.6](#page-26-0) page [27\)](#page-26-0).

 If the map is calculated by *FOURIER* in the whole independent volume of the 3+d space, the sections can be arbitrarily expanded or translated. The area of the general plane is called **scope** and is defined by the length (in Å) of *x* and *y* plot axes in the Scope text box. The third component defines the "width" of the section in Å along the *z* plot axis. The number of sections perpendicular to *z* is equal to the width divided by the interpolation step.

The option " $1st$ point put to" defines where the first point defining the plane will appear in the contour plot. With $(0,0,0)$ the first point is equivalent with the origin in the lower left corner. Otherwise it is translated according to [Figure 169](#page-300-0) and [Figure 170.](#page-301-0)

Figure 169 The scope definition and contour plot orientation

Cartesian axis used by CONTOUR for plotting are e1, e2 and e3. The measurement unit is Å. The general section is defined by three points AT1, AT2 and AT3 entered in the Plane form. The line AT1 - AT2 is parallel to e1.

The section dimensions(Å) are s1, s2 and s3. The position of AT1 in the plotting axes is (o1,o2,o3). The first plotted section goes through AT1.

The next figure shows a general section defined by three points AT1, AT2 and AT3 calculated with scope $s1=8\text{\AA}$, $s2=10\text{\AA}$ and $s3=1\text{\AA}$. The position of AT1 is (4,5,0.5). Because the structure fragment is not exactly planar, all sections in the e3 direction are superimposed by means of the "Define summation limits" option of the Contour options menu. Plotting of the strongest maximum was suppressed by the "positive cutoff" limit entered in the Contour definitions menu.

Figure 170 An Example of the General Section for Ordinary Structure

Figure 171 The procedure to prepare the general section from [Figure 170.](#page-301-0)

The last figure shows the section after editing the contour definitions. The contours of the largest maximum are truncated by setting the "Positive cutoff" to 30.

General section for modulated structures

The way of a general plane definition is the same like for ordinary structures. Summation can be done not only for e3 axis but also for remaining directions (x4, x5, x6).

Figure 172 The Contour Options Menu for Modulated Structures

Change contours definition
Define summation limits
Define atoms to be indicated in maps
Draw potential curves
Locator
Search for extremes - in selected region
Change length of additional vectors
Transform x4-maps to t-maps

Figure 173 The Contour Summation Limits Form for Modulated Structures

For modulated structures the $x4$ maps (The A_3 - A_3 section type, see page [273\)](#page-272-0) can also be transformed to t-maps (i.e. R_3-R_3 section type). The order of steps is important because

- Summed maps cannot be transformed to t-maps
- t-maps cannot be transformed back to x4-maps

With x4 maps the geometry of the structure may be deformed but the sections are periodic. With t-maps the geometry is the same like in the real space but the sections are no longer periodic. This is illustrated in the following figures.

Figure 174 An Example of the General Section for Modulated Structure

(a) The sections were transformed to t-maps and summed along the e3 direction. This is plot for t=0.

(b) The result of the additional summation along the x4 direction.

Figure 175 Four cells of an A3-A3 section. The plot is periodic.

Figure 176 Four cells of a R3-R3 section. The plot is not periodic.

4.1.5 Drawing A3-A4 sections

If the 2-dimensional sections prepared by Fourier contain the $x4$ ($x5$, $x6$) axis *CONTOUR* does not open the main menu and plots immediately the first section. For this type of sections

CONTOUR allows to draw modulation functions for one or more atoms. The electron density map should be calculated in a volume centerd in the atom in question.

The next example illustrates usage of Contour for plotting of modulation functions.

Figure 177 Plotting of A3-A4 sections

In this example the Fourier map is calculated in the vicinity of atom O3a of a testing four dimensional structure. The scope 0 0 2 means that the calculated sections go exactly through x(O3a) and y(O3a) and extend ±1Å around z(O3a). The fourth coordinate varies from 0 to 1. The first two axes in the Map orientation form comprises the fourth coordinate which therefore appears in the plotted sections.

The change of the length of additional vector (the x4 coordinate in this case) is made only like an exercise. The default value 2 Å is usually convenient.

4.1.6 Drawing (joined) probability density functions

The program *CONTOUR* allows to plot and analyse the *probability density function* (*p.d.f.)* , which makes visualization of the refined "shape" of the selected atom. The

() ()[() () () () () () 1 5!] 1 1 3! 1 4! **u K u u u u** *ijklm ijklm ijkl ijkl ijk ijk har E H p d f* = *p d f* + *C H* + *D H* + *(E 69)*

where $H_{ij...n}$ are Hermite polynomials, C,D,E,F are anharmonic displacement tensors (see page [122\)](#page-121-0).

For more complex picture of the density the individual *p.d.f.'s* have to be combined. The resulting function is called *joined probability density function* (*j.p.d.f*).

CONTOUR makes also possible calculation and drawing of an error map that takes into account the covariation matrix. This map is calculated by a Monte Carlo method and may be time consuming.

JANA98 makes only 2-dimensional maps. However, *CONTOUR* allows saving a 3d map in the "stf" format, which can be used as an input to SciAn¹.

¹ See http://www.scri.fsu.edu/~lyons/scian/ for more information.

4.2 Program *DIST*

Input: m40, m50, [m47],[m48] **Output:** m61,dis **M50 control keywords:** the section between the keywords dist and end

4.2.1 Description of *DIST*

DIST calculates distances, angles, torsion angles and best planes for atoms present in the m40 file. It can also use the coordinates of Fourier maxima and minima stored by *FOURIER* in the m47 and m48. For modulated structures *DIST* calculates distances and angles as a function of the t coordinate.

The results are written to a wide listing jobname.dis in the newspaper columns form and also to m61 in one column. The wide listing is accessible by Listing viewer (see page [41\)](#page-40-0). The listing and m61 are complementary (see page [330\)](#page-329-0).

If *DIST* is started with default options it calculates the distances to each atom in m40. The minimum distance limit is 0 and the maximum distance is taken from m50 according to the chemical type (see dmax key, page [77\)](#page-76-0). With the default setting *DIST* does not list the full coordination of each atom because it calculates each distance only once. In the case of modulated structures the distances are listed for 10 values of t coordinate.

The following paragraphs contain description of the SetCommands interface for *DIST*. The options set by the user are transformed to commands and written to m50. The syntax of the resulting commands is also explained.

Figure 178 The SetCommands menu for DIST

4.2.2 Basic commands

In the Basic commands form the user chooses bond limits, calculation of angles, type of output and whether the Fourier peaks are to be included in the calculation. See Table 28 for details.

The lower bond limit is the same for all atoms. The upper bond limits can be overall or dependent on the chemical type of atoms. If two atoms have different upper bond limit the calculation is based on the average value of these limits.

If the upper bond limit is set according to chemical types the upper bond limit of Fourier peaks is based on the first chemical type listed in m50.

Figure 179 The Basic Commands

4.2.3 Selection commands

DIST calculates coordination for each atom flagged like a *central atom*. In the listing the central atoms are surrounded by a box from asterisks. With the default setting all atoms from m40 (and optionally m47 and m48) are considered central atoms. This can be changed by Selection form. The Fourier peaks are not present in this form so that if they are included to the calculation they are all used as central atoms.

Name	Syntax and Description
dmin dmax	dmin number dmax number Default value: dmin 0 The limits for calculation of distances in A. Only distances and angles between dmin and dmax are calculated for each central atom. If dmax is omitted the upper distance limit is determined by the chemical type of the atom and taken from m50 (see dmax key, page 77).
include	include flaq Default value: include 0 Includes the Fourier peaks to the calculation $flag = 0$ the Fourier peaks are not used $flag = 1$ includes the m48 file with positive Fourier peaks $flag = 2$ includes the $m47$ file with negative Fourier peaks $_{\text{flag}}$ = 3 includes both $_{\text{m47}}$ and $_{\text{m48}}$ file
angles	angles flag Default value: angles 0 Turns on/off calculation of angles. $flag = 0$ The angles are not calculated $flag = 1$ The angles are calculated
fullcoor	fullcoor flaq Default value: fullcoor 0 $flag = 0$ Each distance will be calculated and printed only once. $flag = 1$ Full coordination will be calculated and printed for each central atom.
round	round flag Default value: round 0 $flag = 0$ Without rounding $flag = 1$ The distances and e.s.d.'s will be rounded to the first significant value.

Table 28 Basic commands of DIST in the m50 file

4.2.4 Torsion angles and best planes

The calculation of torsion angles and best planes is intended for standard structures. In case of modulated structures only average positions of atoms are used.¹

 The angles and planes are defined by atom names from m40. The wild cards are not allowed but the internal symmetry codes (see page [27\)](#page-26-0) are accepted.

Torsion angle is defined by four atoms at 1, at 2, at 3 and at 4 from m40. It is the angle between vectors at2-at1 and at3-at4 projected along at2-at3.

Figure 181 The Torsion Angles Form

Best plane is defined by the atoms in the first line of the Best Planes form. The plane is calculated as the least square plane having minimal distances to these atoms. Each atom involved in the iteration process is taken with a weight derived from the e.s.d. of the distance between the atom and the plane. *DIST* then prints distances between the plane and the atoms listed in both lines of the form. *DIST* calculates also dihedral angles between all calculated best planes.

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¹ We can expand the structure and save it in the SHELX format with "Transformation of m40 and m50 to various formats" of *EDITM40*, than import the expanded area back to *JANA98* by Tools->Transfer files from SHELX and calculate torsion angles and best planes for modulated positions.

Figure 182 The best plane form

Table 30 Torsion angles and best planes commands of DIST in the m50 file

4.2.5 Modulation commands

The distances and angles for modulated structures are calculated as function of t coordinate. The function is calculated for "Number of t values" points and printed for each "Print t period". For commensurate structures the initial step is t_0 and the number of steps is given number of points where the function is defined.

In case of composite structure the coordination of central atoms can substantially vary with change of t. For this reason *DIST* allows to print comprehensive tables of the whole coordination as a function of t.

If some atoms in the structure are occupationally modulated the user may need to define "Occupancy calculation limit". The distances are calculated only for t values where occupancy is above the limit. The "Occupancy indication limit" means that if the occupancy of an atom for some value of t is below this limit the atom will be marked in the listing by "*".

Figure 183 Modulation commands

Name	Syntax and Description
tzero	tzero number Default value: tzero 0 The initial value of t . The default value is 0. This command has no effect for commensurate structures.
nooft	nooft number1 Default value: nooft 100 The number of equidistant t values used for calculation of distances and angles. The distances and angles are calculated for number 1 of t but only each number2 th of them is printed to the listing. Number2 is defined by each command. Implicitly number1=100, number2=10. This command has no effect for commensurate structures.
each	each number2 Default value: each 10 The step for printing of the distances and angles as a function of t . The distances and angles are calculated for number1 of t but only each number2 th of them is printed to the listing. Number1 is defined by nooft command. Implicitly number1=100, number2=10. This command has no effect for commensurate structures.
ttables	ttables flag Default value: ttables 0 Sets output of the comprehensive table of coordination as a function of the t coordinate. This command is especially useful for composites. $flag = 0$ off $flag = 1 on$
occut	occut number Default value: occut 0 The lower limit of occupation for which the distances will be calculated. This command is useful for structures with occupation modulation.
occind	occind number Default value: occind 0.1 The distances of atoms with occupation less than number will be indicated in the $DIST$ listing by " $*$ ". This command is useful for structures with occupation modulation.

Table 31 Modulation commands of DIST in the m50 file

4.2.6 Dist listing of an ordinary structure

Figure 184 Simple DIST Listing for Ordinary Structure

List of atoms which will be used for the calculation.

Atoms with zero occupation or the ones coinciding with other atoms are not used. Atoms of molecules (if present) are expanded to molecular positions and their names are extended by the discriminator characters (see § 1.2.2 page A-14) .

[Figure 184](#page-317-0) (Continued)

Interpretation of the control commands

1st page of distances printed in newspaper columns

Calculating of distances, angles, torsion angles and best planes page = 2 **page = 2 page = 2 pa structure : cro2h2o 09:03:54 07-Mar-97**

One column in detail: ******************************

ŧ

One column in detail:

Figure 186 DIST listing with best planes and torsion angles

This information is printed at the end of the listing. It is the same for both ordinary and modulated structures.

4.2.7 Dist listing of an incommensurate structure

 \overline{a}

One column in detail:

* atom K		\star	central atom				

F1a3.1217(23)			The distances for the first atom of the K coordination				
ave	3.1331		(see previous example for explanation)				
min	2.9227						
max	3.3414						
0.000	3.3336						
0.100	3.2964						
0.200	3.1124						
0.300	2.9471						
0.400	2.9413						
0.500	3.0480						
0.600	3.1262						
0.700	3.1300						
0.800	3.1421						
0.900	3.2340						
1.000	3.3336						
F1a109.86(6)	The angle F1a-K-F1a calculated from refined coordinates				
ave	109.78		The average F1a-K-F1a angle for all used t values				
min	103.80		The minimum F1a-K-F1a angle for all used t values				
max	115.78		The maximum F1a-K-F1a angle for all used t values				
0.000	104.17						
0.100	104.10		The t grid and complexity of output is controlled by the same				
0.200	106.71		commands as used for distances - see previous example for				
0.300	110.92		explanation				
			.				
1.000	104.17						

¹ The atomic positions in the **basic structure** are the ones refined in the m40. The distances in the basic structure don't correspond to any 3d section but they should be close to "ave" distances.

4.2.8 Using *DIST* **for commensurate structures**

In the case of commensurate structures the modulation function is defined only in discrete points corresponding to the atomic positions in the superstructure and can have arbitrary values between these points. *DIST* automatically chooses the t values where the modulation function is defined.

The structure in the following example has q-vector of $(0,0,\gamma)$ type, the relation between the basic cell and the supercell is 1,1,4 (i.e. the **c** axis of the supercell is four times larger), and the t value used for the refinement is 0.188. The corresponding keywords in m50 are commen and tzero (see [Table 9,](#page-79-0) page [80\)](#page-79-0). Note: the tzero command of *DIST* (see modulation commands, page [316\)](#page-315-0) has no effect for commensurate refinement.

**************************			0.688	2.3705	0.688	2.9581		min	2.0924	
* atom Ca			0.938	2.3851	0.938	3.1082		max	2.1691	
**************************								0.188	2.1035	
C1 2.7561 (53)	03.	2.3319(69)				0.438	2.0924	
ave	2.6851		ave	2.3874	**************************			0.688	2.1436	
min	2.6398		min	2.3534	* atom N		*	0.938	2.1691	
max	2.7235		max	2.4408	**************************					
0.188	2.6760		0.188	2.3705				<u>n.</u>	2.0969(41)	
0.438	2.7011		0.438	2.3851	ave	2.7877		ave	2.1271	
0.688	2.7235		0.688	2.3534	min	2.7607		min	2.0924	
0.938	2.6398		0.938	2,4408	max	2.8148		max	2.1691	
					0.188	2,7607		0.188	2.1436	
	2,7561(53)		D7.	3.0034(65)	0.438	2.8148		0.438	2.1691	
ave	2.6851		ave	3.0124	0.688	2,7607		0.688	2.1035	
min	2.6398		min	2.9580	0.938	2.8148		0.938	2.0924	
max	2.7235		max	3.0539						
0.188	2.7235		0.188	3.0539	C1 1.4855 (- 65)	<u> D2.</u>	2.0677(49)	
0.438	2.6398		0.438	3.0352	ave	1.4702		ave	2.1299	
0.688	2.6760		0.688	2.9580	min	1.4207		min	2.0899	
0.938	2.7011		0.938	3.0026	max.	1.5198		max	2,1700	
					0.188	1.4207		0.188	2,1700	
01. 2.2480 (90)	D7.	3.0034(65)	0.438	1.5198		0.438	2,0899	
ave	2.2614		ave	3.0124	0.688	1.4207		0.688	2.1700	
min	2.1873		min	2.9580	0.938	1.5198		0.938	2.0899	
max	2.3356		max	3.0539						
0.188	2.3356		0.188	2.9580	ය 1.4453 (65)	D6.	. 2.0423(42)
0.438	2.1873		0.438	3.0026	ave	1.4961		ave	2,1482	
0.688	2.3356		0.688	3.0539	min	1.4847		min	2.0704	
0.938	2.1873		0.938	3.0352	max	1.5075		max	2.1910	
					0.188	1.4847		0.188	2.0704	
02.	2.2432(90)		$D8$	2.9725(57)	0.438	1.5075		0.438	2.1623	
ave	2.2414		ave	3.0322	0.688	1.4847		0.688	2,1689	
min	2,2099		min	2.9581	0.938	1.5075		0.938	2.1910	
max	2.2728		max	3,1082						
0.188	2.2099		0.188	2.9581	$C4$	2.5439(60)	D6.	2.0423(42)	
0.438	2.2728		0.438	3.1082	ave	2.5619		ave	2.1482	
0.688	2.2099		0.688	3,0285	min	2.5125		min	2.0704	
0.938	2.2728		0.938	3.0341	max	2.6113		max	2.1910	
					0.188	2.5125		0.188	2.1689	
03.	2.3319(69)		$D8 \ldots$	2.9725(57)	0.438	2.6113		0.438	2.1910	
ave	2.3874		ave	3.0322	0.688	2.5125		0.688	2.0704	
min	2.3534		min	2.9581	0.938	2.6113		0.938	2.1623	
max	2.4408		max	3.1082						
0.188	2.3534		0.188	3.0285	DI 2.0969(41)	⊂2a	.1.4734(44)	
0.438	2,4408		0.438	3.0341	ave	2,1271		ave	1.4751	

Figure 189 DIST Listing for a commensurately modulated structure
4.2.9 Using *DIST* **for composite structures**

In composite crystals two or more structures coexist with different periodicities. The influence on distances calculation is that a distance between atoms from different composite parts calculated like function of \pm has no upper limit. This feature is clearly visible in the t plots (see page [337\)](#page-335-0).

Figure 190 Example of a composite structure.

In this example the small shaded rings denote atoms belonging to the first composite part with larger cell. The second composite part with smaller cell comprises atoms indicated by large white rings. The cell parameters along the vertical direction are incommensurate.

The double arrow shows distances between one pair of atoms from different composite parts for various t values.

Like for other modulated structures *DIST* prints in the listing the minimum and maximum distance found in the t interval used for calculation. If the t function of distances does not have minimum in this interval *DIST* prints the value with a "<" sign.

Figure 191 DIST listing of a composite structure

Distances will be calculated

 at 101 equidistant values of t from the interval <0,1> but only each 5th value will be printed

Figure 191 (Continued)

Calculating of distances, angles, torsion angles and best planes structure : Beznix - incommen

for atom: Sr from 0.000 to 3.000 $for atom : Ni from 0.000 to 3.000$ for atom: 0 from 0.000 to 3.000

Distances for central atom Ni1. The form of the output is the same like for other modulated structures. Note that many t values are not listed because the corresponding distances are out of limits. The explanation of "min" values is in the beginning of this chapter (page 342).

 $page = 3$ 11:28:04 18-04-99

Figure 191 (Continued)

The type of output can be selected in the Modulation Commands form (see page 316). Atom Nil is coordinated by 6 oxygen atoms but not for every value of t. This is a characteristic feature of composite structures.

Figure 191 (Continued)

4.2.10 *DIST* **listing m61**

Together with the wide listing *DIST* writes the results also in one column form to the m61 file. The information of these two output files is complementary; m61 lists the symmetry codes which are not present in the wide listing, but it doesn't contain the values of distances and angles as a function of the t coordinate.

Distances and angles concerning atom Zn				
Zn	- Br		$2.4811($ 8) symmetry : x, y, z#	
Br	- Zn	$-$ 01	121.44 (12) symmetry : x, y, z	
Br	- Zn	- N1	96.54 (13) symmetry : x, y, z	
Br	$-2n$	- N2	107.84 (13) symmetry : x, y, z	
Br.	$-$ Zn $-$	- N3	98.18 $($ 13) symmetry : x, y, z	
Br.	$-$ 2n $-$	- C1	106.21 (14) symmetry : x,y,z	
Br	$-$ Zn $-$	$-$ C ₂	97.26 (15) symmetry : x, y, z	
Br	- Zn	- C3	90.50(13) symmetry : x, y, z	
Br	- Zn	$-C4$	95.48 (11) symmetry : x, y, z	
Br	- Zn	- C5	84.73(13) symmetry : x,y,z	
Br	- Zn	$-C6$	108.46 (11) symmetry : x, y, z	
Br	- Zn	- 77	117.74 (10) symmetry : x, y, z	
Br	- Zn	- C12	121.51 (10) symmetry : x, y, z	
Br	– Zn	- H1(n1)	76.56(11) symmetry : x, y, z	
Br	- Zn	- H1(n1)	159.72(8) symmetry : 1/2-x, 1/2+y, 1/2-z	
Br	$-$ Zn $-$	- H2(n1)	$108.08(11)$ symmetry : x,y,z	
Br	$-$ Zn $-$	$- H1 (c1)$	122.41 ($13)$ symmetry : x, y, z	
Br	$-2n$	- H1(c1)	75.06 (13) symmetry : $1/2-x$, $-1/2+y$, $1/2-z$	
Br	- Zn	$- H1 (c2)$	79.61(14) symmetry : x, y, z	

Figure 193 The m61 file for modulated structure. See page [27](#page-26-0) for description of the special symmetry codes starting with the "#" character.

4.3 Program *GRAPHT*

Input: m40, m50 **Output:** HPGL ,PostScript, PCX or numerical form **M50 control keywords:** none

4.3.1 Description of *GRAPHT*

GRAPHT plots coordinates, occupancies, distances and bond valences as a function of the t coordinate. It can save the pictures in HPGL, PostScript and PXC format or in a numerical form suitable as an input for other programs.

4.3.2 Coordinate plots

Figure 194 The t-xyz form of GRAPHT

This tool plots the difference between selected coordinate and its basic value as a function of t.

Delta in the form defines the length of the vertical axis of the plot in Å.

Figure 195 Example of a coordinate plot

4.3.3 Occupancy plots

Figure 196 The t-occupancy form of GRAPHT

This tool plots the occupancy of an atom as a function of t. The omin and omax define the length of the vertical axis of the plot in the positive and negative direction, respectively.

Figure 197 Example of an occupancy plot

4.3.4 Temperature plots

Figure 198 The t-temperature parameters form of GRAPHT

This tool plots selected temperature parameters of an atom as a function of t. The Umin and Umax define the minimal and maximal value on the vertical axis of the plot, respectively.

Figure 199 Example of a t-temperature parameters plot.

4.3.5 Distance and valence plots

This tool plots distances between a central and other selected atoms and bond valences defined according to Brown & Altermatt,1985 as a function of t.

Table 32 Bond-Valence parameters

This is output of the Bond valence calculator program¹. It is based on Brown & Altermatt,1985.

1 http://www.ccp14.ac.uk/ccp/web-mirrors/valence/valence.txt

4.3.6 The distance plots of composite structures

Calculation of distances for composite structures is discussed in page [325.](#page-324-0) The program makes systematic search to find and draw all distances as a function of t within the limits defined in the form.

Figure 201 Example of a distance plot for a composite structure. This plot is for two atoms belonging to different composite parts.

The t-distance form (page [335\)](#page-334-0) contains an option for drawing of non-modulated curves. They are calculated from basic positions of atoms as refined in the m40 file. In the case of two atoms belonging to different composite parts the non-modulated curves are hyperbolic and can be used as an estimation how modulation influences distances. For instance, in the following figure the minimal distance without modulation could be too short.

Figure 202 Example of a distance plot with non-modulated curves

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